

1     **Title:** Outcomes of Adolescent Males with Extracranial Metastatic Germ Cell Tumors: A Report  
2  
3  
4  
5  
6     from the Malignant Germ Cell Tumor International Consortium.

7  
8     **Authors:**

9  
10    Furqan Shaikh<sup>1</sup>, Daniel Stark<sup>2</sup>, Adriana Fonseca<sup>1</sup>, Ha Dang<sup>3</sup>, Caihong Xia<sup>3</sup>, Mark Krailo<sup>3</sup>, Farzana  
11  
12    Pashankar<sup>4</sup>, Carlos Rodriguez-Galindo<sup>5</sup>, Thomas A. Olson<sup>6</sup>, James C. Nicholson<sup>7</sup>, Matthew J.  
13  
14    Murray<sup>7</sup>, James F. Amatruda<sup>8</sup>, Deborah Billmire<sup>9</sup>, Sara Stoneham<sup>10</sup>, A. Lindsay Frazier<sup>11</sup>.

15  
16  
17    **Running Title:** Germ Cell Tumors in Adolescent Males

18  
19    **Authors Degrees and Affiliations:**

20  
21    *Furqan Shaikh MD MSc.* The Hospital for Sick Children, University of Toronto  
22  
23  
24    *Daniel Stark MD.* The Institute for Medical Research, University of Leeds  
25  
26    *Adriana Fonseca MD.* The Hospital for Sick Children, University of Toronto  
27  
28    *Ha Dang PhD.* Children's Oncology Group  
29  
30    *Caihong Xia PhD.* Children's Oncology Group  
31  
32    *Mark Krailo PhD.* Children's Oncology Group  
33  
34    *Farzana Pashankar MD.* Yale Cancer Center  
35  
36    *Carlos Rodriguez-Galindo MD.* St. Jude Children's Research Hospital  
37  
38    *Thomas Olson MD.* Aflac Cancer and Blood Disorders Center, Children's Healthcare of Atlanta,  
39  
40    Emory University  
41  
42    *James C. Nichols MD.* Cambridge University Hospitals NHS Foundation Trust, Hills Road,  
43  
44    Cambridge, CB2 0QQ, UK  
45  
46    *Mathew J. Murray MD PhD.* Cambridge University Hospitals NHS Foundation Trust, Hills Road,  
47  
48    Cambridge, CB2 0QQ, UK  
49  
50    *James F. Amatruda MD, PhD.* Children's Hospital Los Angeles.; University of Southern California.  
51  
52  
53  
54  
55  
56  
57

58  
59  
60  

---

This is the author's manuscript of the article published in final edited form as:

1  
2  
3 24 *Deborah Billmire MD.* Riley Hospital for Children  
4

5 25 *Sara Stoneham MD.* Children's and Young Persons Cancer Services, University College London  
6

7  
8 26 Hospital Trusts, 250 Euston Road, London NW1 2PG.  
9

10 27 *A. Lindsay Frazier MD MSc.* Dana-Farber Cancer Institute and Boston Children's Hospital  
11

12 28  
13

14  
15 29 **Corresponding Author:**

16  
17 30 Dr. Adriana Fonseca,  
18

19 31 Division of Hematology/Oncology, The Hospital for Sick Children  
20

21 32 555 University Avenue, Toronto, Ontario, M5G 1X8, Canada  
22

23  
24 33 [adriana.fonseca@sickkids.ca](mailto:adriana.fonseca@sickkids.ca)  
25

26 34 Phone: 1-416-813-7703  
27

28 35 Fax: 1-416-813-5327  
29

30  
31 36  
32

33 37 **Financial support:**

34  
35 38 This work was supported by:  
36

37  
38 39 St. Baldrick's Foundation Consortium Grant  
39

40 40 Bridging the Gap Fund, Dana Farber Cancer Institute  
41

42 41 Katie Walker Cancer Trust  
43

44 42 Teenage Cancer Trust  
45

46 43 William Guy Forbeck Foundation  
47

48  
49 44 The Franklin Foundation  
50

51 45  
52

53  
54 46  
55  
56  
57

1  
2  
3 **47 Conflict of Interest Statement:**  
4  
5

6 48 Furqan Shaikh, Daniel Stark, Adriana Fonseca, Ha Dang, Caihong Xia, Mark Krailo, Farzana  
7

8 49 Pashankar, Thomas Olson, James C. Nichols, Mathew J. Murray, James F. Amatruda, Deborah  
9

10 50 Billmire & Sara Stoneham: No Conflict to declare  
11  
12

13 51 Carlos Rodriguez-Galindo: Advisory board Novimmune; A. Lindsay Frazier: Clinical Advisory  
14

15 52 board for Decibel Therapeutics.  
16  
17

18 53  
19

20 **54 Author Contribution Statement:**  
21  
22

23 55 Furqan Shaikh: Conceptualization, methodology, data curation, formal analysis, original draft,  
24

25 56 writing- review and editing.  
26  
27

28 57 Daniel Stark: Conceptualization, methodology, data acquisition writing - review and editing.  
29

30 58 Adriana Fonseca: Data curation, formal analysis, original draft, and writing- review and editing.  
31  
32

33 59 Ha Dang: Data curation, methodology, formal analysis, writing- review and editing.  
34  
35

36 60 Caihong Xia: Data curation, methodology, formal analysis, writing- review and editing.  
37  
38

39 61 Mark Krailo: Conceptualization, methodology, data curation, formal analysis, writing- review  
40

41 62 and editing.  
42  
43

44 63 Farzana Pashankar: Conceptualization, methodology, data acquisition writing - review and editing.  
45

46 64 Carlos Rodriguez-Galindo: Conceptualization, funding acquisition, methodology, writing - review  
47

48 65 and editing.  
49

50 66 Thomas Olson: Conceptualization, methodology, data acquisition writing - review and editing.  
51

52 67 James C. Nichols: Conceptualization, methodology, data acquisition writing - review and editing.  
53  
54

55 68 Mathew J. Murray: Conceptualization, methodology, data acquisition writing - review and editing.  
56  
57

1  
2  
3 69 James F. Amatruda: Conceptualization, funding acquisition, methodology, writing - review and  
4  
5 70 editing.

6  
7  
8 71 Deborah Billmire: Conceptualization, funding acquisition, methodology, writing - review and  
9  
10 72 editing.

11  
12 73 Sara Stoneham: Conceptualization, methodology, data acquisition writing - review and editing.

13  
14  
15 74 A. Lindsay Frazier: Conceptualization, funding acquisition, methodology, writing - review and  
16  
17 75 editing.

18  
19  
20 76 All authors have made meaningful contributions, approved the final version of the manuscript and  
21  
22 77 are accountable for all aspects of the work.

23  
24  
25 78

26  
27 79 **Lay Summary:**

28  
29 80 Adolescent males with metastatic germ cell tumors are frequently treated with regimens developed  
30  
31 81 for children. In this study, we built a large dataset of male patients with metastatic germ cell  
32  
33 82 tumors across different age groups to understand the outcomes of adolescent patients when  
34  
35 83 compared with children and young adults. Our results suggest that adolescent males with  
36  
37 84 metastatic germ cell tumors have worse results than children and are more similar to young adults  
38  
39 85 with germ cell tumors. Therefore, the treatment of adolescents with germ cell tumors, should  
40  
41 86 resemble young adult therapeutic approaches.

42  
43  
44  
45 87

46  
47 88 **Précis for Table of Contents:**

48  
49  
50 89 EFS for adolescent patients with metastatic germ cell tumors was similar to young adults but  
51  
52 90 significantly worse than for children. This finding highlights the importance of coordinating  
53  
54 91 initiatives across clinical trial organizations to improve outcomes for adolescents and young adults.

1  
2  
3 **92 Abstract:**  
4

5 **93 PURPOSE:** Adolescents with extracranial metastatic germ cell tumors (GCTs) are often treated on  
6  
7  
8 **94** regimens developed for children, but more closely resemble the clinical characteristics of young  
9  
10 **95** adult patients. We sought to determine event-free survival (EFS) for adolescents with GCTs and  
11  
12 **96** compared children and young adults.

13  
14 **97 PATIENTS AND METHODS:** We assembled an individual patient database of eleven GCT trials:  
15  
16  
17 **98** eight conducted by pediatric cooperative groups and three by an adult group. We included male  
18  
19 **99** patients aged 0-30 years with metastatic, non-seminomatous malignant GCTs of the testis,  
20  
21 **100** retroperitoneum, or mediastinum, treated with platinum-based chemotherapy. We categorized age-  
22  
23 **101** group as children (0 to <11 years), adolescents (11 to <18 years), or young adults (18 to <30 years  
24  
25 **102** old). We compared EFS and adjusted for risk-group using Cox proportional hazards analysis.

26  
27  
28 **103 RESULTS:** From a total of 2,024 individual records, 593 patients met inclusion criteria, of whom  
29  
30  
31 **104** 90 were children, 109 were adolescents, and 394 were young adults. The 5-year EFS for  
32  
33 **105** adolescents [72 %; 95% confidence-interval (CI)=62-79%] was lower than for children (90%;  
34  
35 **106** CI=81-95%, p=0.003) or young adults (88%; CI=84-91%, p=0.0002). International Germ Cell  
36  
37 **107** Cancer Collaborative Group (IGCCCG) risk-group was associated with EFS in the adolescent age-  
38  
39 **108** group (p=0.0257). After adjusting for risk-group, the difference in EFS between adolescents and  
40  
41 **109** children remained significant (HR=0.30, p=0.001).

42  
43  
44 **110**  
45  
46  
47 **111 CONCLUSION:** EFS for adolescent patients with metastatic GCTs was similar to young adults  
48  
49 **112** but significantly worse than for children. This finding highlights the importance of coordinating  
50  
51 **113** initiatives across clinical trial organizations to improve outcomes for adolescents and young adults.

52  
53  
54 **114 Keywords:** Germ cell tumors, adolescent males, outcomes, AYA, Testicular GCT.  
55  
56  
57

1  
2  
3 115  
4

5 116 **Total numbers:**

6  
7  
8 117 Text pages: 24  
9

10 118 Tables: 3  
11

12 119 Figures: 3  
13

14  
15 120 Supplemental material: 1 table  
16

17 121 **Previous presentations:**

18  
19 122 ASCO 2019 Annual meeting  
20

21 123 International Extracranial Germ Cell Tumor Conference 2019  
22  
23

24 124  
25

26  
27 125  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## 126 **Background**

127 Adolescents and young adults (AYAs) with cancer are a unique group of patients with  
128 special characteristics.<sup>1-4</sup> AYAs develop a specific spectrum of cancers,<sup>5</sup> require age-appropriate  
129 psychosocial support, and often inhabit a medical ‘no man’s land’<sup>6</sup> where they are neither the  
130 specific focus of pediatric or adult worlds of oncology.<sup>7</sup> This results in their care being under-  
131 researched, trials under-accrued, and optimal management disputed.<sup>8</sup> AYAs may sometimes be  
132 subject to professional competition for patient ‘ownership’ or an individual clinical conviction that  
133 the management used for one age-group is right for another.<sup>9, 10</sup> But specific attention to the needs  
134 of AYA cancer patients has yielded progress. In acute lymphoblastic leukemia, management has  
135 evolved based upon pooling of data from different treatment approaches, with greatly improved  
136 AYA outcomes in recent trials.<sup>11</sup> Similarly, Ewing sarcoma outcomes for AYAs were inferior to  
137 those seen in children, until collaborative protocols overcame this difference.<sup>12, 13</sup> In osteosarcoma,  
138 outcomes for AYAs are also inferior to those observed in children, and pooling of clinical trial  
139 data has hypothesised tractable reasons for these differences related to pharmacologic or clinical  
140 factors.<sup>14</sup> We believe similar advances can be made for AYA patients with GCTs through  
141 collaborative, investigative efforts.

142  
143 Extracranial germ cell tumors (GCTs) account for approximately 3-4% of cancers in  
144 children, 14% of cancers in adolescents aged 15-19 years, and 18% of cancers in young adults  
145 aged 20-30 years.<sup>15, 16</sup> Thus, GCTs are among the few malignancies that are encountered relatively  
146 commonly by both pediatric and medical oncologists. However, treatment regimens have evolved  
147 separately within pediatric and adult oncology collaborative groups. The two groups use different

1  
2  
3 148 staging and risk stratification systems, different numbers of cycles, and different cumulative doses  
4  
5 149 of chemotherapy.<sup>17, 18</sup>  
6  
7

8 150  
9  
10 151 Historically, patients under the age of 15-18 years in North America or under 16 years in  
11  
12 152 the United Kingdom (UK) have been treated on pediatric regimens, and most adolescents within  
13  
14 153 these ages have been treated with the approaches developed for young children. On the other hand,  
15  
16 154 it can be argued that adolescents with GCTs seem to more closely resemble the characteristics of  
17  
18 155 young adult patients with respect to clinical, biological and epidemiological characteristics.<sup>19</sup>  
19  
20 156 Thus, there is a knowledge gap about the optimal approach to treating adolescents with GCTs. To  
21  
22 157 date, it is not known whether adolescents with GCTs are more effectively treated with pediatric or  
23  
24 158 adult approaches. Compounding this matter is the observation that adolescents with GCTs are  
25  
26 159 under-represented in clinical trials, frequently too old to meet the age inclusion criteria of pediatric  
27  
28 160 trials and too young to meet age eligibility for adult studies.<sup>20</sup>  
29  
30  
31  
32

33 161  
34  
35 162 We sought to determine whether adolescents with GCTs experience outcomes that are  
36  
37 163 more alike to children or to young adults, and where the dividing line between pediatric and adult  
38  
39 164 standards of care or clinical trial inclusion criteria should be drawn. There is only limited evidence  
40  
41 165 to help guide such discussions. This limitation stems from the heterogeneous manifestations of  
42  
43 166 GCTs across age-groups which precludes direct comparisons, as well as the relatively small  
44  
45 167 sample size of individual trials which prevents adequately powered subgroup analyses. Previously,  
46  
47 168 Cost et al.<sup>21</sup> reported on the outcomes among 20 children, 39 adolescents, and 354 adult patients  
48  
49 169 with testicular GCTs treated at their institution. The EFS for adolescents was worse when  
50  
51 170 compared with children and young adults, even after adjusting for stage, International Germ Cell  
52  
53  
54  
55  
56  
57  
58  
59  
60



1  
2  
3 171 Cancer Collaborative Group (IGCCCG) risk-group,<sup>17</sup> and histology. However, this was a single  
4  
5 172 centre analysis with a small sample size.  
6  
7  
8 173

9  
10 174 The Malignant Germ Cell Tumour International Consortium (MaGIC) assembled a large  
11  
12 175 pooled dataset of extracranial GCT patients treated across multiple clinical trials and collaborative  
13  
14 176 groups<sup>20, 22</sup>, allowing for secondary analysis of prospective trial data. For this current study, we  
15  
16  
17 177 derived a relatively homogenous subgroup of male patients with GCT across three age-groups  
18  
19 178 (children, adolescents, and young adults) in order to compare event-free survival (EFS). A  
20  
21  
22 179 secondary objective was to determine whether the IGCCCG risk stratification system used in adult  
23  
24 180 studies<sup>17</sup> was predictive of outcome in pediatric or adolescent patients with GCTs.  
25

26 181

## 28 182 **Patients and Methods**

29  
30 183 At the time of this analysis, the MaGIC database included all patients enrolled in five trials  
31  
32 184 conducted by the Children's Oncology Group (COG; INT-1016,<sup>23</sup> INT-0097,<sup>18</sup> AGCT0132,<sup>24</sup>  
33  
34 185 AGCT01P1<sup>25</sup> and P9749<sup>26</sup>), three trials from the Children's Cancer and Leukemia Group (CCLG;  
35  
36 186 GCI,<sup>27</sup> GCII<sup>28</sup> and GCIII<sup>29</sup>), and three trials from the Medical Research Council (MRC; TE09,<sup>30</sup>  
37  
38 187 TE13<sup>31</sup> and TE20<sup>32</sup>). Each trial had received research ethics board approval from the relevant  
39  
40 188 agencies. The project was reviewed and approved by the Institutional Review Board at the Dana-  
41  
42 189 Farber Cancer Institute.  
43  
44  
45  
46  
47 190

48  
49 191 From the total dataset of 2,024 patients, we selected males age 0-30 years with newly  
50  
51 192 diagnosed, metastatic, non-seminomatous malignant GCT of the testis, retroperitoneum or  
52  
53 193 mediastinum. The resulting subgroup of 593 patients provided a population with relatively uniform  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 194 disease characteristics that was large enough to provide adequate numbers of patients within each  
4  
5 195 of the three age-groups.  
6  
7  
8 196

9  
10 197 In order to maintain uniform treatment intensity, we only included patients treated with  
11  
12 198 standard regimens with outcomes known to be similar to each other. The regimens included the  
13  
14 199 adult standard-of-care BEP (weekly bleomycin, represented henceforth by the upper case letter  
15  
16  
17 200 'B', and once per cycle etoposide and cisplatin), the pediatric standard-of-care PEb (cisplatin,  
18  
19 201 etoposide and reduced bleomycin used once per cycle, represented henceforth by the lowercase  
20  
21 202 letter 'b'), HD-PEb (high-dose cisplatin and Eb), C-PEb (cyclophosphamide and PEb), and  
22  
23 203 pediatric JEb (carboplatin and Eb). We included pediatric JEb as it has similar outcomes to  
24  
25 204 pediatric PEb<sup>29, 33</sup>. However, adult patients treated with carboplatin regimens were excluded as  
26  
27 205 these regimens, which notably used lower doses of carboplatin than those used in paediatric  
28  
29 206 regimens, have been shown to be inferior to BEP in randomized trials.<sup>30, 34</sup>  
30  
31  
32

33 207  
34  
35 208 We categorized 'age-group' as children (age 0 to <11 years), adolescents (11 to <18 years),  
36  
37 209 or young adults (18 to <30 years old). The selection of age 11 years as the cut-off between children  
38  
39 210 and adolescents was based on our earlier analysis which showed this age to be the most significant  
40  
41 211 and discriminant prognostic cut-off among pediatric GCTs.<sup>22</sup> We selected 18 years as the defining  
42  
43 212 age between adolescents and young adults as it is the most frequent age of transition from pediatric  
44  
45 213 to adult care in many centres and clinical trials. We defined 'metastatic' as lymph node metastasis  
46  
47 214 or distant sites, classified in the MRC trials as stage II or III, in CCLG as stage II-IV, or in COG  
48  
49 215 as stage III or IV.  
50  
51  
52  
53  
54 216  
55  
56  
57  
58  
59  
60

1  
2  
3 217 Next, we retrospectively applied the IGCCCG risk stratification, assigning each patient to  
4  
5 218 either the good-risk, intermediate-risk, or poor-risk group.<sup>17</sup> The IGCCCG criteria utilize  
6  
7  
8 219 histologic subtype, primary site, sites of metastases, and pre-chemotherapy serum levels of alpha  
9  
10 220 fetoprotein (AFP),  $\beta$  subunit of human chorionic gonadotropin ( $\beta$ HCG), and lactate dehydrogenase  
11  
12 221 (LDH) to determine risk-group, thus providing a composite variable of the most significant (adult)  
13  
14 222 prognostic factors. Of note, tumor marker levels in pediatric trials measured at “diagnosis” may  
15  
16 223 have been pre-surgical levels, rather than post-surgical levels as used by the IGCCCG.  
17  
18 224 Furthermore, since some of the trial protocols of our pooled dataset were conducted prior to the  
19  
20 225 IGCCCG classification, and because IGCCCG risk stratification has not traditionally been applied  
21  
22 226 to pediatric GCT patients, we expected and encountered a high rate of missing values on the  
23  
24 227 relevant data elements, especially LDH levels. If the particular value of a variable was not available  
25  
26 228 to assign the IGCCCG risk group, we assumed (for the primary analysis) that the value would not  
27  
28 229 have increased the assigned risk group (i.e., patients were assigned to the good-risk group by  
29  
30 230 default and positive evidence was required to elevate a patient to the intermediate-risk or poor-risk  
31  
32 231 groups) as this is analogous to what would be done in a clinical setting. A sensitivity analysis  
33  
34 232 including only patients with complete stratifying data available was also performed.  
35  
36  
37  
38  
39  
40  
41

42 234 The primary outcome was EFS, defined as the time interval from date of diagnosis to relapse or  
43  
44 235 progression, second malignancy, death, or date last seen (whichever occurred first). The two  
45  
46 236 potential predictor variables of main interest were age-group and IGCCCG risk-group. We  
47  
48 237 constructed survival curves using the Kaplan-Meier method and used the log-rank test to  
49  
50 238 compare EFS. We examined whether the IGCCCG risk-group within each age-group was  
51  
52 239 significantly associated with EFS. We then conducted a multivariable Cox proportional hazards  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 240 regression analysis to determine whether age-group (with adolescent age as the reference level)  
4  
5 241 remained independently significant when adjusting for IGCCCG risk group. Lastly, we  
6  
7 242 conducted sensitivity analyses to determine whether the results remained the same if we  
8  
9 243 excluded all patients a) who received carboplatin (given historic results of carboplatin studies in  
10  
11 244 adult patients), and b) with mediastinal primary sites of disease (given that mediastinal primary  
12  
13 245 non-seminomatous tumors are assigned to the IGCCCG poor-risk group regardless of any other  
14  
15 246 risk factors). A P-value of  $\leq 0.050$  was considered as evidence of a significant difference. All  
16  
17 247 analyses were conducted by the authors using Stata version 13.1 (College Station, TX).  
18  
19  
20  
21  
22 248

## 23 24 249 **Results**

25  
26 250 The Consort diagram (Fig.1) shows the flow of patients in this study. From a total of 2024  
27  
28 251 non-duplicated records in the pooled database, 593 patients met inclusion criteria, of which 191  
29  
30 252 were from pediatric studies and 402 from adult studies. Table 1 shows the characteristics of the  
31  
32 253 source studies, including their patient populations, regimens used, and the number of patients from  
33  
34 254 each trial who met eligibility criteria for this study.  
35  
36  
37 255

38  
39 256 The characteristics of all included patients are shown in Table 2. The mean ( $\pm$ standard  
40  
41 257 deviation) age was 19.4 ( $\pm 8.9$ ) years. Five-hundred and thirty patients presented with testicular  
42  
43 258 tumors (89.4%), 44 (7.4%) with mediastinal tumors, and 19 (3.3%) with retroperitoneal primary  
44  
45 259 tumors. There were 90 children, 109 adolescents, and 394 young adults. Among the 90 children,  
46  
47 260 84 (93%) were less than 3 years old. Among the 109 adolescents, only four patients were between  
48  
49 261 11 and 13 years old. Tumour marker elevation was significantly different between age-groups:  
50  
51 262 adolescents had the highest mean serum  $\beta$ HCG level (24,288 IU/L) and mean LDH level (934  
52  
53 263 U/L), while the pediatric group demonstrated the highest mean AFP elevation (29,717 ng/mL).  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 264 While there was a significant difference in the proportion of patients with poor-risk tumors in the  
4  
5 265 pediatric and adolescent population (46% and 47% respectively) compared with the adult  
6  
7  
8 266 population (6%), this likely reflected the differences in the inclusion criteria of included studies  
9  
10 267 rather than differences in natural distribution. In the adolescent group, 95/109 (87%) patients were  
11  
12 268 treated with pediatric protocols, of whom 85 received cisplatin-based regimes (PEb) and 10  
13  
14  
15 269 received carboplatin-based regimens (JEB). Fourteen of 109 (13%) adolescents were treated with  
16  
17 270 adult-type regimens (BEP).  
18

19 271  
20  
21 272 Among all 593 patients, there were 91 events and 35 deaths. The overall 5-year EFS was  
22  
23 273 85% [95% confidence intervals (CI) 82-88 %] and the overall 5-year overall survival (OS) was  
24  
25 274 94% (95%; CI 92-96%; Fig 2A). The median follow-up time for patients who survived without an  
26  
27 275 event was 5.9 years (range 0.1 to 14.0 years). Age-group was strongly associated with EFS  
28  
29 276 ( $p=0.0001$ ) (Fig 2B). The 5-year EFS for adolescents (72%; CI = 62-79 %) was lower than for  
30  
31 277 children (90%; CI=81-95 %,  $p=0.003$ ) and for young adults (88%; CI=84-91%,  $p=0.0002$ ). Risk-  
32  
33 278 group was also strongly associated with EFS ( $p<0.0001$ ) (Fig 2C). The 5-year EFS for the good-  
34  
35 279 risk group (89%) was higher than for the intermediate-risk group (76%) ( $p=0.0003$ ) and poor-risk  
36  
37 280 group (76%) ( $p<0.0001$ ).  
38  
39  
40  
41

42 281  
43  
44 282 Figure 3 shows the EFS curves for each age-group stratified by risk-group. Risk-group was  
45  
46 283 not significantly associated with EFS among children ( $p=0.7162$ ) or young adults in this cohort  
47  
48 284 ( $p=0.2703$ ) but was associated with EFS among adolescents ( $p=0.0020$ ). Among the 51  
49  
50 285 adolescents with poor-risk disease, 5-year EFS was only 57% (95% CI=42-70%), the lowest value  
51  
52 286 observed across all subgroup analyses. In an exploratory analysis, the poor outcome in these 51  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 287 patients was not driven by patients being treated on adult regimens (two patients, no events) or  
4  
5 288 JEB regimens (four patients, no events). Adolescent patients treated with the pediatric regimen  
6  
7 289 PEB had a 5- EFS of 64% (95% CI= 53-74%) compared to a 5-yr EFS of 92.9% (95%CI= 59-98%)  
8  
9 290 in adolescent patients treated with the BEP regimen used in adult patients (log-rank  $p=0.0517$ ).  
10  
11  
12 291

13  
14 292 The Cox regression model including both age-group and risk-group (Table 3) demonstrated  
15  
16 293 that, after adjusting for risk-group, the effect of age-group remained statistically significant  
17  
18 294 (likelihood-ratio test for significance of age-group adjusted for risk-group  $p=0.0025$ ). The  
19  
20 295 difference in EFS between adolescents and children remained significant (HR=0.30.,  $p=0.001$ ),  
21  
22 296 but the difference between adolescents and young adults was no longer significant (HR 0.66,  
23  
24 297  $p=0.114$ ). The results did not change if children treated on the carboplatin based JEB regimen were  
25  
26 298 excluded (Table 3), or if patients with mediastinal primary tumors were excluded (Table 3).  
27  
28  
29  
30  
31 299

32  
33 300 In a sensitivity analysis, including only the 465 patients who had complete data for IGCCC  
34  
35 301 risk stratification (78% of total sample size), the direction of results remained the same. In the  
36  
37 302 proportional hazard analysis of these patients (Supplemental Table 1), the difference in EFS  
38  
39 303 between adolescents and children remained significant (HR=0.21,  $p=0.001$ ), and the difference  
40  
41 304 between adolescents and adults was not significant (HR=0.59,  $p=0.081$ ).  
42  
43  
44  
45 305

## 46 306 **Discussion**

47  
48 307 Our study describes the outcomes of adolescent males with extracranial GCTs when  
49  
50 308 compared against children and young adults within a large pooled dataset of collaborative phase  
51  
52 309 III clinical trials. We showed that adolescent males had the lowest 5-year EFS (72%) compared  
53  
54 310 with both children (90%) and young adults (88%) in unadjusted analysis. After adjusting for risk-  
55  
56  
57  
58  
59  
60

1  
2  
3 311 group, the difference between adolescents and children remained significant, but the difference  
4  
5 312 between adolescents and young adults did not. Furthermore, we examined whether the IGCCCG  
6  
7 313 risk-classification system could successfully discriminate outcome among children or adolescents.  
8  
9 314 The risk-groups were associated with outcome among adolescents, but not among children. This  
10  
11 315 showed that the IGCCCG can be usefully applied for adolescents. Children had excellent outcomes  
12  
13 316 regardless of risk-group, further validating the results of the MaGIC risk stratification<sup>22</sup> where all  
14  
15 317 patients <11y belong to the same risk group.  
16  
17  
18

19 318 Our findings also pointed to the under-representation of adolescents in clinical trials. There  
20  
21 319 were only 109 adolescent males with metastatic GCT in this entire dataset, pooled from every  
22  
23 320 pediatric clinical trial across North America and the United Kingdom for the last thirty years.  
24  
25 321 Considering that extracranial metastatic GCT is the most common cancer among adolescent males,  
26  
27 322 and that 430 new testicular GCTs are diagnosed in boys aged 15-19 years in the United States each  
28  
29 323 year,<sup>15</sup> this remarkably small number of patient provides a stark example of the adolescent and  
30  
31 324 young adult (AYA) ‘gap’ in cancer care, research, and outcomes.<sup>35</sup>  
32  
33  
34

35 325  
36  
37 326 A strength of our study was its pooling of multiple good quality clinical trials to assemble  
38  
39 327 the largest sample size currently possible to conduct this comparison, which any individual trial  
40  
41 328 would not have allowed. This analysis focused on the outcomes of non-germinomatous/non-  
42  
43 329 seminomatous GCTs in males, therefore, the results cannot be extrapolated to female patients or  
44  
45 330 patients with pure germinomas/seminomas. One of our major limitations was the inability to  
46  
47 331 analyse the effect of different therapeutic modalities and their individual impact on outcomes.  
48  
49 332 Surgery is a cornerstone in the management of GCTs and the role of retroperitoneal lymph node  
50  
51 333 dissection (RPLND) for post-chemotherapy residual lesions has been well described in the adult  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 334 literature <sup>36-39</sup>; this analysis was unable to account for its contribution to outcome. A potential  
4  
5 335 weakness of the study was its moderate rate of missing data on the variables needed to assign  
6  
7 336 IGCCCG risk-group. However, the results remained unchanged in a sensitivity analysis in which  
8  
9  
10 337 patients with missing data were excluded, suggesting this factor did not affect conclusions. Lastly,  
11  
12 338 since tumor marker levels in pediatric trials measured at diagnosis may have been pre-surgical  
13  
14 339 levels rather than post-surgical levels, it is possible that some pediatric patients may have been  
15  
16 340 miscategorized on their IGCCCG risk group, which would have biased our risk group analyses.  
17  
18  
19 341 However, the direction of this bias would not be expected to weaken the results.  
20  
21  
22 342

23  
24 343 Adolescents with metastatic GCT are biologically and clinically more similar to young  
25  
26 344 adults than children<sup>19</sup>, and this study demonstrates that they are also more alike in outcomes. While  
27  
28 345 this study could not assess the superiority of any particular treatment approach or chemotherapy  
29  
30 346 regimen, we believe it provides enough reason to consider treating adolescent males with GCTs  
31  
32 347 differently than young children. We suggest that adolescent males with metastatic GCTs should  
33  
34 348 be treated with approaches that have been developed with the wider evidence-base of adult  
35  
36 349 testicular cancer, allowing them to receive the dose intensity of weekly bleomycin<sup>40-44</sup>, the  
37  
38 350 predictive stratification of the IGCCCG<sup>17, 32, 45</sup>, and the surgical guidelines for procedures such as  
39  
40 351 RPLND of post-chemotherapy residual tumors<sup>36-39</sup>. All of these are standards-of-care among  
41  
42 352 medical oncologists and urologists treating adults with metastatic GCTs.  
43  
44  
45  
46  
47 353

48  
49 354 The results of this analysis, together with our earlier work on developing a revised GCT  
50  
51 355 risk stratification<sup>46</sup>, has already allowed us to incorporate these lessons into the current generation  
52  
53 356 of GCT clinical trials in the United States and the United Kingdom. The current multi-group trial  
54  
55  
56  
57  
58  
59  
60



1  
2  
3 357 AGCT1531 (NCT03067181) includes all standard-risk patients between age 11-25 years as a  
4  
5 358 single study group and prescribes these standards to all Furthermore, the COG has petitioned and  
6  
7 359 joined two clinical trials led by adult testicular cancer cooperative groups: the ANZUP P3BEP or  
8  
9 360 COG-AGCT1532 trial of accelerated BEP for high-risk patients, and the Alliance-A031102  
10  
11 361 TIGER trial for patients with relapsed testicular GCTs. Both these studies were originally planned  
12  
13 362 for adult patients alone, but on the evidence presented here, their eligibility criteria were modified  
14  
15 363 to include adolescent patients. Taken together, these three trials cover the entire spectrum of  
16  
17 364 adolescent GCTs. The availability of the data is due to the work of the Malignant Germ Cell  
18  
19 365 international Consortium (MaGIC) which has galvanized a remarkable collaboration of multiple  
20  
21 366 cooperative groups across the silos of age-groups and international borders<sup>47</sup>. Through MAGIC  
22  
23 367 and other similar efforts, we hope to provide a path that will narrow the gap and improve outcomes  
24  
25 368 for AYA patients with germ cell tumours.  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

372 **REFERENCES**

- 373 1. Bleyer A, Viny A, Barr R. Cancer in 15- to 29-year-olds by primary site. *Oncologist*. 2006;11:  
374 590-601.
- 375 2. Leonard RC, Gregor A, Coleman RE, Lewis I. Strategy needed for adolescent patients with  
376 cancer. *BMJ*. 1995;311: 387.
- 377 3. Thomas DM, Seymour JF, O'Brien T, Sawyer SM, Ashley DM. Adolescent and young adult  
378 cancer: a revolution in evolution? *Intern Med J*. 2006;36: 302-307.
- 379 4. Carr R, Whiteson M, Edwards M, Morgan S. Young adult cancer services in the UK: the  
380 journey to a national network. *Clin Med*. 2013;13: 258-262.
- 381 5. Birch JM, Alston RD, Kelsey AM, Quinn MJ, Babb P, McNally RJ. Classification and  
382 incidence of cancers in adolescents and young adults in England 1979-1997. *Br J Cancer*.  
383 2002;87: 1267-1274.
- 384 6. Hollis R, Morgan S. The adolescent with cancer--at the edge of no-man's land. *Lancet Oncol*.  
385 2001;2: 43-48.
- 386 7. Albritton KH, Wiggins CH, Nelson HE, Weeks JC. Site of oncologic specialty care for older  
387 adolescents in Utah. *J Clin Oncol*. 2007;25: 4616-4621.
- 388 8. Eden T. Challenges of teenage and young-adult oncology. *Lancet Oncol*. 2006;7: 612-613.
- 389 9. Boissel N, Auclerc MF, Lheritier V, et al. Should adolescents with acute lymphoblastic  
390 leukemia be treated as old children or young adults? Comparison of the French FRALLE-93 and  
391 LALA-94 trials. *J Clin Oncol*. 2003;21: 774-780.
- 392 10. Stark D, Lewis I. Improving outcomes for teenagers and young adults (TYA) with cancer.  
393 *Klin Padiatr*. 2013;225: 331-334.
- 394 11. Hunger SP, Lu X, Devidas M, et al. Improved survival for children and adolescents with  
395 acute lymphoblastic leukemia between 1990 and 2005: a report from the children's oncology  
396 group. *J Clin Oncol*. 2012;30: 1663-1669.
- 397 12. Paulussen S AS, Juergens HF Cure rates in Ewing tumor patients aged over 15 years are  
398 better in pediatric oncology units. Results of GPOH CESS/EICESS studies. *Proc Am Soc Clin*  
399 *Oncol* 2003:816.
- 400 13. Paulussen M DU, Jürgens H, Ranft A. Should Adolescents with Ewing Sarcoma be treated in  
401 pediatric or non-pediatric oncology institutions? An analysis of GPOH Ewing trial  
402 (CESS/EICESS/EURO-E.W.I.N.G.) data. *Pediatr Blood Cancer* 2012:1046.
- 403 14. Collins M WM, Conyers R, Herschtal A, Whelan J, Bielack S, Sydes MR, Gelderblom H,  
404 Ferrari S, Picci P, Smeland S, Eriksson M, Petrilli S, Bleyer A, Thomas DM. Benefits and  
405 adverse events in younger versus older patients receiving adjuvant chemotherapy for  
406 osteosarcoma: findings from a 4,403 patient meta-analysis. *Journal of Clinical Oncology*.  
407 2013;In Press.
- 408 15. Howlader N, Noone AM, Krapcho M, al e. SEER Cancer Statistics Review, 1975-2008,  
409 National Cancer Institute. Available from URL: [http://seer.cancer.gov?csr/1975\\_2008/](http://seer.cancer.gov?csr/1975_2008/) 2011].
- 410 16. CRUK. Teenage and young adult cancer statistics. [accessed 13-05-2013].
- 411 17. International Prognostic Factors Study Group. International germ cell consensus  
412 classification: A prognostic factor-based staging system for metastatic germ cell cancers. *Journal*  
413 *of Clinical Oncology*. 1997;15: 594-603.
- 414 18. Cushing B, Giller R, Cullen J, et al. Randomized comparison of combination chemotherapy  
415 with etoposide, bleomycin, and either high-dose or standard-dose cisplatin in children and  
416 adolescents with high-risk malignant germ cell tumors: A Pediatric Intergroup Study--Pediatric

- 1  
2  
3 417 Oncology Group 9049 and Children's Cancer Group 8882. *Journal of Clinical Oncology*.  
4 418 2004;22: 2691-2700.
- 5 419 19. Collinson K, Murray MJ, Orsi NM, et al. Age-related biological features of germ cell tumors.  
6 420 *Genes Chromosomes Cancer*. 2014;53: 215-227.
- 7 421 20. Stoneham SJ, Hale JP, Rodriguez-Galindo C, et al. Adolescents and young adults with a  
8 422 "rare" cancer: getting past semantics to optimal care for patients with germ cell tumors.  
9 423 *Oncologist*. 2014;19: 689-692.
- 10 424 21. Cost NG, Lubahn JD, Adibi M, et al. A comparison of pediatric, adolescent, and adult  
11 425 testicular germ cell malignancy. *Pediatr Blood Cancer*. 2014;61: 446-451.
- 12 426 22. Frazier AL, Hale JP, Rodriguez-Galindo C, et al. Revised risk classification for pediatric  
13 427 extracranial germ cell tumors based on 25 years of clinical trial data from the United Kingdom  
14 428 and United States. *J Clin Oncol* (in press).
- 15 429 23. Rogers PC, Olson TA, Cullen JW, et al. Treatment of children and adolescents with stage II  
16 430 testicular and stages I and II ovarian malignant germ cell tumors: A Pediatric Intergroup Study--  
17 431 Pediatric Oncology Group 9048 and Children's Cancer Group 8891. *Journal of Clinical*  
18 432 *Oncology*. 2004;22: 3563-3569.
- 19 433 24. Shaikh F, Cullen JW, Olson TA, et al. Reduced and Compressed Cisplatin-Based  
20 434 Chemotherapy in Children and Adolescents With Intermediate-Risk Extracranial Malignant  
21 435 Germ Cell Tumors: A Report From the Children's Oncology Group. *J Clin Oncol*. 2017;35:  
22 436 1203-1210.
- 23 437 25. Malogolowkin MH, Krailo M, Marina N, Olson T, Frazier AL. Pilot study of cisplatin,  
24 438 etoposide, bleomycin, and escalating dose cyclophosphamide therapy for children with high risk  
25 439 germ cell tumors: a report of the children's oncology group (COG). *Pediatr Blood Cancer*.  
26 440 2013;60: 1602-1605.
- 27 441 26. Marina N, Chang KW, Malogolowkin M, et al. Amifostine does not protect against the  
28 442 ototoxicity of high-dose cisplatin combined with etoposide and bleomycin in pediatric germ-cell  
29 443 tumors. *Cancer*. 2005;104: 841-847.
- 30 444 27. Mann JR, Pearson D, Barrett A, Raafat F, Barnes JM, Wallendszus KR. Results of the United  
31 445 Kingdom Children's Cancer Study Group's Malignant Germ Cell Tumor Studies. *Cancer*.  
32 446 1989;63: 1657-1667.
- 33 447 28. Mann JR, Raafat F, Robinson K, et al. The United Kingdom Children's Cancer Study Group's  
34 448 Second Germ Cell Tumor Study: Carboplatin, etoposide, and bleomycin are effective treatment  
35 449 for children with malignant extracranial germ cell tumors, with acceptable toxicity. *Journal of*  
36 450 *Clinical Oncology*. 2000;18: 3809-3818.
- 37 451 29. Depani S, Stoneham S, Krailo M, Xia C, Nicholson J. Results from the UK Children's  
38 452 Cancer and Leukaemia Group study of extracranial germ cell tumours in children and  
39 453 adolescents (GCIH). *Eur J Cancer*. 2019;118: 49-57.
- 40 454 30. Horwich A, Sleijfer DT, Fossa SD, et al. Randomized trial of bleomycin, etoposide, and  
41 455 cisplatin compared with bleomycin, etoposide, and carboplatin in good-prognosis metastatic  
42 456 nonseminomatous germ cell cancer: a Multiinstitutional Medical Research Council/European  
43 457 Organization for Research and Treatment of Cancer Trial. *Journal of Clinical Oncology*.  
44 458 1997;15: 1844-1852.
- 45 459 31. Kaye SB, Mead GM, Fossa S, et al. Intensive induction-sequential chemotherapy with  
46 460 BOP/VIP-B compared with treatment with BEP/EP for poor-prognosis metastatic  
47 461 nonseminomatous germ cell tumor: A randomized Medical Research Council/European

- 1  
2  
3 462 Organization for Research and Treatment of Cancer study. *Journal of Clinical Oncology*.  
4 463 1998;16 (2): 692-701.
- 5 464 32. De Wit R, Roberts JT, Wilkinson PM, et al. Equivalence of three or four cycles of  
6 465 bleomycin, etoposide, and cisplatin chemotherapy and of a 3- or 5-day schedule in good-  
7 466 prognosis germ cell cancer: A randomized study of the European Organization for Research and  
8 467 Treatment of Cancer Genitourinary Tract Cancer Cooperative Group and the Medical Research  
9 468 Council. *Journal of Clinical Oncology*. 2001;19 (6): 1629-1640.
- 10 469 33. Frazier AL, Stoneham S, Rodriguez-Galindo C, et al. Comparison of carboplatin versus  
11 470 cisplatin in the treatment of paediatric extracranial malignant germ cell tumours: A report of the  
12 471 Malignant Germ Cell International Consortium. *Eur J Cancer*. 2018;98: 30-37.
- 13 472 34. Shaikh F, Nathan PC, Hale J, Uleryk E, Frazier AL. Is there a role for carboplatin in the  
14 473 treatment of malignant germ cell tumors? A systematic review of adult and pediatric trials.  
15 474 *Pediatr Blood Cancer*. 2013;60: 587-592.
- 16 475 35. Bleyer A. The adolescent and young adult gap in cancer care and outcome. *Curr Probl*  
17 476 *Pediatr Adolesc Health Care*. 2005;35: 182-217.
- 18 477 36. Albers P, Albrecht W, Algaba F, et al. Guidelines on Testicular Cancer: 2015 Update. *Eur*  
19 478 *Urol*. 2015;68: 1054-1068.
- 20 479 37. Heidenreich A, Haidl F, Paffenholz P, Pape C, Neumann U, Pfister D. Surgical management  
21 480 of complex residual masses following systemic chemotherapy for metastatic testicular germ cell  
22 481 tumours. *Ann Oncol*. 2017;28: 362-367.
- 23 482 38. Hugen CM, Hu B, Jeldres C, et al. Utilization of retroperitoneal lymph node dissection for  
24 483 testicular cancer in the United States: Results from the National Cancer Database (1998-2011).  
25 484 *Urol Oncol*. 2016;34: 487.e487-487.e411.
- 26 485 39. Stephenson AJ, Bosl GJ, Motzer RJ, et al. Retroperitoneal lymph node dissection for  
27 486 nonseminomatous germ cell testicular cancer: impact of patient selection factors on outcome. *J*  
28 487 *Clin Oncol*. 2005;23: 2781-2788.
- 29 488 40. De Wit R, Stoter G, Kaye SB, et al. Importance of bleomycin in combination chemotherapy  
30 489 for good-prognosis testicular nonseminoma: A randomized study of the European Organization  
31 490 for Research and Treatment of Cancer Genitourinary Tract Cancer Cooperative Group. *Journal*  
32 491 *of Clinical Oncology*. 1997;15 (5): 1837-1843.
- 33 492 41. Culine S, Kerbrat P, Kramar A, et al. Refining the optimal chemotherapy regimen for good-  
34 493 risk metastatic nonseminomatous germ-cell tumors: A randomized trial of the Genito-Urinary  
35 494 Group of the French Federation of Cancer Centers (GETUG T93BP). *Annals of Oncology*.  
36 495 2007;18 (5): 917-924.
- 37 496 42. Levi JA, Raghavan D, Harvey V, et al. The importance of bleomycin in combination  
38 497 chemotherapy for good-prognosis germ cell carcinoma. *Journal of Clinical Oncology*. 1993;11  
39 498 (7): 1300-1305.
- 40 499 43. Grimson PS, Stockler MR, Thomson DB, et al. Comparison of Two Standard Chemotherapy  
41 500 Regimens for Good-Prognosis Germ Cell Tumors: Updated Analysis of a Randomized Trial.  
42 501 *JNCI Journal of the National Cancer Institute*. 2010;102: 1253-1262.
- 43 502 44. Toner GC, Stockler MR, Boyer MJ, et al. Comparison of two standard chemotherapy  
44 503 regimens for good-prognosis germ-cell tumours: a randomised trial. *Australian and New Zealand*  
45 504 *Germ Cell Trial Group. Lancet*. 2001;357: 739-745.
- 46 505 45. Einhorn LH, Williams SD, Loehrer PJ, et al. Evaluation of optimal duration of chemotherapy  
47 506 in favorable-prognosis disseminated germ cell tumors: a Southeastern Cancer Study Group  
48 507 protocol. *J Clin Oncol*. 1989;7: 387-391.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

508 46. Frazier AL, Hale JP, Rodriguez-Galindo C, et al. Revised risk classification for pediatric  
509 extracranial germ cell tumors based on 25 years of clinical trial data from the United Kingdom  
510 and United States. *J Clin Oncol.* 2015;33: 195-201.  
511 47. Olson TA, Murray MJ, Rodriguez-Galindo C, et al. Pediatric and Adolescent Extracranial  
512 Germ Cell Tumors: The Road to Collaboration. *J Clin Oncol.* 2015;33: 3018-3028.  
513

514 **Table 1. Characteristics of Included Clinical Trials**

Study	Patients in Source Studies	Regimens	Number in present study
<b>TE09</b>	598 adults with good-prognosis testicular NGGCTs (273 under 30Y)	4BEP	139
		4JEB (Carboplatin AUC 5)	0
<b>TE13</b>	380 adults with poor-prognosis NGGCTs (121 under 30Y)	BEP/EP	58
		BOP/VIP-B	0
<b>TE20</b>	812 adults with good-prognosis GCTs (230 NGGCTs under 30Y)	4BEP or 3BEP	205
<b>GC2</b>	137 children with MGCT	JEB (Carboplatin 600 mg/m <sup>2</sup> )	39
<b>GC3</b>	138 children with MGCT	JEB (Carboplatin 600 mg/m <sup>2</sup> )	9
<b>POG 9048 (INT 1016)</b>	74 children with intermediate-risk NGGCTs	4PEb	0
<b>POG 9049 (INT 0097)</b>	299 children with high-risk MGCTs	4PEb	43
		4HD-PEb	43
<b>P9749</b>	25 children with high-risk MGCT	4HD-PEb	4
<b>AGCT01P1</b>	19 children with high-risk NGGCT	4C-PEb	5
<b>AGCT0132</b>	218 children with intermediate-risk NGGCTs	3PEb	47

Abbreviations: AUC, area under the curve; b, bleomycin once per cycle; B, bleomycin once per week; C, cyclophosphamide; E, etoposide; HD-P, high dose cisplatin; I, ifosfamide; J, carboplatin; MGCT, malignant germ cell tumors; NGGCT, non-germinomatous germ cell tumors; O, vincristine; P, cisplatin; POG, Pediatric Oncology Group; V, etoposide. \* includes 38 patients from GCT2 and 1 patient from GCT1

515

516

517 **Table 2. Patient Characteristics**

Variable	All Pts 0 to 30y N (%)	0 to <11y N (%)	11 to <18y N (%)	18 to 30y N (%)
	N=593	N=90	N=109	N=394
Age mean (SD)	19.4 (8.9)	1.9 (1.9)	14.7 (1.5)	24.8 (3.6)
Testicular	530 (89%)	67 (74%)	82(75%)	381 (96.7%)
Mediastinal tumor	44 (7%)	16 (18%)	22 (20%)	6 (1.5%)
Retroperitoneal	19 (3%)	7(8%)	5(5%)	7 (1.7%)
<b>AFP (ng/mL)</b>				
Mean	6294	29717	6924	857
(range)	(0 -700000)	(8-700000)	(0-96000)	(0-63630)
<1000	449 (76%)	34 (38%)	57 (52%)	358 (91%)
1,000-10,000	68 (11%)	23 (26%)	25 (23%)	20 (5%)
>10,000	62 (10%)	30 (33%)	23 (21%)	9 (2%)
Missing	14 (2%)	3 (3%)	4 (4%)	7 (2%)
<b>βHCG (IU/L)</b>				
Mean	12358	5	24289	11592
(range)	(0-1057700)	(0-62)	(1-990000)	(0-1057700)
<5,000	435 (73%)	33 (37%)	44 (40%)	358 (91%)
5,000 – 50,000	30 (5%)	0 (0%)	12 (11%)	18 (5%)
>50,000	14 (2%)	0 (0%)	3 (3%)	11 (3%)
Missing	114 (19%)	57 (63%)	50 (46%)	7 (2%)
<b>LDH (U/L)</b>				
Mean	587	701	934	500
(range)	(77-5540)	(149-3631)	(77-5540)	(93-5186)
<930	318 (54%)	22 (24%)	40 (37%)	256 (65%)
930-6200	47 (8%)	7 (8%)	19 (17%)	21 (5%)
>6200	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Missing	228 (38%)	61 (68%)	50 (46%)	117 (30%)
Non-pulmonary visceral metastases	34 (6%)	9 (10%)	16 (15 %)	9 (2%)
<b>RiskGroup</b>				
Good	267 (45 %)	4 (4%)	14 (13%)	249 (63%)
Intermediate	82 (14%)	21 (23%)	23 (21%)	38 (10%)
Poor	116 (20%)	41 (46%)	51 (47%)	24 (6%)
Missing	128 (21%)	24 (27%)	21 (19%)	83 (21%)

Abbreviations: AFP, a-fetoprotein; B-HCG, beta subunit of human chorionic gonadotropin; LDH, lactate dehydrogenase.

518

519

520

521 **Table 3. Univariate Kaplan-Meier and Multivariable Cox Regression Analysis of Age-Group**  
 522 **and Risk-Group.**

	Univariate				Multivariate		
All Patients (N=593)							
Variable	5y EFS (%)	Hazard Ratio	95% CI	P value	Hazard Ratio	95% CI	P value
<b>Age Group</b>							
0 - <11	90	0.31	0.14-0.65	<b>0.002</b>	0.30	0.14 – 0.63	<b>0.001</b>
11 - <18	72	Reference			Reference		
18 - <30	88	0.43	0.27-0.68	<b>0.000</b>	0.66	0.40 – 1.11	0.114
<b>Risk Group</b>							
Good	89	0.42	0.26-0.67	<b>0.000</b>	0.42	0.24 – 0.72	<b>0.002</b>
Intermediate	76	0.87	0.48-1.56	0.634	0.88	0.48 – 1.60	0.663
Poor	76	Reference			Reference		
JEB patients excluded* (N=545)							
<b>Age Group</b>							
0 - <11	92	0.21	0.07-0.60	<b>0.004</b>	0.21	0.07 – 0.59	<b>0.003</b>
11 - <18	69	Reference			Reference		
18 - <30	88	0.38	0.24-0.60	<b>0.000</b>	0.62	0.36 – 1.03	0.066
<b>Risk Group</b>							
Good	89	0.36	0.22-0.58	<b>0.000</b>	0.39	0.22 – 0.68	<b>0.001</b>
Intermediate	75	0.77	0.42-1.42	0.401	0.81	0.44 – 1.50	0.489
Poor	73	Reference			Reference		
Mediastinal primary tumors excluded** (N=549)							
<b>Age Group</b>							
0 - <11	89	0.41	0.18-0.94	<b>0.035</b>	0.40	0.108– 0.91	<b>0.029</b>
11 - <18	77	Reference			Reference		
18 - <30	87	0.55	0.33-0.93	<b>0.024</b>	0.83	0.347– 1.47	0.506
<b>Risk Group</b>							
Good	89	0.43	0.25-0.75	<b>0.003</b>	0.40	0.22 – 0.74	<b>0.003</b>
Intermediate	76	0.89	0.46-1.72	0.737	0.88	0.45 – 1.71	0.693
Poor	77	Reference			Reference		

Abbreviations: CI, confidence interval; EFS, event-free survival; JEB, carboplatin/etoposide/reduced bleomycin; N, number; y, years. \*48 Patients received JEB. \*\*44 Patients with mediastinal tumours.

523

524

525

526

527



1  
2  
3 528 **FIGURE LEGENDS**  
4

5 529

6  
7 530 Figure 1. CONSORT diagram describing flow of patients through the study  
8

9 531

10 532

11 533 Figure 2. A) Event-free survival (EFS) and overall survival (OS) for all patients (N=593)

12 534 B) EFS by risk-group; C) EFS by age-group

13 535

14 536

15  
16 537 Figure 3. A) EFS for children (age 0 to <11 years) by risk-group; B) EFS for adolescents (age 11

17 538 to <18 years) by risk-group; C) EFS for young adults (age 18 to <30 years) by risk-group.  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1 **Title:** Outcomes of Adolescent Males with Extracranial Metastatic Germ Cell Tumors:- A Report  
2 from the Malignant Germ Cell Tumor International Consortium.

3 **Authors:**

4 Furqan Shaikh<sup>1</sup>, Daniel Stark<sup>2</sup>, Adriana Fonseca<sup>1</sup>, Ha Dang<sup>3</sup>, Caihong Xia<sup>3</sup>, Mark Krailo<sup>3</sup>, Farzana  
5 Pashankar<sup>4</sup>, Carlos Rodriguez-Galindo<sup>5</sup>, Thomas A. Olson<sup>6</sup>, James C. Nicholson<sup>7</sup>, Matthew J.  
6 Murray<sup>7</sup>, James F. Amatruda<sup>8</sup>, Deborah Billmire<sup>9</sup>, Sara Stoneham<sup>10</sup>, A. Lindsay Frazier<sup>11</sup>.

7 **Running Title:** Germ Cell Tumors in Adolescent Males

8 **Authors Degrees and Affiliations:**

9 *Furqan Shaikh MD MSc.* The Hospital for Sick Children, University of Toronto

10 *Daniel Stark MD.* The Institute for Medical Research, University of Leeds

11 *Adriana Fonseca MD.* The Hospital for Sick Children, University of Toronto

12 *Ha Dang PhD.* Children's Oncology Group

13 *Caihong Xia PhD.* Children's Oncology Group

14 *Mark Krailo PhD.* Children's Oncology Group

15 *Farzana Pashankar MD.* Yale Cancer Center

16 *Carlos Rodriguez-Galindo MD.* St. Jude Children's Research Hospital

17 *Thomas Olson MD.* Aflac Cancer and Blood Disorders Center, Children's Healthcare of Atlanta,

18 Emory University

19 *James C. Nichols MD.* Cambridge University Hospitals NHS Foundation Trust, Hills Road,

20 Cambridge, CB2 0QQ, UK

21 *Mathew J. Murray MD PhD.* Cambridge University Hospitals NHS Foundation Trust, Hills Road,

22 Cambridge, CB2 0QQ, UK

23 *James F. Amatruda MD, PhD.* Children's Hospital Los Angeles.; University of Southern California.

1  
2  
3 24 *Deborah Billmire MD.* Riley Hospital for Children  
4  
5 25 *Sara Stoneham MD.* Children's and Young Persons Cancer Services, University College London  
6  
7  
8 26 Hospital Trusts, 250 Euston Road, London NW1 2PG.  
9

10 27 *A. Lindsay Frazier MD MSc.* Dana-Farber Cancer Institute and Boston Children's Hospital  
11  
12 28

13  
14  
15 29 **Corresponding Author:**

16  
17 30 Dr. Adriana Fonseca,  
18  
19 31 Division of Hematology/Oncology, The Hospital for Sick Children  
20  
21 32 555 University Avenue, Toronto, Ontario, M5G 1X8, Canada  
22  
23 33 [adriana.fonseca@sickkids.ca](mailto:adriana.fonseca@sickkids.ca)

24  
25  
26 34 Phone: 1-416-813-7703  
27

28 35 Fax: 1-416-813-5327  
29  
30  
31 36

32  
33 37 **Financial support:**

34  
35 38 This work was supported by:  
36  
37 39 St. Baldrick's Foundation Consortium Grant  
38  
39 40 Bridging the Gap Fund, Dana Farber Cancer Institute  
40  
41 41 Katie Walker Cancer Trust  
42  
43 42 Teenage Cancer Trust  
44  
45 43 William Guy Forbeck Foundation  
46  
47 44 The Franklin Foundation  
48  
49  
50  
51 45  
52  
53  
54 46  
55  
56  
57

1  
2  
3 **47 Conflict of Interest Statement:**  
4  
5

6 48 Furqan Shaikh, Daniel Stark, Adriana Fonseca, Ha Dang, Caihong Xia, Mark Krailo, Farzana  
7  
8 49 Pashankar, Thomas Olson, James C. Nichols, Mathew J. Murray, James F. Amatruda, Deborah  
9  
10 50 Billmire & Sara Stoneham: No Conflict to declare  
11  
12  
13 51 Carlos Rodriguez-Galindo: Advisory board Novimmune; A. Lindsay Frazier: Clinical Advisory  
14  
15  
16 52 board for Decibel Therapeutics.  
17

18 53  
19  
20 **54 Author Contribution Statement:**  
21

22  
23 55 Furqan Shaikh: Conceptualization, methodology, data curation, formal analysis, original draft,  
24  
25 56 writing- review and editing.  
26

27  
28 57 Daniel Stark: Conceptualization, methodology, data acquisition writing - review and editing.  
29

30  
31 58 Adriana Fonseca: Data curation, formal analysis, original draft, and writing- review and editing.  
32

33 59 Ha Dang: Data curation, methodology, formal analysis, writing- review and editing.  
34

35  
36 60 Caihong Xia: Data curation, methodology, formal analysis, writing- review and editing.  
37

38  
39 61 Mark Krailo: Conceptualization, methodology, data curation, formal analysis, writing- review  
40  
41 62 and editing.  
42

43 63 Farzana Pashankar: Conceptualization, methodology, data acquisition writing - review and editing.  
44

45  
46 64 Carlos Rodriguez-Galindo: Conceptualization, funding acquisition, methodology, writing - review  
47  
48 65 and editing.  
49

50 66 Thomas Olson: Conceptualization, methodology, data acquisition writing - review and editing.  
51

52  
53 67 James C. Nichols: Conceptualization, methodology, data acquisition writing - review and editing.  
54

55 68 Mathew J. Murray: Conceptualization, methodology, data acquisition writing - review and editing.  
56  
57

1  
2  
3 69 James F. Amatruda: Conceptualization, funding acquisition, methodology, writing - review and  
4  
5 70 editing.

6  
7  
8 71 Deborah Billmire: Conceptualization, funding acquisition, methodology, writing - review and  
9  
10 72 editing.

11  
12  
13 73 Sara Stoneham: Conceptualization, methodology, data acquisition writing - review and editing.

14  
15 74 A. Lindsay Frazier: Conceptualization, funding acquisition, methodology, writing - review and  
16  
17 75 editing.

18  
19  
20 76 All authors have made meaningful contributions, approved the final version of the manuscript and  
21  
22 77 are accountable for all aspects of the work.

23  
24  
25 78

26  
27 79 **Lay Summary:**

28  
29 80 Adolescent males with metastatic germ cell tumors are frequently treated with regimens developed  
30  
31 81 for children. In this study, we built a large dataset of male patients with metastatic germ cell  
32  
33 82 tumors across different age groups to understand the outcomes of adolescent patients when  
34  
35 83 compared with children and young adults. Our results suggest that adolescent males with  
36  
37 84 metastatic germ cell tumors have worse results than children and are more similar to young adults  
38  
39 85 with germ cell tumors. Therefore, the treatment of adolescents with germ cell tumors, should  
40  
41 86 resemble young adult therapeutic approaches.

42  
43  
44  
45 87

46  
47 88 **Précis for Table of Contents:**

48  
49  
50 89 EFS for adolescent patients with metastatic germ cell tumors was similar to young adults but  
51  
52 90 significantly worse than for children. This finding highlights the importance of coordinating  
53  
54 91 initiatives across clinical trial organizations to improve outcomes for adolescents and young adults.

**Abstract:**

**PURPOSE:** Adolescents with extracranial metastatic germ cell tumors (GCTs) are often treated on regimens developed for children, but more closely resemble the clinical characteristics of young adult patients. We sought to determine event-free survival (EFS) for adolescents with GCTs and compared children and young adults.

**PATIENTS AND METHODS:** We assembled an individual patient database of eleven GCT trials: eight conducted by pediatric cooperative groups and three by an adult group. We included male patients aged 0-30 years with metastatic, non-seminomatous malignant GCTs of the testis, retroperitoneum, or mediastinum, treated with platinum-based chemotherapy. We categorized age-group as children (0 to <11 years), adolescents (11 to <18 years), or young adults (18 to <30 years old). We compared EFS and adjusted for risk-group using Cox proportional hazards analysis.

**RESULTS:** From a total of 2,024 individual records, 593 patients met inclusion criteria, of whom 90 were children, 109 were adolescents, and 394 were young adults. The 5-year EFS for adolescents [72 %; 95% confidence-interval (CI)=62-79%] was lower than for children (90%; CI=81-95%, p=0.003) or young adults (88%; CI=84-91%, p=0.0002). International Germ Cell Cancer Collaborative Group (IGCCCG) risk-group was associated with EFS in the adolescent age-group (p=0.0257). After adjusting for risk-group, the difference in EFS between adolescents and children remained significant (HR=0.30, p=0.001).

**CONCLUSION:** EFS for adolescent patients with metastatic GCTs was similar to young adults but significantly worse than for children. This finding highlights the importance of coordinating initiatives across clinical trial organizations to improve outcomes for adolescents and young adults.

**Keywords:** Germ cell tumors, adolescent males, outcomes, AYA, Testicular GCT.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

115

116 **Total numbers:**

117 Text pages: 24

118 Tables: 3

119 Figures: 3

120 Supplemental material: 1 table

121 **Previous presentations:**

122 ASCO 2019 Annual meeting

123 International Extracranial Germ Cell Tumor Conference 2019

124

125

## 126 **Background**

127 Adolescents and young adults (AYAs) with cancer are a unique group of patients with  
128 special characteristics.<sup>1-4</sup> AYAs develop a specific spectrum of cancers,<sup>5</sup> require age-appropriate  
129 psychosocial support, and often inhabit a medical ‘no man’s land’<sup>6</sup> where they are neither the  
130 specific focus of pediatric or adult worlds of oncology.<sup>7</sup> This results in their care being under-  
131 researched, trials under-accrued, and optimal management disputed.<sup>8</sup> AYAs may sometimes be  
132 subject to professional competition for patient ‘ownership’ or an individual clinical conviction that  
133 the management used for one age-group is right for another.<sup>9, 10</sup> But specific attention to the needs  
134 of AYA cancer patients has yielded progress. In acute lymphoblastic leukemia, management has  
135 evolved based upon pooling of data from different treatment approaches, with greatly improved  
136 AYA outcomes in recent trials.<sup>11</sup> Similarly, Ewing sarcoma outcomes for AYAs were inferior to  
137 those seen in children, until collaborative protocols overcame this difference.<sup>12, 13</sup> In osteosarcoma,  
138 outcomes for AYAs are also inferior to those observed in children, and pooling of clinical trial  
139 data has hypothesised tractable reasons for these differences related to pharmacologic or clinical  
140 factors.<sup>14</sup> We believe similar advances can be made for AYA patients with GCTs through  
141 collaborative, investigative efforts.

142  
143 Extracranial germ cell tumors (GCTs) account for approximately 3-4% of cancers in  
144 children, 14% of cancers in adolescents aged 15-19 years, and 18% of cancers in young adults  
145 aged 20-30 years.<sup>15, 16</sup> Thus, GCTs are among the few malignancies that are encountered relatively  
146 commonly by both pediatric and medical oncologists. However, treatment regimens have evolved  
147 separately within pediatric and adult oncology collaborative groups. The two groups use different



1  
2  
3 148 staging and risk stratification systems, different numbers of cycles, and different cumulative doses  
4  
5 149 of chemotherapy.<sup>17, 18</sup>  
6  
7

8 150  
9  
10 151 Historically, patients under the age of 15-18 years in North America or under 16 years in  
11  
12 152 the United Kingdom (UK) have been treated on pediatric regimens, and most adolescents within  
13  
14 153 these ages have been treated with the approaches developed for young children. On the other hand,  
15  
16 154 it can be argued that adolescents with GCTs seem to more closely resemble the characteristics of  
17  
18 155 young adult patients with respect to clinical, biological and epidemiological characteristics.<sup>19</sup>  
19  
20 156 Thus, there is a knowledge gap about the optimal approach to treating adolescents with GCTs. To  
21  
22 157 date, it is not known whether adolescents with GCTs are more effectively treated with pediatric or  
23  
24 158 adult approaches. Compounding this matter is the observation that adolescents with GCTs are  
25  
26 159 under-represented in clinical trials, frequently too old to meet the age inclusion criteria of pediatric  
27  
28 160 trials and too young to meet age eligibility for adult studies.<sup>20</sup>  
29  
30  
31  
32

33 161  
34  
35 162 We sought to determine whether adolescents with GCTs experience outcomes that are  
36  
37 163 more alike to children or to young adults, and where the dividing line between pediatric and adult  
38  
39 164 standards of care or clinical trial inclusion criteria should be drawn. There is only limited evidence  
40  
41 165 to help guide such discussions. This limitation stems from the heterogeneous manifestations of  
42  
43 166 GCTs across age-groups which precludes direct comparisons, as well as the relatively small  
44  
45 167 sample size of individual trials which prevents adequately powered subgroup analyses. Previously,  
46  
47 168 Cost et al.<sup>21</sup> reported on the outcomes among 20 children, 39 adolescents, and 354 adult patients  
48  
49 169 with testicular GCTs treated at their institution. The EFS for adolescents was worse when  
50  
51 170 compared with children and young adults, even after adjusting for stage, International Germ Cell  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 171 Cancer Collaborative Group (IGCCCG) risk-group,<sup>17</sup> and histology. However, this was a single  
4  
5 172 centre analysis with a small sample size.  
6  
7  
8 173

9  
10 174 The Malignant Germ Cell Tumour International Consortium (MaGIC) assembled a large  
11  
12 175 pooled dataset of extracranial GCT patients treated across multiple clinical trials and collaborative  
13  
14 176 groups<sup>20, 22</sup>, allowing for secondary analysis of prospective trial data. For this current study, we  
15  
16 177 derived a relatively homogenous subgroup of male patients with GCT across three age-groups  
17  
18 178 (children, adolescents, and young adults) in order to compare event-free survival (EFS). A  
19  
20 179 secondary objective was to determine whether the IGCCCG risk stratification system used in adult  
21  
22 180 studies<sup>17</sup> was predictive of outcome in pediatric or adolescent patients with GCTs.  
23  
24  
25

26 181

## 28 182 **Patients and Methods**

29  
30 183 At the time of this analysis, the MaGIC database included all patients enrolled in five trials  
31  
32 184 conducted by the Children's Oncology Group (COG; INT-1016,<sup>23</sup> INT-0097,<sup>18</sup> AGCT0132,<sup>24</sup>  
33  
34 185 AGCT01P1<sup>25</sup> and P9749<sup>26</sup>), three trials from the Children's Cancer and Leukemia Group (CCLG;  
35  
36 186 GCI,<sup>27</sup> GCII<sup>28</sup> and GCIII<sup>29</sup>), and three trials from the Medical Research Council (MRC; TE09,<sup>30</sup>  
37  
38 187 TE13<sup>31</sup> and TE20<sup>32</sup>). Each trial had received research ethics board approval from the relevant  
39  
40 188 agencies. The project was reviewed and approved by the Institutional Review Board at the Dana-  
41  
42 189 Farber Cancer Institute.  
43  
44  
45

46 190

47  
48 191 From the total dataset of 2,024 patients, we selected males age 0-30 years with newly  
49  
50 192 diagnosed, metastatic, non-seminomatous malignant GCT of the testis, retroperitoneum or  
51  
52 193 mediastinum. The resulting subgroup of 593 patients provided a population with relatively uniform  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 194 disease characteristics that was large enough to provide adequate numbers of patients within each  
4  
5 195 of the three age-groups.  
6  
7

8 196  
9  
10 197 In order to maintain uniform treatment intensity, we only included patients treated with  
11  
12 198 standard regimens with outcomes known to be similar to each other. The regimens included the  
13  
14 199 adult standard-of-care BEP (weekly bleomycin, represented henceforth by the upper case letter  
15  
16 200 'B', and once per cycle etoposide and cisplatin), the pediatric standard-of-care PEb (cisplatin,  
17  
18 201 etoposide and reduced bleomycin used once per cycle, represented henceforth by the lowercase  
19  
20 202 letter 'b'), HD-PEb (high-dose cisplatin and Eb), C-PEb (cyclophosphamide and PEb), and  
21  
22 203 pediatric JEb (carboplatin and Eb). We included pediatric JEb as it has similar outcomes to  
23  
24 204 pediatric PEb<sup>29, 33</sup>. However, adult patients treated with carboplatin regimens were excluded as  
25  
26 205 these regimens, which notably used lower doses of carboplatin than those used in paediatric  
27  
28 206 regimens, have been shown to be inferior to BEP in randomized trials.<sup>30, 34</sup>  
29  
30  
31  
32

33 207  
34  
35 208 We categorized 'age-group' as children (age 0 to <11 years), adolescents (11 to <18 years),  
36  
37 209 or young adults (18 to <30 years old). The selection of age 11 years as the cut-off between children  
38  
39 210 and adolescents was based on our earlier analysis which showed this age to be the most significant  
40  
41 211 and discriminant prognostic cut-off among pediatric GCTs.<sup>22</sup> We selected 18 years as the defining  
42  
43 212 age between adolescents and young adults as it is the most frequent age of transition from pediatric  
44  
45 213 to adult care in many centres and clinical trials. We defined 'metastatic' as lymph node metastasis  
46  
47 214 or distant sites, classified in the MRC trials as stage II or III, in CCLG as stage II-IV, or in COG  
48  
49 215 as stage III or IV.  
50  
51  
52  
53

54 216  
55  
56  
57  
58  
59  
60

1  
2  
3 217 Next, we retrospectively applied the IGCCCG risk stratification, assigning each patient to  
4  
5 218 either the good-risk, intermediate-risk, or poor-risk group.<sup>17</sup> The IGCCCG criteria utilize  
6  
7  
8 219 histologic subtype, primary site, sites of metastases, and pre-chemotherapy serum levels of alpha  
9  
10 220 fetoprotein (AFP),  $\beta$  subunit of human chorionic gonadotropin ( $\beta$ HCG), and lactate dehydrogenase  
11  
12 221 (LDH) to determine risk-group, thus providing a composite variable of the most significant (adult)  
13  
14  
15 222 prognostic factors. Of note, tumor marker levels in pediatric trials measured at “diagnosis” may  
16  
17 223 have been pre-surgical levels, rather than post-surgical levels as used by the IGCCCG.  
18  
19 224 Furthermore, sSince some of the trial protocols of our pooled dataset were conducted prior to the  
20  
21 225 IGCCCG classification, and because IGCCCG risk stratification has not traditionally been applied  
22  
23  
24 226 to pediatric GCT patients, we expected and encountered a high rate of missing values on the  
25  
26 227 relevant data elements, especially LDH levels. If the particular value of a variable was not  
27  
28 228 available to assign the IGCCCG risk group, we assumed (for the primary analysis) that the value  
29  
30  
31 229 would not have increased the assigned risk group (i.e., patients were assigned to the good-risk  
32  
33 230 group by default and positive evidence was required to elevate a patient to the intermediate-risk or  
34  
35 231 poor-risk groups) as this is analogous to what would be done in a clinical setting. A sensitivity  
36  
37 232 analysis including only patients with complete stratifying data available was also performed.  
38  
39  
40 233  
41  
42 234 The primary outcome was EFS, defined as the time interval from date of diagnosis to relapse or  
43  
44 235 progression, second malignancy, death, or date last seen (whichever occurred first). The two  
45  
46  
47 236 potential predictor variables of main interest were age-group and IGCCCG risk-group. We  
48  
49 237 constructed survival curves using the Kaplan-Meier method and used the log-rank test to  
50  
51 238 compare EFS. We examined whether the IGCCCG risk-group within each age-group was  
52  
53  
54 239 significantly associated with EFS. We then conducted a multivariable Cox proportional hazards

1  
2  
3 240 regression analysis to determine whether age-group (with adolescent age as the reference level)  
4  
5 241 remained independently significant when adjusting for IGCCCG risk group. Lastly, we  
6  
7 242 conducted sensitivity analyses to determine whether the results remained the same if we  
8  
9 243 excluded all patients a) who received carboplatin (given historic results of carboplatin studies in  
10  
11 244 adult patients), and b) with mediastinal primary sites of disease (given that mediastinal primary  
12  
13 245 non-seminomatous tumors are assigned to the IGCCCG poor-risk group regardless of any other  
14  
15 246 risk factors). A P-value of  $\leq 0.050$  was considered as evidence of a significant difference. All  
16  
17  
18  
19 247 analyses were conducted by the authors using Stata version 13.1 (College Station, TX).  
20  
21  
22 248

## 23 24 249 **Results**

25  
26 250 The Consort diagram (Fig.1) shows the flow of patients in this study. From a total of 2024  
27  
28 251 non-duplicated records in the pooled database, 593 patients met inclusion criteria, of which 191  
29  
30 252 were from pediatric studies and 402 from adult studies. Table 1 shows the characteristics of the  
31  
32 253 source studies, including their patient populations, regimens used, and the number of patients from  
33  
34 254 each trial who met eligibility criteria for this study.  
35  
36  
37 255

38  
39 256 The characteristics of all included patients are shown in Table 2. The mean ( $\pm$ standard  
40  
41 257 deviation) age was 19.4 ( $\pm 8.9$ ) years. Five hundred and thirty patients presented with testicular  
42  
43 258 tumors (89.4%), 44 (7.4%) with mediastinal tumors, and 19 (3.3%) with retroperitoneal primary  
44  
45 259 tumors. There were 90 children, 109 adolescents, and 394 young adults. Among the 90 children,  
46  
47 260 84 (93%) were less than 3 years old. Among the 109 adolescents, only four patients were between  
48  
49 261 11 and 13 years old. Tumour marker elevation was significantly different between age-groups:  
50  
51 262 adolescents had the highest mean serum  $\beta$ HCG level (24,288 IU/L) and mean LDH level (934  
52  
53 263 U/L), while the pediatric group demonstrated the highest mean AFP elevation (29,717 ng/mL).  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 264 While there was a significant difference in the proportion of patients with poor-risk tumors in the  
4  
5 265 pediatric and adolescent population (46% and 47% respectively) compared with the adult  
6  
7 266 population (6%), this likely reflected the differences in the inclusion criteria of included studies  
8  
9  
10 267 rather than differences in natural distribution. In the adolescent group, 95/109 (87%) patients were  
11  
12 268 treated with pediatric protocols, of whom, 35 received cisplatin-based regimens (PEb) and 10  
13  
14 269 received carboplatin-based regimens (IEb). Fourteen of 109 (13%) adolescents were treated with  
15  
16 270 adult-type regimens (EB).

17  
18  
19 271  
20  
21 272 Among all 593 patients, there were 91 events and 35 deaths. The overall 5-year EFS was  
22  
23 273 85% [95% confidence intervals (CI) 82-88 %] and the overall 5-year overall survival (OS) was  
24  
25 274 94% (95%; CI 92-96%; Fig 2A). The median follow-up time for patients who survived without an  
26  
27 275 event was 5.9 years (range 0.1 to 14.0 years). Age-group was strongly associated with EFS  
28  
29 276 ( $p=0.0001$ ) (Fig 2B). The 5-year EFS for adolescents (72%; CI = 62-79 %) was lower than for  
30  
31 277 children (90%; CI=81-95 %,  $p=0.003$ ) and for young adults (88%; CI=84-91%,  $p=0.0002$ ). Risk-  
32  
33 278 group was also strongly associated with EFS ( $p<0.0001$ ) (Fig 2C). The 5-year EFS for the good-  
34  
35 279 risk group (89%) was higher than for the intermediate-risk group (76%) ( $p=0.0003$ ) and poor-risk  
36  
37 280 group (76%) ( $p<0.0001$ ).

38  
39  
40 281  
41  
42 282 Figure 3 shows the EFS curves for each age-group stratified by risk-group. Risk-group was  
43  
44 283 not significantly associated with EFS among children ( $p=0.7162$ ) or young adults in this cohort  
45  
46 284 ( $p=0.2703$ ) but was associated with EFS among adolescents ( $p=0.0020$ ). Among the 51  
47  
48 285 adolescents with poor-risk disease, 5-year EFS was only 57% (95% CI=42-70%), the lowest value  
49  
50 286 observed across all subgroup analyses. In an exploratory analysis, the poor outcome in these 51  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 287 patients was not driven by patients being treated on adult regimens (two patients, no events) or  
4  
5 288 JEB regimens (four patients, no events). Adolescent patients treated with the pediatric regimen  
6  
7  
8 289 EB had a 5-yr EFS of 64% (95% CI= 53-74%) compared to a 5-yr EFS of 92.9% (95% CI= 59-98%)  
9  
10 290 in adolescent patients treated with the BEP regimen used in adult patients (log-rank p=0.0517).  
11  
12  
13

14  
15 292 The Cox regression model including both age-group and risk-group (Table 3) demonstrated  
16  
17 293 that, after adjusting for risk-group, the effect of age-group remained statistically significant  
18  
19 294 (likelihood-ratio test for significance of age-group adjusted for risk-group p=0.0025). The  
20  
21 295 difference in EFS between adolescents and children remained significant (HR=0.30., p=0.001),  
22  
23 296 but the difference between adolescents and young adults was no longer significant (HR 0.66,  
24  
25 297 p=0.114). The results did not change if children treated on the carboplatin based JEB regimen were  
26  
27 298 excluded (Table 3), or if patients with mediastinal primary tumors were excluded (Table 3).  
28  
29  
30

31 299  
32  
33 300 In a sensitivity analysis, including only the 465 patients who had complete data for IGCCC  
34  
35 301 risk stratification (78% of total sample size), the direction of results remained the same. In the  
36  
37 302 proportional hazard analysis of these patients (Supplemental Table 1), the difference in EFS  
38  
39 303 between adolescents and children remained significant (HR=0.21, p=0.001), and the difference  
40  
41 304 between adolescents and adults was not significant (HR=0.59, p=0.081).  
42  
43  
44

45 305

## 46 306 **Discussion**

47  
48 307 Our study describes the outcomes of adolescent males with extracranial GCTs when  
49  
50 308 compared against children and young adults within a large pooled dataset of collaborative phase  
51  
52 309 III clinical trials. We showed that adolescent males had the lowest 5-year EFS (72%) compared  
53  
54 310 with both children (90%) and young adults (88%) in unadjusted analysis. After adjusting for risk-  
55  
56  
57  
58  
59  
60

1  
2  
3 311 group, the difference between adolescents and children remained significant, but the difference  
4  
5 312 between adolescents and young adults did not. Furthermore, we examined whether the IGCCCG  
6  
7 313 risk-classification system could successfully discriminate outcome among children or adolescents.  
8  
9 314 The risk-groups were associated with outcome among adolescents, but not among children. This  
10  
11 315 showed that the IGCCCG can be usefully applied for adolescents. Children had excellent outcomes  
12  
13 316 regardless of risk-group, further validating the results of the MaGIC risk stratification<sup>22</sup> where all  
14  
15 317 patients <11y belong to the same risk group.  
16  
17  
18

19 318 Our findings also pointed to the under-representation of adolescents in clinical trials. There  
20  
21 319 were only 109 adolescent males with metastatic GCT in this entire dataset, pooled from every  
22  
23 320 pediatric clinical trial across North America and the United Kingdom for the last thirty years.  
24  
25 321 Considering that extracranial metastatic GCT is the most common cancer among adolescent males,  
26  
27 322 and that 430 new testicular GCTs are diagnosed in boys aged 15-19 years in the United States each  
28  
29 323 year,<sup>15</sup> this remarkably small number of patient provides a stark example of the adolescent and  
30  
31 324 young adult (AYA) ‘gap’ in cancer care, research, and outcomes.<sup>35</sup>  
32  
33  
34  
35

36 325  
37  
38 326 A strength of our study was its pooling of multiple good quality clinical trials to assemble  
39  
40 327 the largest sample size currently possible to conduct this comparison, which any individual trial  
41  
42 328 would not have allowed. This analysis focused on the outcomes of non-germinomatous/non-  
43  
44 329 seminomatous GCTs in males, therefore, the results cannot be extrapolated to female patients or  
45  
46 330 patients with pure germinomas/seminomas. One of our major limitations was the inability to  
47  
48 331 analyse the effect of different therapeutic modalities and their individual impact on outcomes.  
49  
50 332 Surgery is a cornerstone in the management of GCTs and the role of retroperitoneal lymph node  
51  
52 333 dissection (RPLND) for post-chemotherapy residual lesions has been well described in the adult  
53  
54  
55  
56  
57  
58  
59  
60



1  
2  
3 334 literature <sup>36-39</sup>; this analysis was unable to account for its contribution to outcome. A potential  
4  
5 335 weakness of the study was its moderate rate of missing data on the variables needed to assign  
6  
7 336 IGCCCG risk-group. However, the results remained unchanged in a sensitivity analysis in which  
8  
9  
10 337 patients with missing data were excluded, suggesting this factor did not affect conclusions. Lastly,  
11  
12 338 since tumor marker levels in pediatric trials measured at diagnosis may have been pre-surgical  
13  
14 339 levels rather than post-surgical levels, it is possible that some pediatric patients may have been  
15  
16 340 miscategorized on their IGCCCG risk group, which would have biased our risk group analyses.  
17  
18  
19 341 However, the direction of this bias would not be expected to weaken the results.  
20  
21  
22 342

23  
24 343 Adolescents with metastatic GCT are biologically and clinically more similar to young  
25  
26 344 adults than children<sup>19</sup>, and this study demonstrates that they are also more alike in outcomes. While  
27  
28 345 this study could not assess the superiority of any particular treatment approach or chemotherapy  
29  
30 346 regimen, we believe it provides enough reason to consider treating adolescent males with GCTs  
31  
32 347 differently than young children. We suggest that adolescent males with metastatic GCTs should  
33  
34 348 be treated with approaches that have been developed with the wider evidence-base of adult  
35  
36 349 testicular cancer, allowing them to receive the dose intensity of weekly bleomycin<sup>40-44</sup>, the  
37  
38 350 predictive stratification of the IGCCCG<sup>17, 32, 45</sup>, and the surgical guidelines for procedures such as  
39  
40 351 RPLND of post-chemotherapy residual tumors<sup>36-39</sup>. All of these are standards-of-care among  
41  
42 352 medical oncologists and urologists treating adults with metastatic GCTs.  
43  
44  
45  
46  
47 353

48  
49 354 The results of this analysis, together with our earlier work on developing a revised GCT  
50  
51 355 risk stratification<sup>46</sup>, has already allowed us to incorporate these lessons into the current generation  
52  
53 356 of GCT clinical trials in the United States and the United Kingdom. The current multi-group trial  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 357 AGCT1531 (NCT03067181) includes all standard-risk patients between age 11-25 years as a  
4  
5 358 single study group and prescribes these standards to all Furthermore, the COG has petitioned and  
6  
7 359 joined two clinical trials led by adult testicular cancer cooperative groups: the ANZUP P3BEP or  
8  
9 360 COG-AGCT1532 trial of accelerated BEP for high-risk patients, and the Alliance-A031102  
10  
11 361 TIGER trial for patients with relapsed testicular GCTs. Both these studies were originally planned  
12  
13 362 for adult patients alone, but on the evidence presented here, their eligibility criteria were modified  
14  
15 363 to include adolescent patients. Taken together, these three trials cover the entire spectrum of  
16  
17 364 adolescent GCTs. The availability of the data is due to the work of the Malignant Germ Cell  
18  
19 365 international Consortium (MaGIC) which has galvanized a remarkable collaboration of multiple  
20  
21 366 cooperative groups across the silos of age-groups and international borders<sup>47</sup>. Through MAGIC  
22  
23 367 and other similar efforts, we hope to provide a path that will narrow the gap and improve outcomes  
24  
25 368 for AYA patients with germ cell tumours.  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

372 **REFERENCES**

- 373 1. Bleyer A, Viny A, Barr R. Cancer in 15- to 29-year-olds by primary site. *Oncologist*. 2006;11:  
374 590-601.
- 375 2. Leonard RC, Gregor A, Coleman RE, Lewis I. Strategy needed for adolescent patients with  
376 cancer. *BMJ*. 1995;311: 387.
- 377 3. Thomas DM, Seymour JF, O'Brien T, Sawyer SM, Ashley DM. Adolescent and young adult  
378 cancer: a revolution in evolution? *Intern Med J*. 2006;36: 302-307.
- 379 4. Carr R, Whiteson M, Edwards M, Morgan S. Young adult cancer services in the UK: the  
380 journey to a national network. *Clin Med*. 2013;13: 258-262.
- 381 5. Birch JM, Alston RD, Kelsey AM, Quinn MJ, Babb P, McNally RJ. Classification and  
382 incidence of cancers in adolescents and young adults in England 1979-1997. *Br J Cancer*.  
383 2002;87: 1267-1274.
- 384 6. Hollis R, Morgan S. The adolescent with cancer--at the edge of no-man's land. *Lancet Oncol*.  
385 2001;2: 43-48.
- 386 7. Albritton KH, Wiggins CH, Nelson HE, Weeks JC. Site of oncologic specialty care for older  
387 adolescents in Utah. *J Clin Oncol*. 2007;25: 4616-4621.
- 388 8. Eden T. Challenges of teenage and young-adult oncology. *Lancet Oncol*. 2006;7: 612-613.
- 389 9. Boissel N, Auclerc MF, Lheritier V, et al. Should adolescents with acute lymphoblastic  
390 leukemia be treated as old children or young adults? Comparison of the French FRALLE-93 and  
391 LALA-94 trials. *J Clin Oncol*. 2003;21: 774-780.
- 392 10. Stark D, Lewis I. Improving outcomes for teenagers and young adults (TYA) with cancer.  
393 *Klin Padiatr*. 2013;225: 331-334.
- 394 11. Hunger SP, Lu X, Devidas M, et al. Improved survival for children and adolescents with  
395 acute lymphoblastic leukemia between 1990 and 2005: a report from the children's oncology  
396 group. *J Clin Oncol*. 2012;30: 1663-1669.
- 397 12. Paulussen S AS, Juergens HF Cure rates in Ewing tumor patients aged over 15 years are  
398 better in pediatric oncology units. Results of GPOH CESS/EICESS studies. *Proc Am Soc Clin*  
399 *Oncol* 2003:816.
- 400 13. Paulussen M DU, Jürgens H, Ranft A. Should Adolescents with Ewing Sarcoma be treated in  
401 pediatric or non-pediatric oncology institutions? An analysis of GPOH Ewing trial  
402 (CESS/EICESS/EURO-E.W.I.N.G.) data. *Pediatr Blood Cancer* 2012:1046.
- 403 14. Collins M WM, Conyers R, Herschtal A, Whelan J, Bielack S, Sydes MR, Gelderblom H,  
404 Ferrari S, Picci P, Smeland S, Eriksson M, Petrilli S, Bleyer A, Thomas DM. Benefits and  
405 adverse events in younger versus older patients receiving adjuvant chemotherapy for  
406 osteosarcoma: findings from a 4,403 patient meta-analysis. *Journal of Clinical Oncology*.  
407 2013;In Press.
- 408 15. Howlader N, Noone AM, Krapcho M, al e. SEER Cancer Statistics Review, 1975-2008,  
409 National Cancer Institute. Available from URL: [http://seer.cancer.gov?csr/1975\\_2008/](http://seer.cancer.gov?csr/1975_2008/) 2011].
- 410 16. CRUK. Teenage and young adult cancer statistics. [accessed 13-05-2013].
- 411 17. International Prognostic Factors Study Group. International germ cell consensus  
412 classification: A prognostic factor-based staging system for metastatic germ cell cancers. *Journal*  
413 *of Clinical Oncology*. 1997;15: 594-603.
- 414 18. Cushing B, Giller R, Cullen J, et al. Randomized comparison of combination chemotherapy  
415 with etoposide, bleomycin, and either high-dose or standard-dose cisplatin in children and  
416 adolescents with high-risk malignant germ cell tumors: A Pediatric Intergroup Study--Pediatric

- 1  
2  
3 417 Oncology Group 9049 and Children's Cancer Group 8882. *Journal of Clinical Oncology*.  
4 418 2004;22: 2691-2700.
- 5 419 19. Collinson K, Murray MJ, Orsi NM, et al. Age-related biological features of germ cell tumors.  
6 420 *Genes Chromosomes Cancer*. 2014;53: 215-227.
- 7 421 20. Stoneham SJ, Hale JP, Rodriguez-Galindo C, et al. Adolescents and young adults with a  
8 422 "rare" cancer: getting past semantics to optimal care for patients with germ cell tumors.  
9 423 *Oncologist*. 2014;19: 689-692.
- 10 424 21. Cost NG, Lubahn JD, Adibi M, et al. A comparison of pediatric, adolescent, and adult  
11 425 testicular germ cell malignancy. *Pediatr Blood Cancer*. 2014;61: 446-451.
- 12 426 22. Frazier AL, Hale JP, Rodriguez-Galindo C, et al. Revised risk classification for pediatric  
13 427 extracranial germ cell tumors based on 25 years of clinical trial data from the United Kingdom  
14 428 and United States. *J Clin Oncol* (in press).
- 15 429 23. Rogers PC, Olson TA, Cullen JW, et al. Treatment of children and adolescents with stage II  
16 430 testicular and stages I and II ovarian malignant germ cell tumors: A Pediatric Intergroup Study--  
17 431 Pediatric Oncology Group 9048 and Children's Cancer Group 8891. *Journal of Clinical*  
18 432 *Oncology*. 2004;22: 3563-3569.
- 19 433 24. Shaikh F, Cullen JW, Olson TA, et al. Reduced and Compressed Cisplatin-Based  
20 434 Chemotherapy in Children and Adolescents With Intermediate-Risk Extracranial Malignant  
21 435 Germ Cell Tumors: A Report From the Children's Oncology Group. *J Clin Oncol*. 2017;35:  
22 436 1203-1210.
- 23 437 25. Malogolowkin MH, Krailo M, Marina N, Olson T, Frazier AL. Pilot study of cisplatin,  
24 438 etoposide, bleomycin, and escalating dose cyclophosphamide therapy for children with high risk  
25 439 germ cell tumors: a report of the children's oncology group (COG). *Pediatr Blood Cancer*.  
26 440 2013;60: 1602-1605.
- 27 441 26. Marina N, Chang KW, Malogolowkin M, et al. Amifostine does not protect against the  
28 442 ototoxicity of high-dose cisplatin combined with etoposide and bleomycin in pediatric germ-cell  
29 443 tumors. *Cancer*. 2005;104: 841-847.
- 30 444 27. Mann JR, Pearson D, Barrett A, Raafat F, Barnes JM, Wallendszus KR. Results of the United  
31 445 Kingdom Children's Cancer Study Group's Malignant Germ Cell Tumor Studies. *Cancer*.  
32 446 1989;63: 1657-1667.
- 33 447 28. Mann JR, Raafat F, Robinson K, et al. The United Kingdom Children's Cancer Study Group's  
34 448 Second Germ Cell Tumor Study: Carboplatin, etoposide, and bleomycin are effective treatment  
35 449 for children with malignant extracranial germ cell tumors, with acceptable toxicity. *Journal of*  
36 450 *Clinical Oncology*. 2000;18: 3809-3818.
- 37 451 29. Depani S, Stoneham S, Krailo M, Xia C, Nicholson J. Results from the UK Children's  
38 452 Cancer and Leukaemia Group study of extracranial germ cell tumours in children and  
39 453 adolescents (GCIH). *Eur J Cancer*. 2019;118: 49-57.
- 40 454 30. Horwich A, Sleijfer DT, Fossa SD, et al. Randomized trial of bleomycin, etoposide, and  
41 455 cisplatin compared with bleomycin, etoposide, and carboplatin in good-prognosis metastatic  
42 456 nonseminomatous germ cell cancer: a Multiinstitutional Medical Research Council/European  
43 457 Organization for Research and Treatment of Cancer Trial. *Journal of Clinical Oncology*.  
44 458 1997;15: 1844-1852.
- 45 459 31. Kaye SB, Mead GM, Fossa S, et al. Intensive induction-sequential chemotherapy with  
46 460 BOP/VIP-B compared with treatment with BEP/EP for poor-prognosis metastatic  
47 461 nonseminomatous germ cell tumor: A randomized Medical Research Council/European

- 1  
2  
3 462 Organization for Research and Treatment of Cancer study. *Journal of Clinical Oncology*.  
4 463 1998;16 (2): 692-701.
- 5 464 32. De Wit R, Roberts JT, Wilkinson PM, et al. Equivalence of three or four cycles of  
6 465 bleomycin, etoposide, and cisplatin chemotherapy and of a 3- or 5-day schedule in good-  
7 466 prognosis germ cell cancer: A randomized study of the European Organization for Research and  
8 467 Treatment of Cancer Genitourinary Tract Cancer Cooperative Group and the Medical Research  
9 468 Council. *Journal of Clinical Oncology*. 2001;19 (6): 1629-1640.
- 10 469 33. Frazier AL, Stoneham S, Rodriguez-Galindo C, et al. Comparison of carboplatin versus  
11 470 cisplatin in the treatment of paediatric extracranial malignant germ cell tumours: A report of the  
12 471 Malignant Germ Cell International Consortium. *Eur J Cancer*. 2018;98: 30-37.
- 13 472 34. Shaikh F, Nathan PC, Hale J, Uleryk E, Frazier AL. Is there a role for carboplatin in the  
14 473 treatment of malignant germ cell tumors? A systematic review of adult and pediatric trials.  
15 474 *Pediatr Blood Cancer*. 2013;60: 587-592.
- 16 475 35. Bleyer A. The adolescent and young adult gap in cancer care and outcome. *Curr Probl*  
17 476 *Pediatr Adolesc Health Care*. 2005;35: 182-217.
- 18 477 36. Albers P, Albrecht W, Algaba F, et al. Guidelines on Testicular Cancer: 2015 Update. *Eur*  
19 478 *Urol*. 2015;68: 1054-1068.
- 20 479 37. Heidenreich A, Haidl F, Paffenholz P, Pape C, Neumann U, Pfister D. Surgical management  
21 480 of complex residual masses following systemic chemotherapy for metastatic testicular germ cell  
22 481 tumours. *Ann Oncol*. 2017;28: 362-367.
- 23 482 38. Hugen CM, Hu B, Jeldres C, et al. Utilization of retroperitoneal lymph node dissection for  
24 483 testicular cancer in the United States: Results from the National Cancer Database (1998-2011).  
25 484 *Urol Oncol*. 2016;34: 487.e487-487.e411.
- 26 485 39. Stephenson AJ, Bosl GJ, Motzer RJ, et al. Retroperitoneal lymph node dissection for  
27 486 nonseminomatous germ cell testicular cancer: impact of patient selection factors on outcome. *J*  
28 487 *Clin Oncol*. 2005;23: 2781-2788.
- 29 488 40. De Wit R, Stoter G, Kaye SB, et al. Importance of bleomycin in combination chemotherapy  
30 489 for good-prognosis testicular nonseminoma: A randomized study of the European Organization  
31 490 for Research and Treatment of Cancer Genitourinary Tract Cancer Cooperative Group. *Journal*  
32 491 *of Clinical Oncology*. 1997;15 (5): 1837-1843.
- 33 492 41. Culine S, Kerbrat P, Kramar A, et al. Refining the optimal chemotherapy regimen for good-  
34 493 risk metastatic nonseminomatous germ-cell tumors: A randomized trial of the Genito-Urinary  
35 494 Group of the French Federation of Cancer Centers (GETUG T93BP). *Annals of Oncology*.  
36 495 2007;18 (5): 917-924.
- 37 496 42. Levi JA, Raghavan D, Harvey V, et al. The importance of bleomycin in combination  
38 497 chemotherapy for good-prognosis germ cell carcinoma. *Journal of Clinical Oncology*. 1993;11  
39 498 (7): 1300-1305.
- 40 499 43. Grimison PS, Stockler MR, Thomson DB, et al. Comparison of Two Standard Chemotherapy  
41 500 Regimens for Good-Prognosis Germ Cell Tumors: Updated Analysis of a Randomized Trial.  
42 501 *JNCI Journal of the National Cancer Institute*. 2010;102: 1253-1262.
- 43 502 44. Toner GC, Stockler MR, Boyer MJ, et al. Comparison of two standard chemotherapy  
44 503 regimens for good-prognosis germ-cell tumours: a randomised trial. *Australian and New Zealand*  
45 504 *Germ Cell Trial Group. Lancet*. 2001;357: 739-745.
- 46 505 45. Einhorn LH, Williams SD, Loehrer PJ, et al. Evaluation of optimal duration of chemotherapy  
47 506 in favorable-prognosis disseminated germ cell tumors: a Southeastern Cancer Study Group  
48 507 protocol. *J Clin Oncol*. 1989;7: 387-391.

- 1  
2  
3 508 46. Frazier AL, Hale JP, Rodriguez-Galindo C, et al. Revised risk classification for pediatric  
4 509 extracranial germ cell tumors based on 25 years of clinical trial data from the United Kingdom  
5 510 and United States. *J Clin Oncol.* 2015;33: 195-201.  
6  
7 511 47. Olson TA, Murray MJ, Rodriguez-Galindo C, et al. Pediatric and Adolescent Extracranial  
8 512 Germ Cell Tumors: The Road to Collaboration. *J Clin Oncol.* 2015;33: 3018-3028.  
9 513

514 **Table 1. Characteristics of Included Clinical Trials**

Study	Patients in Source Studies	Regimens	Number in present study
<b>TE09</b>	598 adults with good-prognosis testicular NGGCTs (273 under 30Y)	4BEP	139
		4JEB (Carboplatin AUC 5)	0
<b>TE13</b>	380 adults with poor-prognosis NGGCTs (121 under 30Y)	BEP/EP	58
		BOP/VIP-B	0
<b>TE20</b>	812 adults with good-prognosis GCTs (230 NGGCTs under 30Y)	4BEP or 3BEP	205
<b>GC2</b>	137 children with MGCT	JEB (Carboplatin 600 mg/m <sup>2</sup> )	39
<b>GC3</b>	138 children with MGCT	JEB (Carboplatin 600 mg/m <sup>2</sup> )	9
<b>POG 9048 (INT 1016)</b>	74 children with intermediate-risk NGGCTs	4PEb	0
<b>POG 9049 (INT 0097)</b>	299 children with high-risk MGCTs	4PEb	43
		4HD-PEb	43
<b>P9749</b>	25 children with high-risk MGCT	4HD-PEb	4
<b>AGCT01P1</b>	19 children with high-risk NGGCT	4C-PEb	5
<b>AGCT0132</b>	218 children with intermediate-risk NGGCTs	3PEb	47

Abbreviations: AUC, area under the curve; b, bleomycin once per cycle; B, bleomycin once per week; C, cyclophosphamide; E, etoposide; HD-P, high dose cisplatin; I, ifosfamide; J, carboplatin; MGCT, malignant germ cell tumors; NGGCT, non-germinomatous germ cell tumors; O, vincristine; P, cisplatin; POG, Pediatric Oncology Group; V, etoposide. \* includes 38 patients from GCT2 and 1 patient from GCT1

515

516

517 **Table 2. Patient Characteristics**

Variable	All Pts 0 to 30y N (%)	0 to <11y N (%)	11 to <18y N (%)	18 to 30y N (%)
	<b>N=593</b>	<b>N=90</b>	<b>N=109</b>	<b>N=394</b>
Age mean (SD)	19.4 (8.9)	1.9 (1.9)	14.7 (1.5)	24.8 (3.6)
Testicular	530 (89%)	67 (74%)	82(75%)	381 (96.7%)
Mediastinal tumor	44 (7%)	16 (18%)	22 (20%)	6 (1.5%)
Retroperitoneal	19 (3%)	7(8%)	5(5%)	7 (1.7%)
<b>AFP (ng/mL)</b>				
Mean	6294	29717	6924	857
(range)	(0 -700000)	(8-700000)	(0-96000)	(0-63630)
<1000	449 (76%)	34 (38%)	57 (52%)	358 (91%)
1,000-10,000	68 (11%)	23 (26%)	25 (23%)	20 (5%)
>10,000	62 (10%)	30 (33%)	23 (21%)	9 (2%)
Missing	14 (2%)	3 (3%)	4 (4%)	7 (2%)
<b>βHCG (IU/L)</b>				
Mean	12358	5	24289	11592
(range)	(0-1057700)	(0-62)	(1-990000)	(0-1057700)
<5,000	435 (73%)	33 (37%)	44 (40%)	358 (91%)
5,000 – 50,000	30 (5%)	0 (0%)	12 (11%)	18 (5%)
>50,000	14 (2%)	0 (0%)	3 (3%)	11 (3%)
Missing	114 (19%)	57 (63%)	50 (46%)	7 (2%)
<b>LDH (U/L)</b>				
Mean	587	701	934	500
(range)	(77-5540)	(149-3631)	(77-5540)	(93-5186)
<930	318 (54%)	22 (24%)	40 (37%)	256 (65%)
930-6200	47 (8%)	7 (8%)	19 (17%)	21 (5%)
>6200	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Missing	228 (38%)	61 (68%)	50 (46%)	117 (30%)
Non-pulmonary visceral metastases	34 (6%)	9 (10%)	16 (15 %)	9 (2%)
<b>RiskGroup</b>				
Good	267 (45 %)	4 (4%)	14 (13%)	249 (63%)
Intermediate	82 (14%)	21 (23%)	23 (21%)	38 (10%)
Poor	116 (20%)	41 (46%)	51 (47%)	24 (6%)
Missing	128 (21%)	24 (27%)	21 (19%)	83 (21%)

Abbreviations: AFP, a-fetoprotein; B-HCG, beta subunit of human chorionic gonadotropin; LDH, lactate dehydrogenase.

518

519

520



521 **Table 3. Univariate Kaplan-Meier and Multivariable Cox Regression Analysis of Age-Group**  
 522 **and Risk-Group.**

	Univariate				Multivariate		
All Patients (N=593)							
Variable	5y EFS (%)	Hazard Ratio	95% CI	P value	Hazard Ratio	95% CI	P value
<b>Age Group</b>							
0 - <11	90	0.31	0.14-0.65	<b>0.002</b>	0.30	0.14 – 0.63	<b>0.001</b>
11 - <18	72	Reference			Reference		
18 - <30	88	0.43	0.27-0.68	<b>0.000</b>	0.66	0.40 – 1.11	0.114
<b>Risk Group</b>							
Good	89	0.42	0.26-0.67	<b>0.000</b>	0.42	0.24 – 0.72	<b>0.002</b>
Intermediate	76	0.87	0.48-1.56	0.634	0.88	0.48 – 1.60	0.663
Poor	76	Reference			Reference		
JEB patients excluded* (N=545)							
<b>Age Group</b>							
0 - <11	92	0.21	0.07-0.60	<b>0.004</b>	0.21	0.07 – 0.59	<b>0.003</b>
11 - <18	69	Reference			Reference		
18 - <30	88	0.38	0.24-0.60	<b>0.000</b>	0.62	0.36 – 1.03	0.066
<b>Risk Group</b>							
Good	89	0.36	0.22-0.58	<b>0.000</b>	0.39	0.22 – 0.68	<b>0.001</b>
Intermediate	75	0.77	0.42-1.42	0.401	0.81	0.44 – 1.50	0.489
Poor	73	Reference			Reference		
Mediastinal primary tumors excluded** (N=549)							
<b>Age Group</b>							
0 - <11	89	0.41	0.18-0.94	<b>0.035</b>	0.40	0.108– 0.91	<b>0.029</b>
11 - <18	77	Reference			Reference		
18 - <30	87	0.55	0.33-0.93	<b>0.024</b>	0.83	0.347– 1.47	0.506
<b>Risk Group</b>							
Good	89	0.43	0.25-0.75	<b>0.003</b>	0.40	0.22 – 0.74	<b>0.003</b>
Intermediate	76	0.89	0.46-1.72	0.737	0.88	0.45 – 1.71	0.693
Poor	77	Reference			Reference		

Abbreviations: CI, confidence interval; EFS, event-free survival; JEB, carboplatin/etoposide/reduced bleomycin; N, number; y, years. \*48 Patients- received JEB. \*\*44 Patients with mediastinal tumours.

523

524

525

526

527

1  
2  
3 528 **FIGURE LEGENDS**  
4

5 529

6  
7 530 Figure 1. CONSORT diagram describing flow of patients through the study  
8

9 531

10 532

11 533 Figure 2. A) Event-free survival (EFS) and overall survival (OS) for all patients (N=593)

12 534 B) EFS by risk-group; C) EFS by age-group

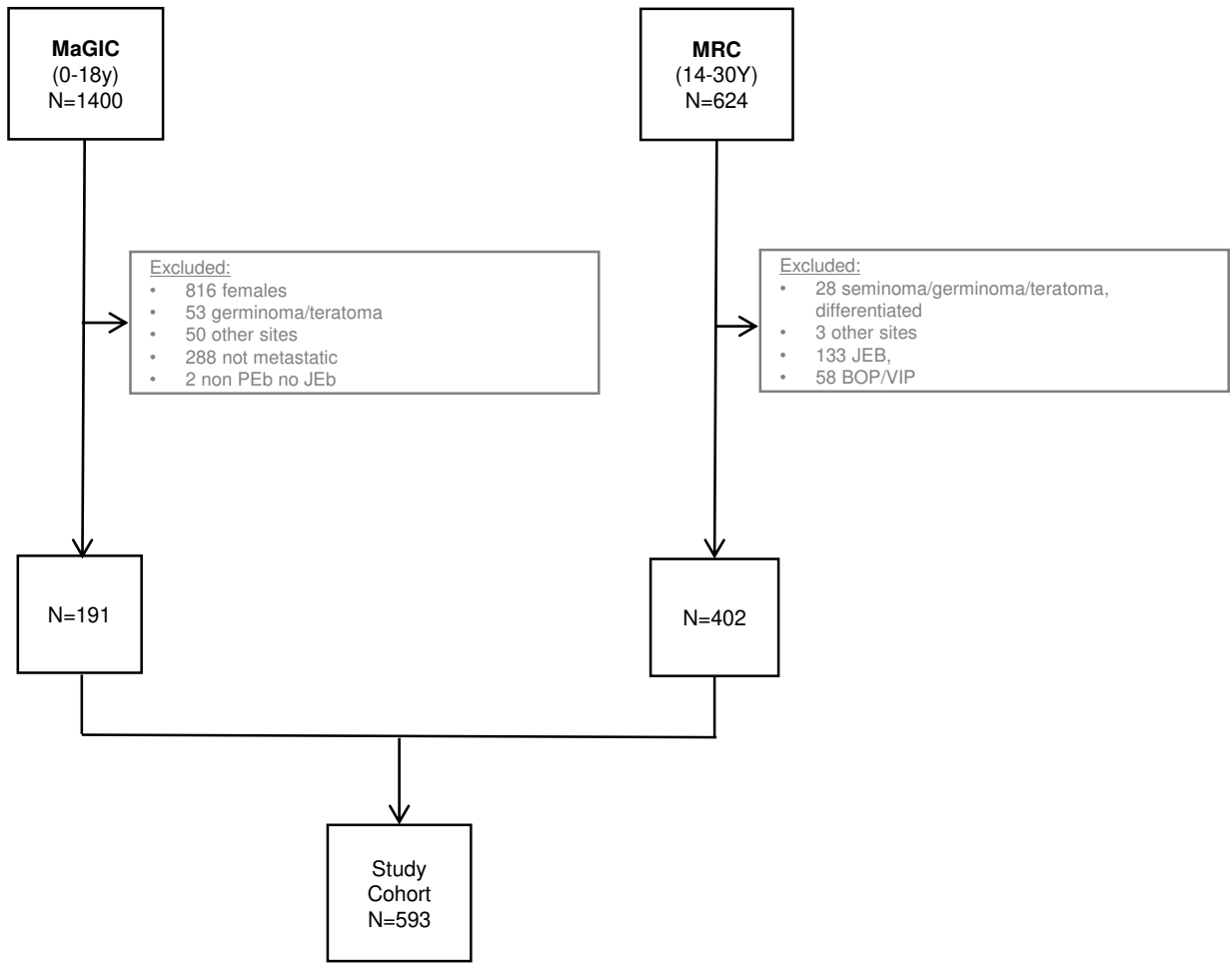
13 535

14 536

15  
16 537 Figure 3. A) EFS for children (age 0 to <11 years) by risk-group; B) EFS for adolescents (age 11

17 538 to <18 years) by risk-group; C) EFS for young adults (age 18 to <30 years) by risk-group.  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

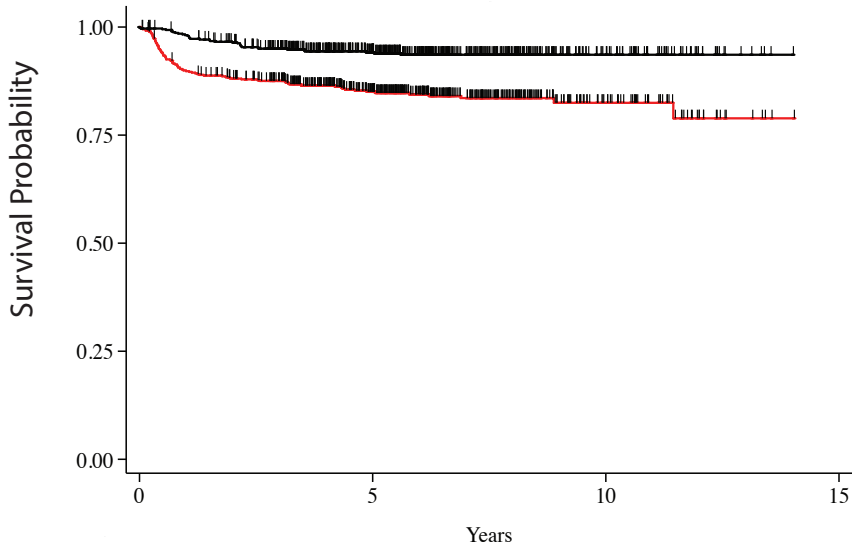
1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56



MRC: Medical Research Council

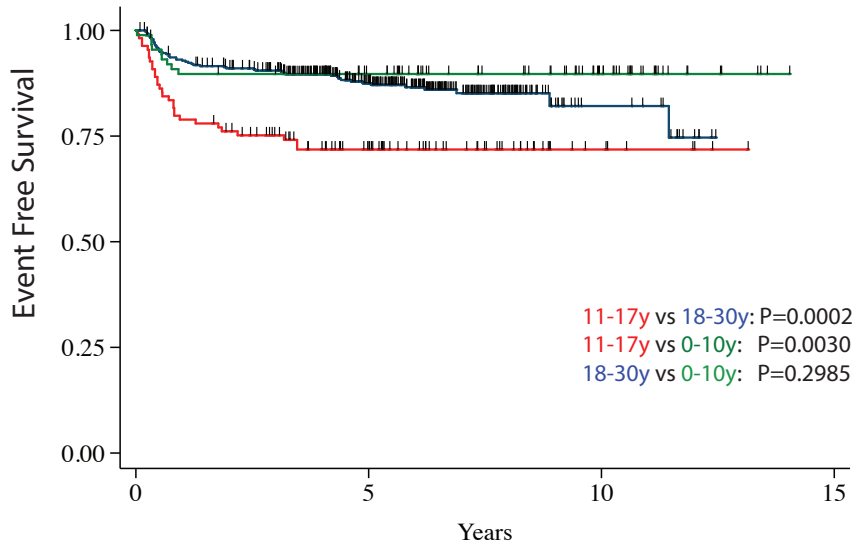
Figure 2.

A.



EFS	593	331	49	0
OS	593	365	58	0

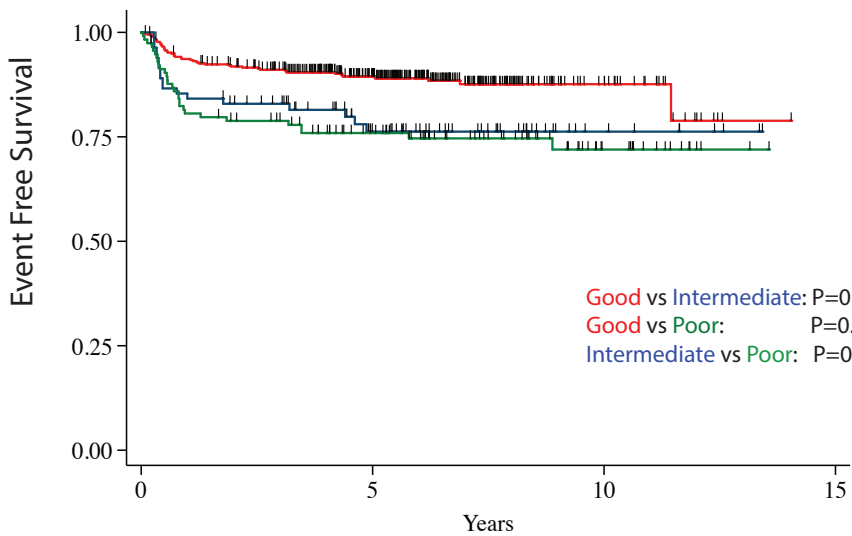
B.



11-17y vs 18-30y: P=0.0002  
 11-17y vs 0-10y: P=0.0030  
 18-30y vs 0-10y: P=0.2985

11-17Y	109	48	7	0
18-30Y	394	219	15	0
0-10Y	90	64	27	0

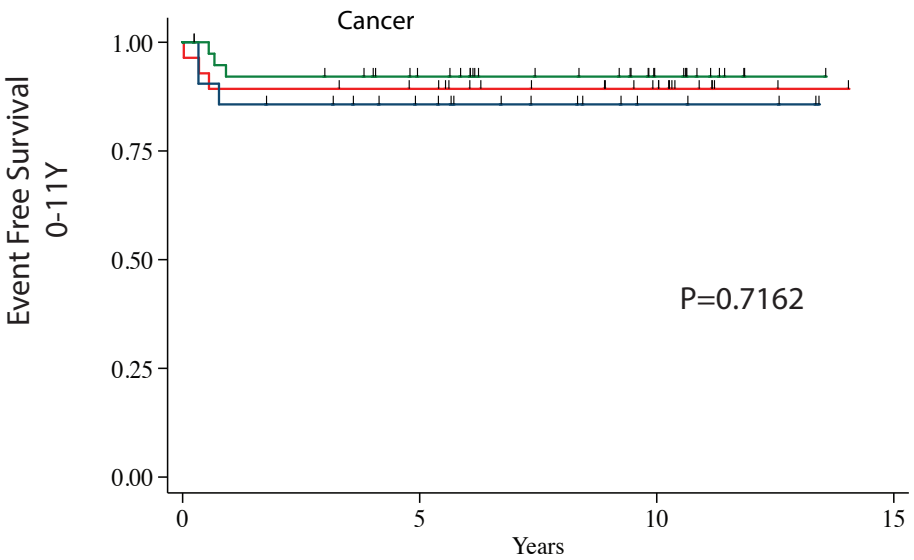
C.



Good vs Intermediate: P=0.0085  
 Good vs Poor: P=0.0002  
 Intermediate vs Poor: P=0.6458

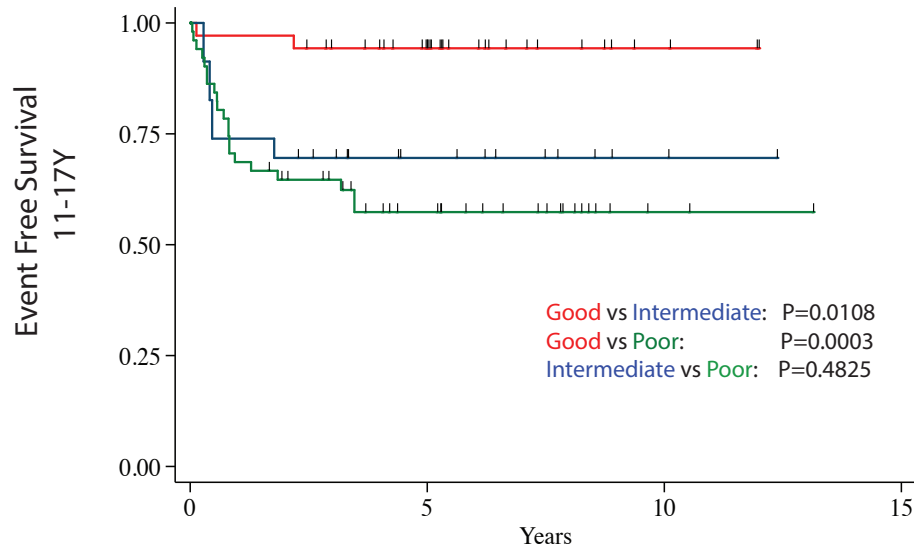
Good	395	226	25	0
Intermediate	82	40	8	0
Poor	116	65	16	0

A.



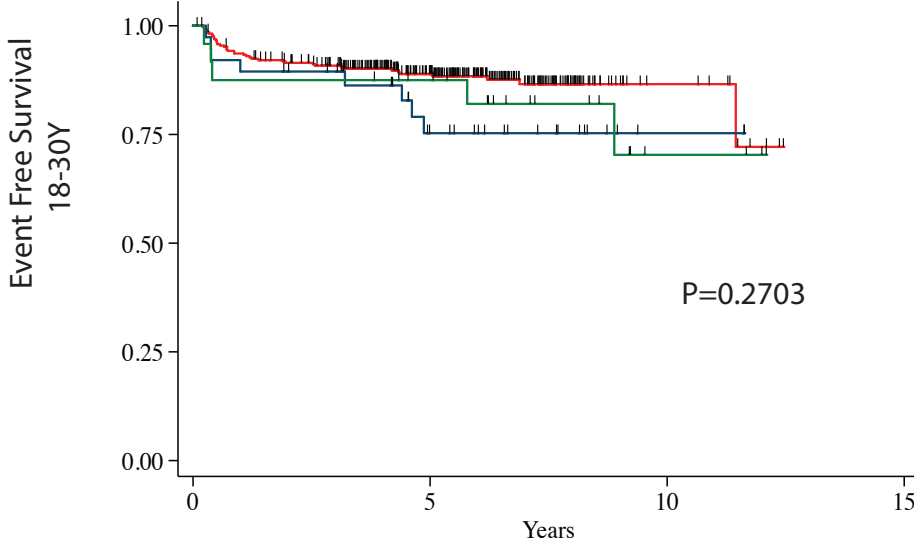
Good	28	23	12	0
Intermediate	21	13	4	0
Poor	41	28	11	0

B.



Good	35	20	3	0
Intermediate	23	9	2	0
Poor	51	19	2	0

C.



Good	332	183	10	0
Intermediate	38	18	2	0
Poor	24	18	3	0

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

Study	Patients in Source Studies	Regimens	Number included in present study
TE09	598 adults with good-prognosis testicular NGGCTs (273 under 30Y)	4BEP 4JEB (Carboplatin AUC 5)	139 0
TE13	380 adults with poor-prognosis NGGCTs (121 under 30Y)	BEP/EP BOP/VIP-B	58 0
TE20	812 adults with good-prognosis GCTs (230 NGGCTs under 30Y)	4BEP or 3BEP	205
GC2	137 children with MGCT	JEb (Carboplatin 600 mg/m <sup>2</sup> )	39 (+1 from GC1)
GC3	138 children with MGCT	JEb (Carboplatin 600 mg/m <sup>2</sup> )	9
POG 9048 (INT 1016)	74 children with intermediate-risk NGGCTs	4PEb	0
POG 9049 (INT 0097)	299 children with high-risk MGCTs	4PEb 4HD-PEb	43 43
P9749	25 children with high-risk MGCT	4HD-PEb	4
AGCT01P1	19 children with high-risk NGGCT	4C-PEb	5
AGCT0132	218 children with intermediate-risk NGGCTs	3PEb	47

Abbreviations: AUC, area under the curve; b, bleomycin once per cycle; B, bleomycin once per week; C, cyclophosphamide; E, etoposide; HD-P, high dose cisplatin; I ifosfamide; J, carboplatin; MGCT, malignant germ cell tumors; NGGCT, non-germinomatous germ cell tumors; O, vincristine; P, cisplatin; POG, Pediatric Oncology Group; V, etoposide.

<b>Variable</b>	<b>All Pts 0 to 30y</b> N (%)	<b>0 to &lt;11y</b> N (%)	<b>11 to &lt;18y</b> N (%)	<b>18 to 30y</b> N (%)
	<b>N=593</b>	<b>N=90</b>	<b>N=109</b>	<b>N=394</b>
<b>Age mean (SD)</b>	19.4 (8.9)	1.9 (1.9)	14.7 (1.5)	24.8 (3.6)
<b>Testicular</b>	530 (89%)	67 (74%)	82(75%)	381 (96.7%)
<b>Mediastinal tumor</b>	44 (7%)	16 (18%)	22 (20%)	6 (1.5%)
<b>Retroperitoneal</b>	19 (3%)	7(8%)	5(5%)	7 (1.7%)
<b>AFP (ng/mL)</b>				
Mean	6294	29717	6924	857
(range)	(0 -700000)	(8-700000)	(0-96000)	(0-63630)
<1000	449 (76%)	34 (38%)	57 (52%)	358 (91%)
1,000-10,000	68 (11%)	23 (26%)	25 (23%)	20 (5%)
>10,000	62 (10%)	30 (33%)	23 (21%)	9 (2%)
Missing	14 (2%)	3 (3%)	4 (4%)	7 (2%)
<b>βHCG (IU/L)</b>				
Mean	12358	5	24289	11592
(range)	(0-1057700)	(0-62)	(1-990000)	(0-1057700)
<5,000	435 (73%)	33 (37%)	44 (40%)	358 (91%)
5,000 – 50,000	30 (5%)	0 (0%)	12 (11%)	18 (5%)
>50,000	14 (2%)	0 (0%)	3 (3%)	11 (3%)
Missing	114 (19%)	57 (63%)	50 (46%)	7 (2%)
<b>LDH (U/L)</b>				
Mean	587	701	934	500
(range)	(77-5540)	(149-3631)	(77-5540)	(93-5186)
<930	318 (54%)	22 (24%)	40 (37%)	256 (65%)
930-6200	47 (8%)	7 (8%)	19 (17%)	21 (5%)
>6200	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Missing	228 (38%)	61 (68%)	50 (46%)	117 (30%)
<b>Non-pulmonary visceral metastases</b>	34 (6%)	9 (10%)	16 (15 %)	9 (2%)
<b>RiskGroup</b>				
Good	267 (45 %)	4 (4%)	14 (13%)	249 (63%)
Intermediate	82 (14%)	21 (23%)	23 (21%)	38 (10%)
Poor	116 (20%)	41 (46%)	51 (47%)	24 (6%)
Missing	128 (21%)	24 (27%)	21 (19%)	83 (21%)

Abbreviations: AFP, a-fetoprotein; B-HCG, beta subunit of human chorionic gonadotropin; LDH, lactate dehydrogenase.

	Univariate				Multivariate		
<b>All Patient (N=593)</b>							
Variable	5y EFS (%)	Hazard Ratio	95% CI	P value	Hazard Ratio	95% CI	P value
<b>Age Group</b>							
0 - <11	90	0.31	0.14-0.65	<b>0.002</b>	0.30	0.14 – 0.63	<b>0.001</b>
11 - <18	72	Reference			Reference		
18 - <30	88	0.43	0.27-0.68	<b>0.000</b>	0.66	0.40 – 1.11	0.114
<b>Risk Group</b>							
Good	89	0.42	0.26-0.67	<b>0.000</b>	0.42	0.24 – 0.72	<b>0.002</b>
Intermediate	76	0.87	0.48-1.56	0.634	0.88	0.48 – 1.60	0.663
Poor	76	Reference			Reference		
<b>JEb patients excluded* (N=545)</b>							
<b>Age Group</b>							
0 - <11	92	0.21	0.07-0.60	<b>0.004</b>	0.21	0.07 – 0.59	<b>0.003</b>
11 - <18	69	Reference			Reference		
18 - <30	88	0.38	0.24-0.60	<b>0.000</b>	0.62	0.36 – 1.03	0.066
<b>Risk Group</b>							
Good	89	0.36	0.22-0.58	<b>0.000</b>	0.39	0.22 – 0.68	<b>0.001</b>
Intermediate	75	0.77	0.42-1.42	0.401	0.81	0.44 – 1.50	0.489
Poor	73	Reference			Reference		
<b>Mediastinal primary tumors excluded** (N=549)</b>							
<b>Age Group</b>							
0 - <11	89	0.41	0.18-0.94	<b>0.035</b>	0.40	0.108– 0.91	<b>0.029</b>
11 - <18	77	Reference			Reference		
18 - <30	87	0.55	0.33-0.93	<b>0.024</b>	0.83	0.347– 1.47	0.506
<b>Risk Group</b>							
Good	89	0.43	0.25-0.75	<b>0.003</b>	0.40	0.22 – 0.74	<b>0.003</b>
Intermediate	76	0.89	0.46-1.72	0.737	0.88	0.45 – 1.71	0.693
Poor	77	Reference			Reference		

Abbreviations: CI, confidence interval; EFS, event-free survival; JEb, carboplatin/etoposide/reduced bleomycin; N, number; y, years. \*48 Patients received JEb. \*\*44 Patients with mediastinal tumours.



Univariate				Multivariate		
<b>All Patient with non-missing IGCCCG (N=465)</b>						
Variable	Hazard Ratio	95% CI	P value	Hazard Ratio	95% CI	P value
<b>Age Group</b>						
0 - <11	0.31	0.14-0.65	<b>0.000</b>	0.21	0.09 – 0.52	<b>0.001</b>
11 - <18	Reference			Reference		
18 - <30	0.43	0.14-0.65	<b>0.002</b>	0.59	0.32 – 1.07	0.081
<b>Risk Group</b>						
Good	0.29	0.17-0.51	<b>0.000</b>	0.29	0.15 – 0.58	<b>&lt;0.001</b>
Intermediate	0.87	0.48-1.57	0.646	0.89	0.49 – 1.63	0.706
Poor	Reference			Reference		