

# P-15 Peptide Enhanced Bone Graft in Transforaminal Lumbar Interbody Fusion

## A Randomized, Controlled, Investigational Device Exemption Study Demonstrating Improved Composite Clinical Success

James S. Harrop, MD, MSHQS,<sup>a</sup> John E. O'Toole, MD,<sup>b</sup> Michael P. Steinmetz, MD,<sup>c</sup> Rick C. Sasso, MD,<sup>d</sup> Christopher D. Chaput, MD,<sup>e</sup> K. Brandon Streng, MD,<sup>f</sup> Greg Maislin, MS, MA,<sup>g</sup> Jeffrey P. Mullin, MD,<sup>h</sup> Thomas B. Freeman, MD,<sup>i</sup> Anthony Guanciale, MD,<sup>j</sup> Howard Lantner, MD,<sup>k</sup> Michael E. Janssen, DO,<sup>l</sup> David G. Schwartz, MD,<sup>m</sup> John M. Small, MD,<sup>n</sup> Wellington K. Hsu, MD,<sup>o</sup> and Paul M. Arnold, MD<sup>p</sup>

**Study Design.** Prospective, multicenter, single-blind, randomized, controlled pivotal study.

**Objective.** To evaluate whether P-15L (PearlMatrix P-15 Peptide Enhanced Bone Graft) is noninferior in effectiveness to local

autograft when applied in single-level instrumented transforaminal lumbar interbody fusion (TLIF).

**Summary of Background Data.** P-15L, an FDA-designated Breakthrough Drug-Device, is a composite drug-device combination bone graft containing P-15, a 15-amino acid polypeptide,

Received for publication September 3, 2025; accepted November 9, 2025. From the <sup>a</sup>Departments of Neurological and Orthopedic Surgery, Thomas Jefferson University, Philadelphia, PA; <sup>b</sup>Department of Neurosurgery, Rush University Medical Center, Chicago, IL; <sup>c</sup>Department of Neurosurgery, Cleveland Clinic, Cleveland, OH; <sup>d</sup>Department of Orthopaedic Surgery, Indiana University School of Medicine, Indiana Spine Group, Carmel, IN; <sup>e</sup>Department of Orthopedics, The University of Texas Health Science Center at San Antonio, San Antonio, TX; <sup>f</sup>Streng Spine Center, Paducah, KY; <sup>g</sup>Biomedical Statistical Consulting, Philadelphia, PA; <sup>h</sup>Department of Neurosurgery, University at Buffalo, Buffalo, NY; <sup>i</sup>Department of Neurosurgery and Brain Repair, University of South Florida College of Medicine, Tampa, FL; <sup>j</sup>Department of Orthopaedic Surgery, University of Cincinnati, Cincinnati, OH; <sup>k</sup>Department of Neurological Surgery, Saint Francis Hospital, Hartford, CT; <sup>l</sup>Center for Spine and Orthopedics, Denver, CO; <sup>m</sup>OrthoIndy, Indianapolis, IN; <sup>n</sup>Florida Orthopaedic Institute, Temple Terrace, FL; <sup>o</sup>Departments of Neurological and Orthopaedic Surgery, Northwestern University, Chicago, IL; and <sup>p</sup>Department of Neurological Surgery, Loyola University Chicago, Chicago, IL.

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The data sets generated during and/or analyzed during the current study are available from the corresponding author upon reasonable request.

The protocol received Institutional Review Board approval in accordance with the Declaration of Helsinki and the International Council for Harmonization Guideline for Good Clinical Practice. All patients provided written informed consent, and HIPAA regulations were followed. The devices/drugs that are the subject of this manuscript have been FDA-approved through a Premarket Approval application in the United States and used as indicated in this study.

J.S.H. contributed to data collection and interpretation, and the writing, review, and supervision of the manuscript. P.M.A. contributed to the design of the study, data collection and interpretation, and the writing and review of the manuscript. J.E.O.T., C.D.C., M.P.S., K.B.S., R.C.S., T.B.F., A.G., H.L., J.P.M., W.H., J.S., M.J., D.G.S. contributed to data collection and interpretation, and the writing of the manuscript. G.M. contributed to the design of the study, biostatistical data analysis, and the writing and review of

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Address correspondence and reprint requests to James S. Harrop, MD, MSHQS, 909 Walnut Street (Third Floor), Philadelphia, PA 19107; E-mail: james.harrop@jefferson.edu

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which enhances cell binding, proliferation, and differentiation, resulting in bone formation.

**Materials and Methods.** Skeletally mature patients, aged 22 to 80 years, with degenerative disc disease (DDD) were randomized 1:1 to P-15L (investigational) or to the local autograft (control) during single-level TLIF with a polyetheretherketone (PEEK) cage and supplemental pedicle screw fixation. The primary outcome was composite clinical success (CCS) at 24 months, defined as: no index level secondary surgical procedures; achievement of fusion;  $\geq 15$ -point improvement in Oswestry low back pain disability questionnaire (ODI) from baseline; no new or worsening persistent neurological deficit relative to baseline; and no device-related serious adverse events (SAEs).

**Results.** A total of 290 patients were enrolled at 33 sites: 141 (48.6%) received P-15L, and 149 (51.3%) received local autograft. P-15L was noninferior ( $P < 0.0001$ ) and superior ( $P = 0.002$ ) to autograft with respect to CCS, with 55.5% of the investigational group achieving composite clinical success compared with 37.5% of the control group. P-15L had a 25.8% higher fusion rate as compared with autograft for the CCS at 24 months (84.3% vs. 58.5%, respectively). Device-related SAE rates were similar in both groups.

**Conclusion.** P-15L was superior to local autograft in achieving clinical success at 24 months. Furthermore, P-15L produced a significantly higher fusion rate as compared with autograft. No meaningful clinical differences were found in the incidence of device-related SAEs. P-15L appears to be a safe and effective option for TLIF.

**Level of Evidence.** Level I.

**Key Words:** TLIF, fusion, P-15, peptide, bone graft, autograft, ABM/P-15 matrix, PearlMatrix

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Spinal fusion surgeries are often performed to alleviate pain and enhance function in degenerative spine disease. The aging population, lifestyle factors, and technological advancements have led to a significant increase in spinal fusion surgeries and as a result increased health care costs.<sup>1–6</sup> Clinical success in spinal fusion surgery is influenced by numerous factors, including the patient's overall health; degree of spinal instability; as well as specific comorbidities, including body mass index, diabetes, and nicotine use.<sup>7</sup> These comorbidities have been shown to impair fusion, thereby increasing the risk of pseudarthrosis.<sup>8–12</sup> Long-term stability has been correlated with attaining a solid bony fusion, which is often dependent on the effectiveness of the bone graft.<sup>7</sup>

Transforaminal lumbar interbody fusion (TLIF) is an established treatment for lumbar degenerative conditions unresponsive to nonoperative therapies. Anterior column support through interbody cages plays a primary role in mechanical support and stability, as well as in achieving fusion.<sup>13,14</sup>

Solid incorporation of the cage with bridging fusion is essential to confirm secure mechanical

stability.<sup>13,15</sup> Of the bone graft materials available, iliac crest autologous bone grafts are considered the “gold standard” due to their superior integration and bone formation capabilities. However, its harvest results in increased patient morbidity, such as the risk of infection, fracture, and pain, and there is a limited quantity.<sup>16–18</sup> Alternatively, local autograft is used in spinal fusion and has been shown to be effective compared with iliac crest bone grafts.<sup>19–22</sup> The amount of local autograft is limited and can be insufficient to achieve healing, leading surgeons to use alternative biomaterial substitutes, most of which are passive osteoconductive scaffolds.<sup>23,24</sup> Presently, there is a lack of high-level clinical safety evidence for bone grafting material and even less efficacy data on use in humans.<sup>25</sup> Three FDA-approved Class III bioactive drug-device combination bone grafts are available for use in spinal fusion: rhBMP-2 (InFuse; Medtronic Sofamor Danek, Memphis, TN), ABM/P-15 (i-FACTO; Cerapedics Inc., Westminster, CO), and P-15L (PearlMatrix, Cerapedics Inc.), the investigational treatment evaluated in this study. Both ABM/P-15 and P-15L utilize P-15 peptide technology, but only P-15L is FDA approved for use during transforaminal lumbar interbody fusion (TLIF) procedures.

P-15L, an FDA-designated Breakthrough Device, is a composite bone graft consisting of an anorganic bone mineral (ABM) and P-15, a 15-amino acid polypeptide, simultaneously enhancing the production and remodeling of native bone.<sup>17,26–34</sup> The purpose of this study was to demonstrate that P-15L is a safe and effective alternative to local autologous bone graft when applied in instrumented TLIF in patients with degenerative disc disease (DDD) with up to Grade I spondylolisthesis. The primary hypothesis was that the investigational device (P-15L) is noninferior to local bone autograft control with respect to composite clinical success (CCS) at month 24.

## MATERIALS AND METHODS

This study was a prospective, multicenter, single-blind, randomized (1:1), controlled, pivotal study enrolling patients at 33 sites across the United States. Blocked randomization in a 1:1 ratio was performed within the investigational site and stratified by risk group (higher risk vs. normal risk). Higher-risk subjects had at least one of the following risk factors: type 2 diabetes, nicotine use, and/or obesity ( $BMI \geq 30 \text{ kg/m}^2$ ).<sup>8–12</sup> The protocol received Institutional Review Board approval in accordance with the Declaration of Helsinki and the International Council for Harmonization Guideline for Good Clinical Practice. All patients provided written informed consent, and HIPAA regulations were followed. The study was registered on [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (NCT03438747).

Patients included were skeletally mature adults 22 to 80 years old (inclusive) presenting with back and leg pain, Oswestry low back pain disability questionnaire (ODI) score of  $\geq 35$ , and a planned single-level TLIF (L2-S1) after  $\geq 6$  months of failed nonoperative treatment.

Included patients had radiographically determined spinal origin of pain according to  $\geq 1$  of the following: degenerated/dark disc on MRI; instability (angulation  $\geq 5^\circ$  and/or translation  $\geq 3$  mm on flexion/extension radiographs); osteophyte formation; ligamentous thickening; decreased disc height compared with adjacent levels; and disc herniation. Key exclusion criteria were infection; autoimmune or metabolic disease affecting bone formation (e.g. osteoporosis, osteopenia, osteomalacia); use of medication for osteoporosis or other medications that may interfere with fusion (e.g. steroids, or has received drugs that interfere with bone metabolism within two weeks of surgery); circulatory, cardiac, or pulmonary disease; active malignancy; previous spinal fusion at the index level; isthmic or grade  $\geq 2$  spondylolisthesis; pregnant/planning to become pregnant; and a history of substance abuse (recreational drugs, alcohol) within the past two years.

### Surgical Procedure

Either open or minimally invasive TLIF procedures were performed using any FDA-cleared PEEK cage and supplemental internal pedicle screw fixation systems cleared by the FDA. In all cases, surgeons performed a laminectomy and neural decompression as indicated based on the patient's symptoms. This was consistent with their routine clinical practice. Specifically, for the TLIF procedure, discectomy was performed with removal of the disc material and preparation of the endplates; if necessary, an osteophyctomy was undertaken. Sizing trial tools were used to determine the appropriate cage. Once the optimal cage size was selected, its central cavity was filled with bone graft, and the PEEK cage was inserted into the prepared disc space. Final positioning of the construct was confirmed radiographically before closure. The interbody space was grafted with either P-15L (investigational) or local autologous bone (control) harvested during the procedure. If the amount of local autologous bone was deemed insufficient, a cancellous allograft chip substitute was allowed as a graft extender. Facet joint decortication was allowed, but no additional graft material could be applied in the posterolateral gutters or the facets. Operative variables recorded include the treatment level, surgical time, estimated blood loss, and anesthesia time.

### Outcome Measures

Demographics and preoperative assessments were collected at screening. The primary effectiveness endpoint was Month 24 CCS, a measure comprising five clinical endpoints. A composite endpoint provides a multidimensional assessment of clinical benefit incorporating radiographic, functional, and safety measures, and is consistent with prior study methodologies and FDA guidance. All five of the following endpoints had to be met for a patient to be categorized as achieving CCS: (1) no secondary surgical procedure (SSP) at the index level through month 24, defined as a revision, removal, reoperation, or supplemental fixation. Index-level SSPs included any adjustments to the index level, even for surgeries treating adjacent-level disease. (2) Achievement of fusion by

month 24, defined as continuous bridging of mature bone from endplate to endplate with no intervening fractures or discontinuities using high-resolution thin-slice computed tomography (CT) scans (1 mm slices with 1 mm index on axial, sagittal, and coronal reconstructions).<sup>35,36</sup> Continuous bridging bone needed to be visible on at least two contiguous slices or two orthogonal planes to be considered fused. Once fusion was radiographically confirmed, it was assumed that fusion was maintained for all subsequent time points. Lumbar CT fusion status was interpreted by two independent, blinded, board-certified, fellowship-trained, musculoskeletal/neuroradiologists at a centralized imaging lab (Medical Metrics Inc., Houston, TX); disagreements were resolved by a third independent, blinded radiologist. (3) Having  $\geq 15$ -point improvement in ODI from baseline to month 24.<sup>37–40</sup> (4) Having neurological success defined as no new or worsening, persistent sensory or motor deficit from baseline to month 24. The blinded evaluation was performed by a physician experienced in neurological lumbar DDD evaluations. (5) No device-related serious adverse events (SAEs).

Adverse events (AEs), regardless of relatedness to the investigational bone grafts, were fully tracked. AEs were defined as any unfavorable medical occurrence, unintended disease, injury, or clinical signs (including abnormal laboratory findings) in the study participants. SAEs were defined as a more severe type of AE resulting in death; were life-threatening; required inpatient or prolonged hospitalization; caused significant disability or incapacity or required intervention to prevent permanent life-threatening illness or injury or permanent impairment; or led to fetal distress, fetal death, or congenital abnormality/birth defect. The AEs were coded using Medical Dictionary for Regulatory Activities (MedDRA) version 21.0. All safety outcomes were reviewed by a Data and Safety Monitoring Board (DSMB) composed of two independent spine surgeons and a statistician. The DSMB classified adverse events, including secondary surgeries and those related to the bone graft (device-related) or TLIF procedure (procedure-related), as unrelated, possibly related, probably related, or definitely related.

### Data Analysis

The primary prespecified study hypothesis was that P-15L is noninferior to local bone autograft with respect to CCS at 24 months. A noninferiority margin of 12.5% was specified. The null hypothesis that the probability of achieving Month 24 CCS was  $\geq 12.5\%$  smaller among subjects treated with P-15L compared with control was tested at a one-sided type 1-error rate of 0.05 using a Mantel-Haenszel stratified  $\chi^2$  test, stratifying on risk group. If noninferiority was demonstrated, the null hypothesis that the probability of achieving Month 24 CCS was not larger among subjects treated with P-15L compared with controls was tested using a one-sided type 1-error rate of 0.0125. The reduced type 1 error rate was used to control the overall type 1 error to no more than 0.025 when testing superiority in terms of Month 24 CCS and in terms of faster time-to-fusion (the latter results are

reported in a companion manuscript).<sup>41</sup> To allow for 80% power to reject the primary null hypothesis and a 15% loss to follow-up, a sample size of 290 patients was targeted for enrollment. The reported analysis is based on the type of bone graft received (Fig. 1).

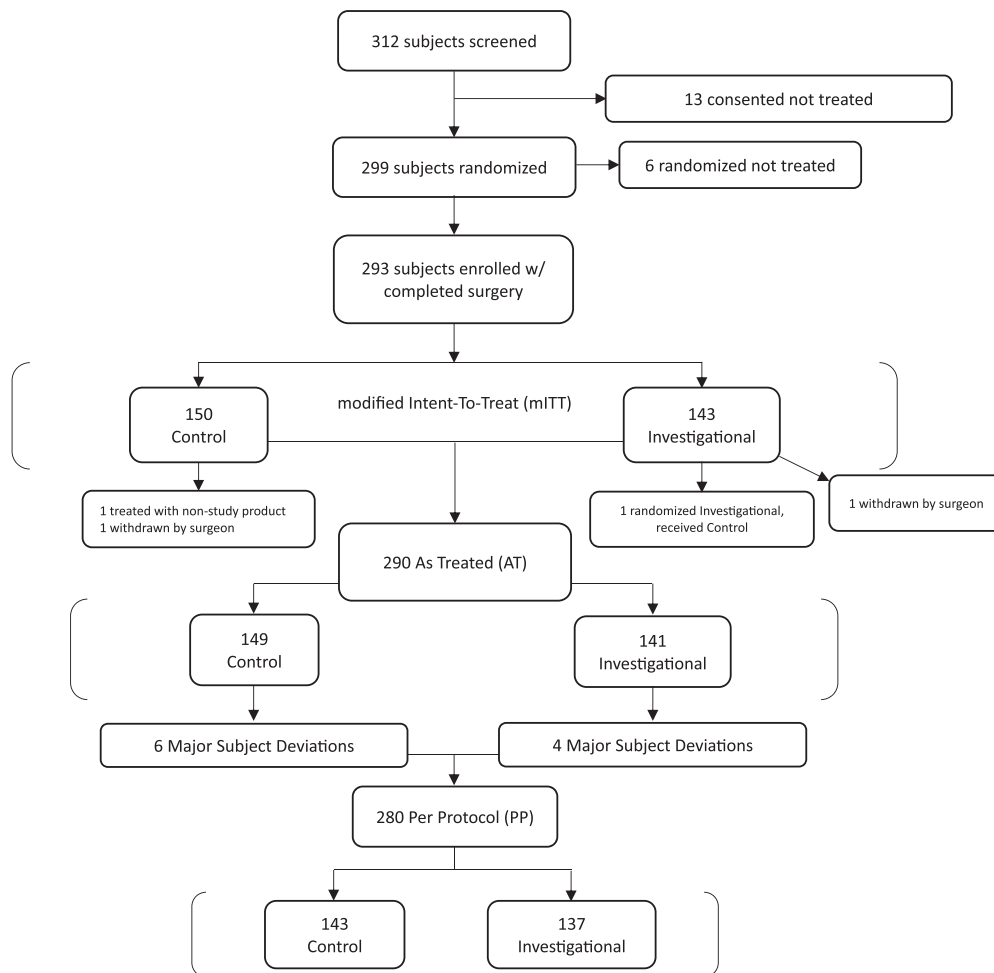
### RESULTS

The analysis included 290 patients; 141 (48.6%) patients received the investigational P-15L and 149 (51.3%) patients received the autograft control; with 119 (85.6%) patients in the investigational group and 128 (86.5%) patients in the control group available for the primary effectiveness endpoint (Month 24 CCS). A total of 65.0% of patients in the investigational group and 81.1% in the control group received >8 mL of the designated bone graft material. Both groups had similar demographics and baseline characteristics (Table 1). The majority of the population (58.9% of the investigational and 60.4% of the control groups) had at least one risk factor [nicotine use, obesity (BMI ≥ 30 kg/m<sup>2</sup>) or type 2 diabetes] for pseudoarthrosis at randomization and were considered the

higher-risk population. Operative characteristics were similar between treatment groups (Table 2). Both groups had a higher percentage of open procedures and index procedures at L4-L5.

At 24 months, 55.5% (66/119) of the P-15L group and 37.5% (48/128) of the autograft group achieved CCS (difference 18%) (Table 3). P-15L was both noninferior ( $P < 0.0001$ ) and superior ( $P = 0.002$ ) to autograft with respect to CCS. In addition, 84.3% (107/127) of patients in the P-15L group and 58.5% (76/130) of the autograft group achieved fusion in the CCS measure at 24 months (difference 25.8%). There were no significant differences between treatment groups with respect to ODI improvement, neurological deficits (motor and sensory), and serious device-related AEs.

Eighty patients (56.7%) in the investigational group and 59 patients (39.6%) in the control group had ≥ 1 TLIF procedure-related AE. Compared with the control, the investigational treatment had a significantly higher proportion of patients with TLIF procedure-related AEs (difference = 17.1%) and procedure-related SAEs (difference = 12.8%). In contrast, the differences in percentages



**Figure 1.** CONSORT flow diagram illustrating participant enrollment, group allocation, and analysis populations for the investigational (P-15L) versus control (autograft) groups. Study findings are based on the As Treated (AT) population.

**TABLE 1.** Patient Demographics

Parameters	P-15L (N = 141), n (%)	Autograft (N = 149), n (%)	P*
Age at surgery (yr)			0.523
Mean (SD)	58.8 (12.3)	59.6 (10.9)	
Range	26–80	30–79	
Sex			0.548
Male	66 (46.8)	75 (50.3)	
Female	75 (53.2)	74 (49.7)	
Race†			0.056
White (Caucasian)	135 (95.7)	134 (89.9)	
Black or African American	6 (4.3)	13 (8.7)	0.124
Asian	0	1 (0.7)	—
American Indian/ Alaskan Native	0	1 (0.7)	—
BMI (kg/m <sup>2</sup> )			0.942
Mean (SD)	31.2 (6.5)	31.2 (5.8)	
Range	16.4–49.7	21.6–47.8	
Higher risk‡			0.790
Yes	83 (58.9)	90 (60.4)	
Diabetes type 2			—
Yes	26 (18.4)	29 (19.5)	
Nicotine use§			—
Yes	16 (11.3)	23 (15.4)	
Obesity (BMI ≥ 30 kg/m <sup>2</sup> )			—
Yes	71 (50.4)	71 (47.7)	

\*Descriptive P-values from *t* test for continuous variables and  $\chi^2$  test for categorical variables.

†Respondents were asked to check all that apply, and so individual categories are evaluated separately.

‡Defined as having 1 or more of the following risk factors: nicotine use, obesity (BMI ≥ 30 kg/m<sup>2</sup>), and/or type 2 diabetes at the time of randomization.

§Cigarettes, vaping, patches, and/or chewing tobacco.

BMI indicates body mass index; N, total number of patients with available data; n, number of patients meeting endpoint.

of subjects with device-related AEs and device-related SAEs between groups were small and not significant (2.2% and 1%, respectively). Specifically, in the investigational group, 22 patients (15.6%) had ≥ 1 device-related AE, and 8 patients had ≥ 1 device-related SAE (5.7%). In the control group, 20 patients (13.4%) had ≥ 1 device-related AE, and 7 patients (4.7%) had ≥ 1 device-related SAE (Table 4). Likewise, there were no significant differences between the investigational or control groups for AEs of special interest (immunologic response, neurological impairment, and excessive bone resorption or formation) or deaths. There were two deaths in the investigational group and one in the control group; none were related to the grafts. Neither the investigational group nor the control group developed heterotopic ossification or osteolysis. Both groups had a low rate of device-related SAEs (Table 5).

The investigational group had 13 SSPs adjudicated as failures by the DSMB (Fig. 2). SSPs adjudicated as failures included modifications to the instrumentation at the index level. Four of the 13 SSPs in the CCS endpoint for P-15L were due to treatment for adjacent-level disease and included modifications to the index level instrumentation (eg, replacement of a screw for the new construct extension) and were not related to the success or failure of the graft material at

**TABLE 2.** Surgery and Operative Characteristics

Parameter	P-15L (N = 141), n (%)	Autograft (N = 149), n (%)	P*
Surgical procedure			0.179
Open	83 (58.9)	76 (51.0)	
Minimally invasive	58 (41.1)	73 (49.0)	
Treatment level, n (%)			0.297
L1-L2	0	1 (0.7)	
L2-L3	6 (4.3)	2 (1.3)	
L3-L4	12 (8.5)	20 (13.4)	
L4-L5	88 (62.4)	88 (59.1)	
L5-S1	35 (24.8)	38 (25.5)	
Facet joint decorticated			0.281
Yes	91 (64.5)	105 (70.5)	
Surgical time (min)			0.413
Mean (SD)	173.1 (51.7)	178.2 (54.6)	
Range	71.0–382.0	79.0–360.0	
Estimated blood loss (mL)			0.538
Mean (SD)	146.2 (144.1)	157.2 (160.9)	
Range	10.0–1100.0	5.0–1000.0	
Anesthesia time (min)			0.515
Mean (SD)	238.6 (60.0)	243.3 (61.1)	
Range	114.0–480.0	160.0–461.0	

\*Descriptive P-values from a  $\chi^2$  test or the Student *t* test for categorical and continuous variables, respectively.

N indicates the total number of patients with available data; n, number of patients meeting endpoint.

the index level. Five were procedure-related, seven were procedure- and device-related (six possibly device-related and one probably device-related), and one was neither procedure- nor device-related (adjacent-level disease). None were definitively device-related. The control group had 4 SSPs adjudicated as failures, with all being both procedure- and device-related. One was definitely device-related (pseudoarthrosis). Removing the procedure-related SSPs from the analysis resulted in no significant difference in device-related secondary surgical procedures between P-15L and autograft at 24 months (seven events 5.0% vs. four events 2.7%, respectively).

## DISCUSSION

The primary hypothesis of this study was that P-15L is a safe and effective alternative to local autologous bone graft for treating patients with up to grade I spondylolisthesis and DDD using TLIF. On the basis of the results of this trial, P-15L has a similar safety profile and higher composite success than autograft. Specifically, at 24 months, P-15L achieved a statistically superior CCS rate of 55.5%, compared with 37.5% in the autograft group. Both groups showed similar improvements in ODI, neurological success, and no meaningful clinical differences in the occurrence of SAEs involving the bone graft devices. P-15L had significantly higher fusion rates over the autograft group at 24 months (84.3% vs. 58.5%). These findings, proving the safety and effectiveness of P-15L in the lumbar spine, are also supported by the results of a

**TABLE 3.** Composite Clinical Success (CCS) at Month 24

Outcome	P-15L (N = 141)		Autograft (N = 149)		P-15L–autograft*		
	N	n (%)	N	n (%)	Diff (%)	LB (%)	UB (%)
CCS*	119	66 (55.5)	128	48 (37.5)	18.0	7.7	28.3
No SSP at the index level	141	128 (90.8)	149	145 (97.3)	-6.5	-11.9	-1.1
Achievement of fusion by month 24	127	107 (84.3)	130	76 (58.5)	25.8	15.2	36.4
≥ 15-point improvement in ODI from baseline to month 24†	110	86 (78.2)	127	103 (81.1)	-2.9	-13.1	7.4
No new or worsening, persistent neurological deficit†	117	110 (94.0)	127	117 (92.1)	1.8	-4.5	8.1
No motor deficit	118	114 (96.6)	128	124 (96.9)	-0.3	-4.7	4.1
No sensory deficit	119	116 (97.5)	127	121 (95.3)	2.2	-2.4	6.8
No serious device-related adverse event through month 24‡	141	134 (95.0)	149	144 (96.6)	-1.6	-6.2	3.0

\*LB of the two-sided 90% CI, or equivalently, the LB of the one-sided 95% noninferiority CI. For noninferiority 90% CI: 18.0 (7.7, 28.3), for superiority 95% CI: 5.7% to 30.2%. The primary study success criterion is LB > -12.5%. Since +7.7% > > -12.5%, the results from this study strongly support noninferiority of P-15L relative to autograft. All other CIs are two-sided 95% CIs. After demonstration of noninferiority in CCS, superiority was tested at a multiplicity control one-sided  $\alpha = 0.025/2 = 0.0125$ . The one-sided superiority *P*-value is 0.002. Since  $0.002 < 0.0125$ , superiority of P-15L relative to autograft is demonstrated.

†Comparing month 24 to baseline.

‡Relatedness includes possible, probable, and definite relatedness.

CCS indicates composite clinical success; LB, lower bound; N, total number of patients with available data; n, number of patients meeting endpoint; ODI, Oswestry low back pain disability questionnaire; SSP, secondary surgical procedure; UB, upper bound.

cervical randomized controlled trial (RCT) evaluating the only other bone graft incorporating the P-15 peptide (ABM/P-15). That cervical RCT demonstrated high fusion rates and statistical superiority in overall clinical success using ABM/P-15 compared with autograft at one and two years postoperatively in single-level anterior cervical discectomy and fusion.<sup>31,32</sup> These clinical benefits were maintained through six years, with sustained improvements in pain, function, and neurological outcomes, and no meaningful differences in safety or reoperation rates compared with autograft.<sup>33</sup>

In the current RCT, there were no significant differences in baseline demographic characteristics between groups with respect to age, sex, height, BMI, race/ethnicity, and nicotine use status. The study was conducted using a multicenter approach and a population reflective of typical real-world clinical practice. P-15L was used as a standalone alternative to autologous bone, in combination with any FDA-cleared PEEK interbody device and posterior instrumentation. Notably, ~60% of the participants were classified as higher-risk, defined as having one or more of the following risk factors: nicotine use, obesity, or type 2 diabetes. These comorbidities are known to have a significant impact on fusion and clinical success.<sup>8–12,42</sup>

The primary endpoint, CCS, demonstrating P-15L to be superior to autograft in this RCT, is a comprehensive measure integrating five clinically meaningful outcomes. This comprehensive endpoint encompasses fusion, functional gains, SSPs, neurological success, and the absence of SAEs related to the bone graft device over a 24-month follow-up and is typical of endpoints used in FDA spine device studies. Importantly, all five of the composite score endpoints had to be met for a patient to be considered a clinical success.<sup>43,44</sup>

After 24 months, the fusion rate for the investigational group (84.3%) was 25.8% higher than the control group (58.5%). Local autograft is widely used in clinical practice<sup>18</sup> and remains the benchmark control for the evaluation of bone graft alternatives. The autograft fusion

rate reported here is consistent with other high-quality RCT studies in single-level TLIF. Nassr *et al.*<sup>45</sup> and Shaffer *et al.*<sup>46</sup> reported local autograft fusion rates of ~56% at 24 months. In order to get accurate fusion assessments, thin-slice CT scans by independent, blinded radiologists were used. Plain lumbar radiography has been shown to accurately assess fusion status in approximately two-thirds of patients.<sup>47</sup> This insensitivity to pseudarthrosis detection leads to higher reported fusion rates with plain radiographs compared with the more accurate thin-slice CT.<sup>36</sup>

This study included a ≥ 15-point improvement in the ODI from baseline to 24 months as self-reported confirmation of improved patient outcome. The reported minimal clinically important difference (MCID) values range from ~9.5 to 15 points in the literature, and therefore, this study chose the upper endpoint. Copay *et al.*<sup>37</sup> calculated an MCID of 12.8 points, while Johnsen *et al.*<sup>38</sup> reported an MCID of 12.88 points with 88% sensitivity and 85% specificity. In addition, a study by Glassman *et al.*<sup>39</sup> suggested that a 15-point improvement in ODI scores, along with maintenance or improvement in SF-36 scores, aligns with good to excellent operative outcomes. Again, the 15-point improvement in the ODI used in this study is at the higher end of what is considered a clinically meaningful change.<sup>37,40</sup>

The CCS considers complications related to secondary surgical procedures, and 90.8% of the investigational group and 97.3% of the control group experienced no SSP. Along with no new or worsening, persistent neurological deficits or serious device-related AEs, this indicates the maintenance of long-term safety. Other high-quality, methodologically similar RCT studies reported a similar absence of SSP rates ranging from 86% to 91.4%.<sup>45,46,48,49</sup> Importantly, there were no meaningful differences between the investigational and control groups concerning graft device-related AEs or SAEs. However, there were a greater number of TLIF procedure-related AEs or SAEs in the investigational group (Table 4). The

**TABLE 4.** Summary of Adverse Event Rates

Parameter	P-15L (N = 141)		Autograft (N = 149)		P-15L–autograft*		
	Events	n (%)	Events	n (%)	Diff. (%)	LB	UB
Any AE	607*	122 (86.5)	6171	121 (81.2)	5.3	−3.1	13.8
Any device-related AE†	28	22 (15.6)	22	20 (13.4)	2.2	−5.9	10.3
Any procedure-related AE†	189	80 (56.7)	141	59 (39.6)	17.1	5.8	28.5
Any SAE	68	45 (31.9)	76	44 (29.5)	2.4	−8.2	13.0
Device/procedure-related SAE	45	37 (26.2)	24	20 (13.4)	12.8	3.7	21.9
Device/procedure-related SAE resulting in SSP	17	11 (7.8)	4	4 (2.7)	5.1	0.0	10.3
Device-related SAE	8	8 (5.7)	8	7 (4.7)	1.0	−4.1	6.1
Device-related SAE resulting in SSP	7	7 (5.0)	4	4 (2.7)	2.3	−2.2	6.7
Procedure-related SAE	45	37 (26.2)	24	20 (13.4)	12.8	3.7	21.9
Procedure-related SAE resulting in SSP	17	11 (7.8)	4	4 (2.7)	5.1	0.0	10.3
Any AE of special interest‡	23	18 (12.8)	31	19 (12.8)	0.0	−7.7	7.7
Deaths	2	2 (1.4)	1	1 (0.7)	0.7	−1.6	3.1

\*All reported AEs regardless of relatedness.

†Device or procedure relatedness includes possible-, probably-, and definitely related.

‡AEs of special interest are immunologic response, neurological impairment, excessive bone resorption, or formation

AE indicates adverse event; LB, lower bound; N, total number of patients with available data; n, number of patients meeting endpoint; SAE, serious adverse event; SSP, secondary surgical procedure; UB, upper bound.

surgical procedure was technically the same in both groups, suggesting the observed differences in procedure-related event rates are chance occurrences potentially related to randomization. Thus, the more specific comparison of device-related AEs and SAEs rather than procedure-related events provides a more salient evaluation when analyzing the potential for AEs when using P-15L, and there were no significant differences in device-related event rates. Significantly, there were no reports of osteolysis, seroma, or heterotopic ossification using P-15L

**TABLE 5.** Device-Related Serious Adverse Events

Device-related serious adverse event	P-15L (N = 141)		Autograft (N = 149)	
	Events	n (%)	Events	n (%)
Dural tear	0	0	0	0
Graft complication	0	0	0	0
Lumbar vertebral fracture	0	0	1	1 (0.7)
Pain in extremity	0	0	0	0
Pseudarthrosis*	2	2 (1.4)	4	4 (2.7)
Cerebrospinal fluid leakage	0	0	0	0
Back pain	1	1 (0.7)	1	1 (0.7)
Radiculopathy†	1	1 (0.7)	0	0
Sciatica	0	0	0	0
Spinal claudication	0	0	1	1 (0.7)
Device dislocation	0	0	0	0
Implant subsidence	0	0	0	0
Wound infection‡	1	1 (0.7)	0	0
Heterotopic ossification	0	0	0	0
Osteolysis	0	0	0	0
Seroma	0	0	0	0
Others§	3	3 (2.1)	1	1 (0.7)

\*Pseudarthrosis includes events reported under the MedDRA terms pseudarthrosis and incomplete spinal fusion.

†Radiculopathy includes events reported under the MedDRA terms lumbar radiculopathy, radiculopathy, and radicular pain.

‡Wound infection includes events reported under the MedDRA terms postoperative wound infection and wound infection.

§Others include musculoskeletal and connective tissue disorders.

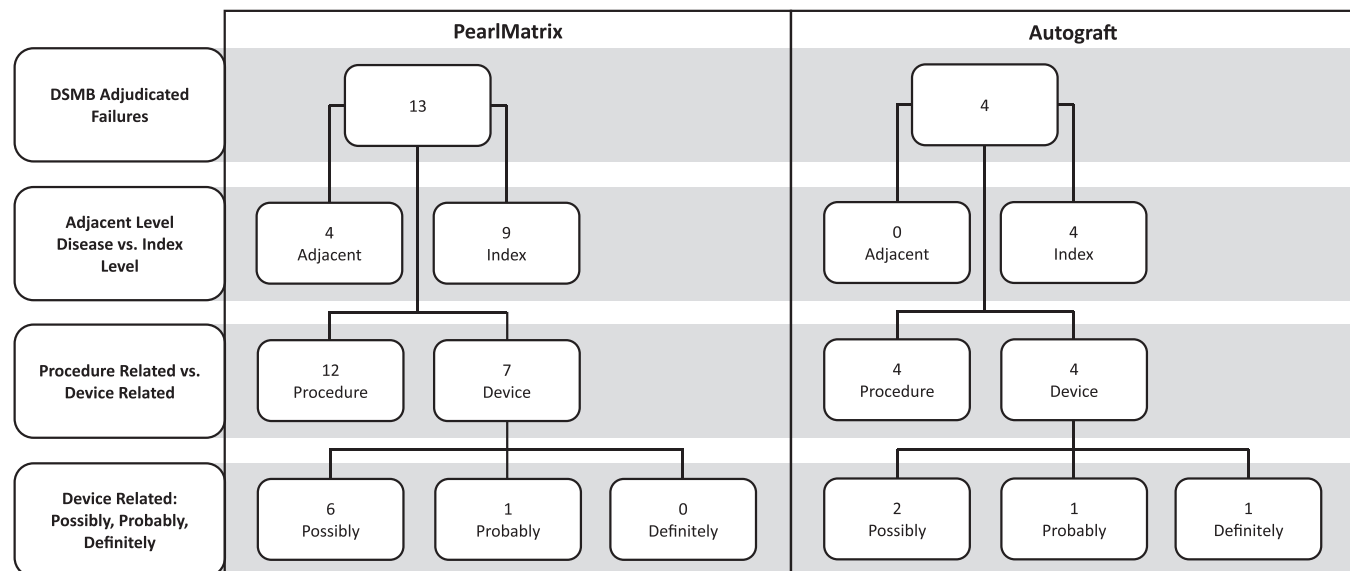
N indicates the total number of patients with available data; n, number of patients meeting endpoint.

in this study, which have been previously highlighted as potential risks associated with the use of exogenous growth factors.<sup>50–52</sup>

This study has some limitations. The results are specific to single-level instrumented TLIF procedures in patients with DDD with up to grade I spondylolisthesis; however, there is inherent variability in surgical technique. Further, TLIF is a technically demanding procedure with known restrictions that can create a challenging fusion environment. These include limited disc access; difficulty with endplate preparation, cage and graft placement; and the use of smaller interbody cages, which result in reduced graft volume and surface area for fusion compared with anterior or lateral approaches.<sup>5,53,54</sup> Moreover, a surgeon's specialty may add variability; however, research suggests that differences in training do not translate to differences in patient outcomes. A large matched-cohort analysis by Shukla *et al.*<sup>55</sup> and a systematic review by Wang *et al.*<sup>56</sup> found no significant differences in complication, reoperation, or re-admission rates between specialties after adjusting for relevant factors. These findings support the generalizability of our results and reflect real-world practice.

PEEK cages were also used, which are known to have less optimal osteointegration properties. In addition, posterolateral fusion (PLF), which is often combined with interbody fusion (circumferential approach) to enhance spinal stability, was excluded from this study. Some studies have reported that, compared with a combined circumferential approach, standalone interbody or PLF techniques may be associated with lower fusion rates, less effective pain relief, and higher rates of postoperative complications, including reoperations.<sup>57–59</sup> Nevertheless, the existing literature presents heterogeneous findings, underscoring the need for high-quality, comparative studies to establish the most effective surgical approach.<sup>60</sup>

Despite the high number of bone graft substitutes available, there is a paucity of high-quality clinical data to support evidence-based decision-making for the majority



**Figure 2.** Flow diagram illustrating the breakdown in secondary surgical procedures (SSPs) between the investigational (P-15L) and control (autograft) groups, by adjacent-level disease and procedure- versus device-related. Device-related is further categorized by degree of relatedness (possibly, probably, and definitely). DSMB indicates Data and Safety Monitoring Board.

of these products.<sup>25</sup> The results from this study demonstrate that P-15L not only equals but surpasses the clinical effectiveness of local autologous bone. P-15L is currently the only biological regulated as a drug-device combination approved for use as a bone graft substitute in TLIF procedures in conjunction with an interbody device.

### CONCLUSION

Instrumented TLIF with P-15L yielded superior composite clinical success and a significantly higher fusion rate when compared with instrumented TLIF with local autograft at 24 months. P-15L has a similar safety profile to local autograft.

### ➤ Key Points

- ❑ P-15L demonstrated superior clinical success compared with the local autograft control in transforaminal lumbar interbody fusion (TLIF) at 24 months.
- ❑ P-15L fusion, assessed using thin-slice CT and independent reviewers, showed a higher fusion rate than the local autograft control in TLIF with instrumentation at 24 months.
- ❑ P-15L showed no apparent safety concerns when compared with autograft.

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