

An EEG based Channel Optimized Classification Approach for Autism Spectrum Disorder

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Abstract—Autism Spectrum Disorder (ASD) is a neuro-developmental condition which affects a person's cognition and behaviour. It is a lifelong condition which cannot be cured completely using any intervention to date. However, early diagnosis and follow-up treatments have a major impact on autistic people. Unfortunately, the current diagnostic practices, which are subjective and behaviour dependent, delay the diagnosis at an early age and makes it harder to distinguish autism from other developmental disorders. Several works of literature explore the possible behaviour-independent measures to diagnose ASD. Abnormalities in EEG can be used as reliable biomarkers to diagnose ASD. This work presents a low-cost and straightforward diagnostic approach to classify ASD based on EEG signal processing and learning models. Possibilities to use a minimum number of EEG channels have been explored. Statistical features are extracted from noise filtered EEG data before and after Discrete Wavelet Transform. Relevant features and EEG channels were selected using correlation-based feature selection. Several learning models and feature vectors have been studied and possibilities to use the minimum number of EEG channels have also been explored. Using Random Forest and Correlation-based Feature Selection, an accuracy level of 93% was obtained.

Keywords—Autism Spectrum Disorder, EEG signal processing, Discrete Wavelet Transform, classification algorithms

I. INTRODUCTION

Autism Spectrum Disorder (ASD) is a complex developmental condition characterized by deficits in social interaction, communication, and restricted and repetitive behaviour. Autism is called a spectrum disorder since there are several types, and the severity of symptoms vary across individuals. It includes three diagnoses: autistic disorder, Asperger's syndrome and pervasive developmental disorder not otherwise specified (PDD-NOS). Autistic people would have communication and behavioural issues, including lack of eye contact and facial expressions. Some children also face intellectual delays. Severe forms of autism might even lead to self-injurious behaviours, seizures, and mental illnesses.

According to a recent CDC report, 1 in 59 children suffers from ASD [1]. Studies have shown that the prevalence of autism is increasing over the years [2]. An early diagnosis

would assist early interventions, which in turn might increase the child's response rate to treatments. Social skills training at an early age has a powerful impact on reversing the symptoms, thus facilitating the autistic people to lead a healthy life. The exact cause for ASD has not been found so far, and no biological tests exist to diagnose ASD. Besides, the current diagnostic practices are based solely on behavioural patterns. Because of that diagnosis before the age of three is difficult as the defining behaviours do not appear at early ages and there are not any simple measurements which could be implemented routinely during the well-baby check-ups. Milder forms of ASD are even harder to diagnose at an early age because the neurodevelopmental symptoms are common to several diagnoses. The fact that with time, etiology and development course become more diverse makes the early diagnosis even more challenging. These drawbacks also lead to misdiagnosis [3].

Several studies have been carried out to find potential, behaviour-independent biomarkers for autism. Many studies have illustrated the correlation between ASD, EEG signals, joint attention, and eye movement [4][5][6]. These diagnostic approaches are expected to be less expensive and easy to implement so that they can be incorporated into the routine well-baby check-ups. Recently, signal processing of EEG data, image processing of fMRI data together with feature extraction and learning models have been researched as a potential approach for classifying ASD.

In this paper, we present an efficient and low-cost approach based on EEG signal processing, statistical feature extraction, and learning models, that can be used to diagnose ASD. The primary goal of this study is to construct learning models based on features obtained from noise filtered, discrete, the optimum number of EEG channels. This paper explores the minimum number of channels required to train the learning models so that the time and cost spent on obtaining EEG data can be reduced. The proposed approach has been implemented in a prototype named ASDGenus. We have managed to achieve 93.33% accuracy using only five channels, unlike the other existing works.

The paper is structured as follows: Section II explores the existing literature, Section III describes the methodology

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including the subjects involved, the dataset and the system model, Section IV discusses the results, and Section V concludes the paper.

II. BACKGROUND

Abnormalities in brain signals used by brain cells to communicate with each other can be used to characterize neurodevelopmental disorders in the early ages before the appearance of behavioural symptoms. Several studies have been carried out previously to find potential behaviour independent biomarkers for autism. These studies show that electroencephalogram (EEG) signals have strong correlations with ASD and can be used as a reliable biomarker [7]. EEG captures the electrical impulses used by the brain cells to communicate with each other through electrodes which are attached to the scalp. The time series obtained from EEG facilitates the observation and analysis of the abnormalities in the underlying neural network.

Pre-processing and feature extraction are necessary steps when using EEG data to train learning models. EEG data contain Ocular (eye blink) and Myogenic artefacts as noise. Several techniques, including Independent Component Analysis (ICA), Statistical Analysis, and Wavelet-Based Analysis, are used for noise removal [8][9]. For feature extraction, techniques such as Principal Component Analysis (PCA) [10] and Discrete Wavelet Transform (DWT) [11] are widely used. The wavelet transform is a non-stationary, time-scale analysis technique which can be used to separate the given signal into frequency elements in various time scales. DWT is the implementation of the wavelet transform over discrete sets of wavelet scales. Table I summarizes the techniques used in the previous studies.

Bosl et al. followed a data-driven approach for the early diagnosis of autism with the help of EEG data [12]. Data were obtained from 89 low-risk controls (LRC) and 99 high-risk for autism (HRA), a total of 188 participants. Participants in

low-risk control had at least one typically developing sibling and no first degree relative with autism, while participants in high-risk control had a sibling with ASD. They used nine non-linear features including sample entropy, detrended fluctuation analysis, entropy derived from recurrence plot, max line length, mean line length, recurrence rate, determinism, laminarity, and trapping time. Using Support Vector Machine (SVM), they were able to distinguish ASD from LRC with 100% accuracy and sensitivity. The predicted severity scores had a strong correlation with the actual severity scores as well. However, sensitivity and accuracy for classifying HRA infants were comparatively low.

Abdulhay et al. studied the inter-channel stability of EEG signals and frequency 3D mapping to investigate the potential for detecting irregularities in EEG signals and connectivity with Autism Spectrum Disorder [13]. EEG data were collected from 20 participants (between 4 to 13 years of age), 10 autistic children and 10 neuro-typical children, using a 64-channel cap according to the International 10-20 system. Selected EEG signals were filtered using a band-pass filter to ensure the range of 0.3 – 40 Hz and noises were removed using ICA method via LA-106 ASA ERP software and by a neurologist. Then, each EEG channel was decomposed by the Empirical Mode Decomposition (EMD) method to extract the Intrinsic Mode Function (IMF). Analytic IMF, Local point-by-point pulsation, Stability loop, and Frequency 3D mapping were used as features in their study. The study found that the inter-channel stability of pulsation plot and the distribution of frequency content throughout the scalp were promising indicators for autism.

Another new complex system based on Artificial Neural Networks (ANNs) was developed using Multi-Scale Ranked Organizing Map coupled with Implicit Function as Squashing Time (MS-ROM/I-FAST) algorithm. One key feature of this system is that it was able to extract features from EEG data without any preliminary pre-processing

TABLE I. SUMMARY OF DATA PRE-PROCESSING AND CLASSIFICATION TECHNIQUES

Related Study Description	Dataset Type	Data pre-processing techniques									Classification techniques						
		Voltage Threshold Method	Visual Inspection	Wavelet Transform	ICA	I-FAST	Makoto's Pre-processing Pipeline	Cluster Fix	Mean-Centering	Band-pass Filter	SVM	Random Forest	KNN	NN	Naïve Bayes	Logistic Regression	Decision Tree
W. Bosl, Tiemey, Tager-Flusberg, & Nelson, 2011 [7]	EEG									X	X		X		X		
Bosl, Tager-Flusberg, & Nelson, 2018 [12]	EEG			X						X	X	X	X				
Abdulhay, Alafeef, Hadoush, Alomari, & Bashayreh, 2017 [13]	EEG				X												
Thapaliya, Jayarathna, & Jaime, 2018 [14]	EEG, Eye movement		X				X				X			X	X	X	
Sahroni, Igasaki, & Murayama, 2015 [15]	EEG								X								
Grossi, Olivieri, & Buscema, 2017 [16]	EEG					X					X	X	X	X	X	X	
Jiang & Zhao, 2017 [17]	Eye movement							X						X			
Fan et al., 2018 [18]	EEG								X	X	X	X	X	X	X		X
Harun et al., 2018 [19]	EEG									X	X			X			
Cheong, Sudirman, & Hussin, 2015 [20]	EEG	X		X						X				X			

I-FAST algorithm consists of three phases: squashing phase, noise elimination phase, and a classification phase. Similarly, in MS-ROM, there are three steps: sampling, projection, and ranking. Using 60 seconds long EEG data obtained from 25 participants, 15 ASD and 10 typically developing, and machine learning models they managed to achieve 100% accuracy using training-testing protocol and 84% - 92.8% accuracy using leave one out protocol.

Even though several works have studied EEG and eye movement separately to find correlations to autism, Thapaliya *et al.* demonstrated the possibility for combining both the EEG and eye movement [14]. Using the data obtained from 52 participants, 24 ASD and 28 control, they studied the identification of ASD and presented the comparison of the performances of different machine learning algorithms. Using EEGLab and applying Makoto's Pre-processing Pipeline and visual inspection, the EEG data were pre-processed. Statistical (mean, standard deviation, and combined mean and standard deviation) and entropy values were used as features. They compared SVM, Logistic Regression, DNN, and Gaussian Naïve Bayes, and the best results were obtained when using Logistic Regression with a combination of EEG and eye tracking data. The achieved accuracy was 100%.

Even though the existing studies have produced significant results, most of them have used either 64 or 128 channel EEG data. The generated systems remain a complete black box without any medical interpretation. By reducing the number of channels required for identifying ASD, real-world practical implementation can be further improved by simplifying the procedure and increasing the affordability. In addition, by reducing the number of channels as well as the number of features the interpretability of the system, one of the crucial aspects in the medical field, can be increased.

III. METHODOLOGY

A. Study Population and EEG Data Processing

The dataset used was a subset of data from the previous work [21]. The study includes 15 participants, 9 males, and 6 females, between 5 and 17 years of age. 10 of them had a prior ASD diagnosis, and the remaining 5 did not. In order to obtain the optimum results using data from 15 subjects and to increase the reliability, we have used k-fold cross-validation approach. EEG data were collected during the ADOS-2 assessment. A 32 channel LiveAmp wireless EEG system with active electrodes was used, and the signals were sampled at 250Hz. Fig. 1 and Fig. 2 shows the electrode locations [22] and the raw EEG signals of the 32 channels, respectively.

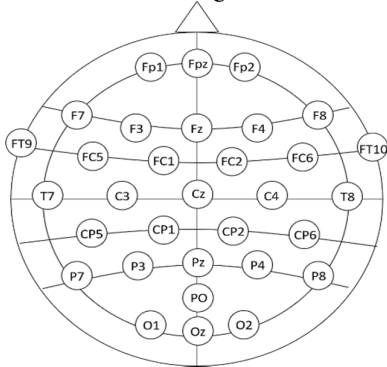


Fig. 1. 32 electrode locations of the EEG channels

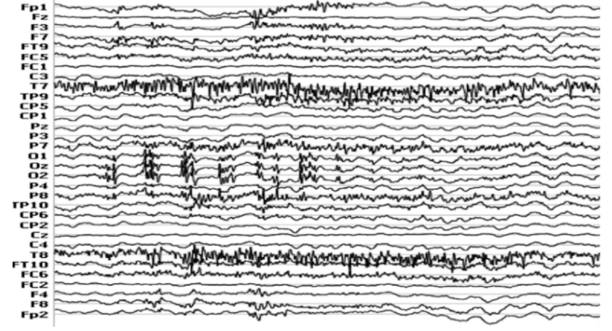


Fig. 2. EEG signals of 32 channels

The channels were recorded using FCz electrode as reference. The data were gathered for 15 minutes on average. Autism Diagnostic Observation Schedule, second edition (ADOS-2) score, and Autism Spectrum Quotient (AQ) values were calculated. ADOS modules 3 and 4 were used.

The noise from eye-blink was filtered using a simple customized algorithm. The idea is to remove the excessive signal patterns when they exceed a threshold level. The discrete signal was traversed, and the outliers were substituted with the cumulative mean. When traversing each data point, the cumulative mean was updated. In Fig. 3, the original signal is shown by blue colour lines and the noise removed signal is indicated by orange colour lines. In this process, eye-blink noise is filtered based on (1). Here, we have defined a threshold T_0 based on visual inspection of the EEG signal in order to identify the abnormal values. If the absolute value of the amplitude is above T_0 , the amplitude is substituted with the cumulative mean, which is the average value of the previously traversed data points.

$$x[n] = \begin{cases} x[n] ; & \text{if } |x[n]| \leq T_0 \\ \frac{1}{n-1} \sum_{k=1}^{n-1} x[k] ; & \text{if } |x[n]| > T_0 \end{cases} \quad (1)$$

B. ASD Classification Model

Since the early intervention of ASD and therapies could increase the response rate of autistic people, the diagnostic approach should be simple, low-cost, and easy to implement. The methodology is a three-phase process: pre-processing, feature extraction, and classification. The system model is shown in Fig. 4. In the pre-processing stage, the noise from eye-blink is filtered.

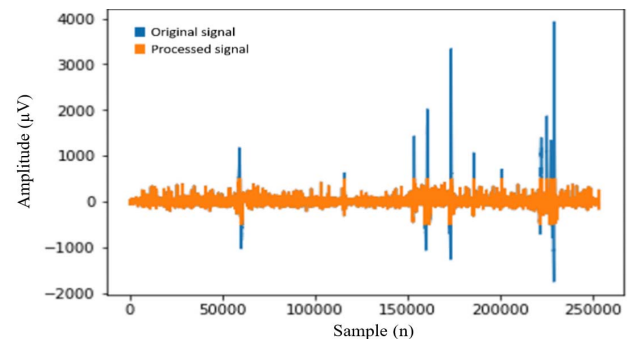


Fig. 3. Original and noise removed discrete EEG signals

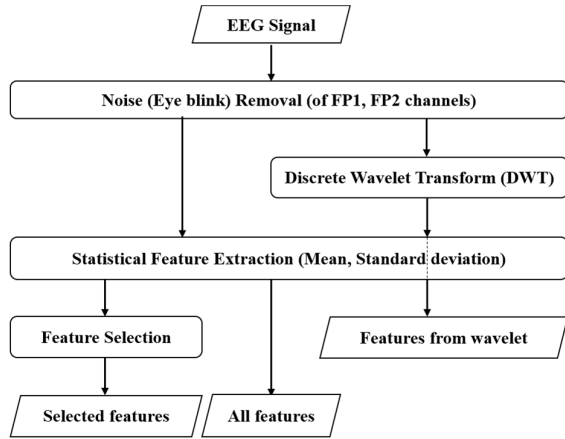


Fig. 4. System model

The feature extraction phase comprises of two components. One component extracts statistical features from the noise-removed EEG signals and the other extracts the statistical features after DWT. We calculated the mean and standard deviation of each channel and the feature vectors consisting of these results.

Studies have shown that the beta frequency band has a considerable influence on classifying ASD [15]. Using DWT, we were able to divide the discrete signal into frequency bands. The decomposition of the DWT is computed by filtering the discrete signal. This filtering uses a low pass filter to obtain the approximation coefficient (CA) and a high pass filter to obtain the detailed coefficient (CD) [20]. The frequency range of the beta band is from 16Hz to 32Hz. The frequency of the original EEG signal was 250Hz. Thus, DWT had to be performed up to four levels. The procedure is shown in Fig. 5. Then, the statistical features of the beta frequency band were extracted.

The extracted statistical features are the mean and standard deviation of the channels. There are 32 channels in the EEG data and two features (mean and standard deviation) for each channel, thus 64 feature vectors in total. We tested four different models using six different feature sets: FS1, FS2, FS3 - set of all 64 extracted features before and after DWT and their combination, FS4 - features selected based on Correlation-based Feature Selection (CFS), FS5 - means and standard deviations of 5 channels included in the output of CFS algorithm and FS6 - means and standard deviations of channels used in the International 10-20 System (Fp1, Fp2, F7, F3, Fz, F4, F8, T3, C3, Cz, C4, T4, T5, P3, Pz, P4, T6, O1, O2 and Common Ground as shown in Fig. 1).

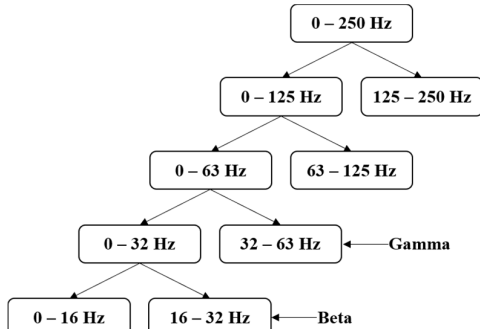


Fig. 5. Discrete Wavelet Transformation of the original EEG signal to separate the beta frequency band

CFS algorithm selects useful features based on a strong correlation to the classification. International 10-20 system is an internationally recognized method of electrode placement on the scalp. In the available dataset, the channels T3, T4, T5, and T6 were not available. Thus, we carried out the tests using the remaining 15 channels. Four learning models (Logistic Regression, Support Vector Machine (SVM), Naïve Bayes and Random Forest) were trained for the classification and validated using cross-validation method. Cross-validation was used for a smaller number of subjects.

C. Implementation Details

The DWT was implemented using the PyWavelets library based on the mathematical model shown in Fig. 6. The approximation coefficient and detailed coefficient mentioned in section B were calculated using band-pass filters $g(n)$ and $h(n)$. Here, $x[n]$ indicates the input signal, while $y[n]$ indicates the output signal of each bandpass filter.

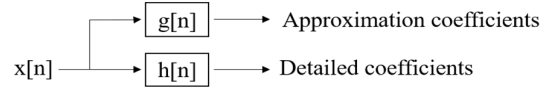


Fig. 6. Wavelet decomposition of EEG signal

The approximation coefficient is obtained by the low-pass filter $g(n)$ as defined in (2)

$$g[n] : y[n] = \alpha \cdot x[n] + (1 - \alpha) \cdot y[n - 1] \quad (2)$$

$$\text{where smoothing factor } \alpha = \frac{\Delta T}{RC + \Delta T}$$

The detailed coefficient is obtained by the high-pass filter $h(n)$ as defined in (3).

$$h[n] : y[n] = \alpha \cdot y[n - 1] + \alpha(x[n] - x[n - 1]) \quad (3)$$

$$\text{where smoothing factor } \alpha = \frac{RC}{RC + \Delta T}$$

$$\text{equivalent time constant } RC = \frac{1}{2\pi f_c}$$

where f_c is the cut off frequency, and ΔT is the sampling period. We have developed a customized algorithm for eye-blink removal and the pseudocode is shown in Algorithm 1.

Algorithm 1: Eye-blink removal

Require: EEG signal

Ensure: Associating input data to a project

1. input: EEG_data_array inputData
 2. cu_mean = inputData[0]
 3. for i; (1 to length of inputData):
 4. If (absolute value of inputData[i] > 500):
 5. inputData[i] = cu_mean
 6. cu_mean = (cu_mean * (i-1) + inputData[i])/i
 7. return inputData
 8. output: processed EEG data array
-

The input to the algorithm is an EEG data array for a channel, which contains the amplitudes of the EEG signal. Then the mean of the channel is initialized as the first element of the EEG data array, assuming that the first value is a valid one (through visual inspection it was confirmed that the signals do not start with abnormal values). Then the absolute value of each amplitude value is checked, if the value is greater than the threshold of 500 microvolts as illustrated in (1), that value is replaced by the channel's cumulative mean. During each iteration, the cumulative mean of the channel is updated. Finally, the updated signal data array is returned.

IV. EVALUATION

This section presents the obtained accuracies of the ASDGenus prototype and their interpretations, as given in Table II. For the feature sets FS1, FS2, and FS3 the accuracy of logistic regression and SVM are comparatively lower than Naïve Bayes and random forest. They cannot be used as reliable classifiers using these sets of features. Among the four, the Naive Bayes model shows comparatively high accuracy close to 75%.

In the feature set FS4, which is obtained using the CFS algorithm, the selected features are means of FT9, P3 and Oz channels, and standard deviations of TP9 and FC2 channels (from this point onwards these five features will be called

Selected Features). Similar to the previous case, SVM does not give good accuracy. However, logistic regression and random forest exhibit high accuracies above 87%. The feature set FS5 includes the means, and standard deviations of all the five channels (FT9, P3, Oz, TP9 and FC2) included in the feature set selected by the CFS algorithm (FS4).

From this point onwards these five channels will be called the Selected Channels. Again, SVM does not show a high accuracy level. As shown in Table II, logistic regression produced the highest accuracy level of 87%. For the feature set FS6, compared to the results of features set FS5, the obtained accuracy levels are relatively low. Naive Bayes has a maximum accuracy of 74%.

TABLE II. SUMMARY OF TEST RESULTS OBTAINED USING DIFFERENT FEATURE SETS AND LEARNING ALGORITHMS

	All Statistical Features (FS1)	Statistical Features After DWT (FS2)	Combined Feature Set (FS3)	Selected Feature Set (FS4)	Selected Channels (FS5)	International 10-20 System (FS6)
Logistic Regression	46.66%	53.33%	53.33%	86.66%	86.66%	60.00%
SVM	53.33%	60%	53.33%	46.66%	53.33%	53.33%
Naive Bayes	73.33%	73.33%	73.33%	73.33%	73.33%	73.33%
Random Forest	66.66%	66.66%	66.66%	93.33%	73.33%	66.66%

Fig. 7 shows the summarized test results graphically. The highest accuracy of 93% is obtained when Random Forest and feature set selected through CFS are coupled together. Logistic Regression also yields an accuracy of 87% when used with selected feature set or with all the features of the selected channels. Compared to other learning models, the results produced by SVM are relatively low. It never surpassed the 60% accuracy mark. The accuracy levels produced by the Naïve Bayes model are consistent at 74% regardless of the feature set. Furthermore, the feature set selected using CFS provides comparatively higher accuracy levels except for SVM.

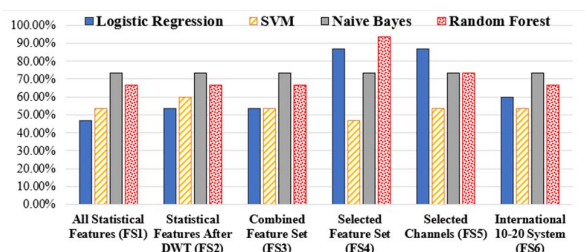


Fig. 7. Graphical representation of the comparison of all the test results

Initially, we started testing the learning models with all the 64 feature vectors (FS1). Then we removed 13 channels and tried using the channels in the International 10-20 System (FS6). We reduced the feature vectors by 24. After this modification, the accuracy of the logistic regression improved from 47% to 60%. However, the others remained unchanged. Then we used the CFS and selected 5 channels included in the results (FS5) (10 feature vectors in total). It improved the accuracy of Logistic Regression considerably from 60% to 87%.

The accuracy of the random forest algorithm also improved from 67% to 74%. Finally, only the 5 features selected by the CFS were used (FS4). Further, it has improved the accuracy of the random forest to 93%. On the contrary, the accuracy of SVM dropped to 47%. Although we have tried different sets of features extracted after DWT (FS2), it has improved the accuracy of logistic regression from 47% to 54%. Moreover, the combination of all the extracted features

before and after DWT (FS3) does not produce better results. The accuracy level drops for SVM and remains unchanged for other learning models. As shown in Table III, we managed to obtain a comparable accuracy of 93% using only 5 channels, unlike the other studies.

TABLE III. COMPARISON OF BEST ACCURACIES

Related Study	No. of Channels	Best Accuracy
ASD classification using EEG and eye movement [14]	128	100%
A data-driven approach to classify ASD [12]	19	100%
Classifying ASD using MS-ROM/I-FAST algorithm [16]	19	100%
EEG as a biomarker for classifying autistic children [7]	64	90%
ASDGenus	5	93.33%

V. CONCLUSION

ASD is a developmental disorder that affects social interaction, communication, and behaviours of a person and may impact on neurological comorbidities. Even though a specific test to identify the severity of ASD outright does not exist, different neurological tests using EEG and eye movement data are being studied for the identification purpose. This paper is focused on an automated approach for early intervention of ASD classification using a minimum number of EEG channels to simplify the process and to increase the affordability, thus enabling better individual treatments. We have mainly considered the feature selection and extraction of EEG signals with the aim of classifying data using a minimum number of channels and machine learning approaches for better decision making. The proposed approach was implemented via the prototype ASDGenus.

We have developed an EEG signal processing algorithm to filter eye blink noise. The feature extraction is done using statistical approaches and discrete wavelet transform based approach. In this paper, we have compared four different learning models, including logistic regression, SVM, Naïve Bayes, and random forest. Moreover, we have considered six different feature sets FS1-FS6.

According to the obtained results, the random forest model coupled with CFS produce the best results with an accuracy of 93%. Logistic regression, coupled with feature sets FS4 and FS5, also produce promising results with an accuracy of 87%. The results obtained from SVM were not satisfactory. The initial tests were carried out using 32 channels, and then in the next stages, the number of channels was reduced to 19 and finally further reduced to 5. We intend to make this objective approach easy to implement during the routine well-baby checkups by reducing the number of channels that make this procedure simple, and time and cost effective. We intend to combine EEG data and thermal imaging data to improve prediction accuracy as future work. Thus, the proposed approach can be extended using thermal image processing techniques and advanced learning models to provide a better-automated decision support system for ASD identification.

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