

↓

## **Effect of Ketamine on Binge Drinking Patterns in Crossed Alcohol-Preferring (cHAP) Mice**

Cherish E. Arding, M.A., M.S.<sup>1</sup>, Garrett Winkler, B.A.<sup>1</sup>, Christopher C. Lapish, PhD<sup>1, 2</sup>,  
and Nicholas J. Grahame, PhD<sup>1</sup>

<sup>1</sup>Addiction Neuroscience, Department of Psychology and Indiana Alcohol Research Center, Indiana University-Purdue University Indianapolis, Indianapolis, Indiana, 46202

<sup>2</sup>Indiana University School of Medicine Stark Neuroscience Institute, Indianapolis, Indiana, 46202

Declarations of interest: none

This work was supported in part by the Indiana Alcohol Research Center P60-AA007611.

---

This is the author's manuscript of the article published in final edited form as:

Ardinger, C. E., Winkler, G., Lapish, C. C., & Grahame, N. J. (2021). Effect of ketamine on binge drinking patterns in crossed high alcohol-preferring (cHAP) mice. *Alcohol (Fayetteville, N.Y.)*, 97, 31–39. <https://doi.org/10.1016/j.alcohol.2021.09.004>

## Highlights

- cHAP mice reliably drink to intoxication during 'drinking-in-the-dark' (DID).
- Ketamine (3 - 32 mg/kg, 12 h prior to DID) did not decrease alcohol intake.
- 100 mg/kg of ketamine 12 h prior to DID decreased alcohol intake in both sexes.
- Future work is needed to assess ketamine's efficacy in FH+ populations.

1 **Abstract**

2 Background: Previous research has demonstrated the utility of subanesthetic doses of ketamine  
3 in decreasing binge (Drinking-in-the-Dark, or DID) 20% alcohol intake in female inbred  
4 (C57BL/6J) mice when administered 12 hours prior to alcohol access (Crowley et al., 2019). In  
5 the current study, we assess the efficacy of a similar ketamine pretreatment using male and  
6 female selectively bred, crossed High Alcohol Preferring (cHAP) mice, which also drink to  
7 intoxication, but are not inbred. We hypothesized that ketamine would decrease binge alcohol  
8 intake without impacting locomotor activity.

9 Methods and Results: Subjects were 28 adult cHAP mice. Mice first received a two-week DID  
10 drinking history using 2-hour/day alcohol access. On day 12, prior to ketamine treatment, the  
11 average blood ethanol concentration (BEC) was 130 mg/dL, confirming that mice reliably  
12 reached intoxicating BECs. On day 15, mice were given 0, 3 or 10 mg/kg of ketamine 12-hours  
13 prior to the DID session. Ketamine did not decrease total (2-hour) alcohol consumption or  
14 locomotion. Interestingly, the 10 mg/kg dose of ketamine did alter the drinking pattern in male  
15 mice, decreasing frontloading for a single day. We opted to then increase the doses to 32 or  
16 100 mg/kg (i.e., an anesthetic dose) two days after the initial treatment, keeping the saline  
17 control. Mice of both sexes decreased total binge alcohol intake at the 100 mg/kg dose only, but  
18 again, the effect only lasted one day.

19 Conclusions: The current study found that cHAP mice reached more than double the BECs  
20 observed in C57BL/6J mice during DID, but did not respond to subanesthetic ketamine. Modest  
21 efficacy was found for ketamine pretreatment at anesthetic doses. Differences in findings may  
22 be due to differential intake during DID, or genetic differences between C57Bl/6J mice and  
23 cHAP mice. Drug efficacy in multiple models is important for discovering reliable  
24 pharmacotherapies for alcoholism.

25  
26 **Keywords:** Ketamine, alcohol, binge drinking, cHAP mice, selectively bred mice, drinking  
27 patterns, frontloading.

28

## 29 Introduction

30 Binge drinking is a drinking pattern resulting in blood alcohol levels of 80 mg/dL or higher in  
31 a timespan of two hours or less (NIAAA, 2004). Binge drinking is common, with models  
32 estimating that one fifth of the global adult population have engaged in at least one episode of  
33 binge drinking within the past month (Peacock et al., 2018). The consequences of binge  
34 drinking are severe, given that longitudinal studies indicate that multiple binge drinking sessions  
35 predict the future development of alcohol use disorder (AUD) (Chassin, Pitts, & Prost, 2002;  
36 Dawson, Li, & Grant, 2008; Zucker et al., 2006). Despite the serious consequences of binge  
37 drinking and need for novel interventions, the validation of new pharmacological treatments for  
38 AUD is challenging due to the complexity of ethanol's (EtOH) molecular targets, plasticity  
39 changes induced by EtOH, and circuitry involved (Abraham, Salinas, & Lovinger, 2017).  
40 Notwithstanding these challenges, N-methyl-D-aspartate receptors (NMDARs) have been  
41 identified as a potential target for treatment (Chandrasekar, 2013; Holmes, Spanagel, & Krystal,  
42 2013; Hopf, 2017; Ivan Ezquerra-Romano, Lawn, Krupitsky, & Morgan, 2018; Lovinger, 1996;  
43 C. E. Strong & Kabbaj, 2020).

44 Ketamine, an NMDAR antagonist, has been shown to reduce EtOH intake in rodent models  
45 of binge drinking (Crowley et al., 2019; Ruda-Kucerova, Babinska, Luptak, Getachew, & Tizabi,  
46 2018) and has been shown to reduce EtOH intake in humans diagnosed with AUD when  
47 combined with therapy (Dakwar et al., 2019) or retrieval of maladaptive reward memories (Das  
48 et al., 2019). Further, and of particular interest in the current study, several recent studies  
49 indicate that individuals with a family history of AUD (FH+) have fewer adverse effects when  
50 treated with ketamine than those without a family history of AUD (FH-); please see Comstock et  
51 al. (2019) for a review. For example, in a study comparing reaction to ketamine in healthy  
52 individuals (FH+ vs. FH-) following an infusion of ketamine, FH+ participants displayed lower  
53 dysphoria, psychosis, and perceptual responses than those who were FH- (Petrakis et al.,  
54 2004). This decrease in undesirable side effects seen in FH+ individuals may play a beneficial  
55 role in FH+ AUD patients adhering to a pharmacological treatment plan. Past pre-clinical  
56 research has utilized alcohol-preferring rats, which are selectively bred for high alcohol intake  
57 and represent a rodent model of FH+ (Bell, Rodd, Lumeng, Murphy, & McBride, 2006), to  
58 determine the effect of ketamine on EtOH intake. One study indicated that alcohol-preferring  
59 rats administered 7.5 or 10 mg/kg of ketamine 15 minutes prior to two-bottle choice (10% EtOH  
60 vs. water) displayed a decrease in EtOH intake and preference 0-2 hours following treatment,  
61 where female rats decreased intake and preference more than males (Rezvani, Levin, Cauley,  
62 Getachew, & Tizabi, 2017). Similarly, another study indicated that alcohol-preferring rats (male  
63 only) administered 20 mg/kg of ketamine 30 minutes prior to 10% EtOH operant self-  
64 administration decreased EtOH intake (Sabino, Narayan, Zeric, Steardo, & Cottone, 2013).  
65 Despite this converging cross-species evidence indicating ketamine's potential effectiveness in  
66 treating AUD, there remains a critical need to investigate ketamine's efficacy in preclinical  
67 models of FH+ during binge drinking. It is of importance to assess the utility of pharmacological  
68 interventions within this genetically vulnerable population during this prevalent and risky form of  
69 alcohol consumption.

70 In the current study, we have opted to use a treatment timepoint (12-hours prior to DID)  
71 which has been shown to be successful in reducing binge EtOH intake in C57BL/6J mice  
72 (Crowley et al., 2019). Although previous studies utilizing P rats (a model of FH+) have used  
73 short pretreatment times, we did not want mice in the current study to be under the influence of

74 ketamine during DID EtOH access. Effects on alcohol intake in short pretreatment designs are  
75 complicated by the fact that ketamine is a dissociative anesthetic, which could lead to non-  
76 specific changes in consummatory behavior (or in alcohol sensitivity) while intoxicated. Even if  
77 lower drinking persists after ketamine intoxication subsides, conditioned taste aversion to  
78 ethanol could be the cause of lower drinking when animals are drinking alcohol while  
79 experiencing ketamine intoxication, because the flavor of alcohol could be associated with  
80 ketamine's effect (e.g., see Gill et al., 1986 for a similar situation). Further, we tested the 12-  
81 hour pretreatment timepoint as this gives time for ketamine to fully metabolize out of the system,  
82 which has a half-life of about 13 min following i.p. administration in mice (Maxwell et al., 2006).  
83 In any case, a treatment where individuals diagnosed with AUD must be persistently intoxicated  
84 with ketamine to avoid drinking alcohol is clearly untenable.

85 We utilized a common binge drinking paradigm ('drinking-in-the-dark', DID) to assess EtOH  
86 intake of cHAP mice, who are selectively bred for high alcohol intake/preference and represent  
87 a FH+ population (Oberlin, Best, Matson, Henderson, & Grahame, 2011). We hypothesized that  
88 a subanesthetic dose of ketamine would result in lower binge EtOH intake without impacting  
89 locomotion. Further, we hypothesized that female mice would decrease total intake more than  
90 male mice, as previous studies have indicated sex differences wherein ketamine is more  
91 efficacious in female rodents (Crowley et al., 2019; Rezvani et al., 2017). Lastly, we strived to  
92 characterize EtOH intake patterns to determine if/how ketamine impacts frontloading, wherein  
93 the amount or proportion of EtOH consumed is highly skewed toward the onset of EtOH access.  
94 Frontloading has been proposed to represent an increase in the motivation to experience the  
95 rewarding and/or the post-absorptive effects of EtOH, as frontloading generally increases over  
96 EtOH access days (Ardinger, Grahame, Lapish, & Linsenbardt, 2020; Darevsky et al., 2019;  
97 Linsenbardt & Boehm, 2014, 2015; Rhodes et al., 2007; Salling et al., 2018; Wilcox,  
98 Dekonenko, Mayer, Bogenschutz, & Turner, 2014). Therefore, determination of if/how  
99 frontloading is impacted by ketamine treatment administration provides additional evidence  
100 (when coupled with assessment of total binge intake) for the efficacy of the drug.

## 101 **Methods**

### 102 *Subjects*

103 Subjects in this study were 13 adult (post-natal day 70-90 at the beginning of DID)  
104 female cHAP mice and 15 adult cHAP male mice from the 44th generation of selection. Please  
105 see Oberlin et al. (2011) for information regarding the creation and selective breeding of the  
106 cHAP line. All mice were bred in the AAALAC-approved School of Science Vivarium at Indiana  
107 University-Purdue University Indianapolis (IUPUI) and were single-housed in standard shoebox  
108 cages in a room with a 12-hour reverse light–dark cycle one week prior to the beginning of DID  
109 testing. All mice always had ad libitum access to standard laboratory rodent chow (LabDiet  
110 5001), including during DID and pharmacological experiments. Mice also had ad libitum access  
111 to water via standard home cage water bottles, except during 2-hour DID sessions wherein  
112 normal water bottles were replaced with specialized sipper tubes containing 20% EtOH (see  
113 *EtOH Solution* below). Standard home cage water bottles did not utilize the specialized sippers  
114 but did contain sippers with identical-sized drinking orifices. All procedures were approved by  
115 the IUPUI School of Science Animal Care and Use Committee.

### 116 *EtOH Solution*

117 EtOH for drinking experiments was prepared by diluting 190 proof EtOH from Pharmco,  
118 Inc. (Brookfield, CT), to 20% v/v in tap water. Drinking solution was prepared at the beginning of  
119 the experiment and stored in sealed fluid reservoirs connected to the volumetric drinking  
120 monitor (VDM) system (Columbus Instruments Inc., Columbus, OH), equipped with specialized  
121 sipper tubes that monitor fluid volume consumed with high temporal resolution. EtOH within the  
122 reservoirs was topped-off halfway through the two-week drinking testing period.

### 123 *Ketamine*

124 Ketamine (100 mg/mL; Henry Schein) was diluted in sterile 0.9% saline to inject  
125 intraperitoneally (i.p.) at a volume of 10 mL/kg (i.e. for the groups receiving a dose of 3 or 10  
126 mg/kg ketamine, the concentration of the drug was 0.3 and 1.0 mg/mL, respectively) to control  
127 for volume injected across different dose groups. Drug concentration, rather than injection  
128 volume, was increased correspondingly for assessment of the effects of 32 and 100 mL/kg  
129 ketamine doses.

### 130 *Drinking-in-the-dark*

131 DID procedures have been previously described (Ardinger et al., 2020; Linsenhardt &  
132 Boehm, 2014, 2015). Briefly, 3 hours into the dark cycle, each mouse's home cage water bottle  
133 was replaced with a volumetric sipper tube (Columbus Instruments Inc) containing 20% v/v  
134 EtOH. Mice were given 2 hours of access to EtOH. All mice received 20% EtOH access for two  
135 hours on all DID testing days. On all DID days, the volume of consumed fluid was measured in  
136 1-minute bins, allowing for within-session analyses of binge-drinking patterns. Mice were then  
137 pseudo-randomly assigned to a drug treatment group (where groups were counterbalanced by  
138 family and sex). Intakes on day 14 (prior to ketamine treatment) did not differ between treatment  
139 groups. Twelve hours prior to the start of DID on the 15th day, mice were injected with either  
140 saline (control), 3 mg/kg, or 10 mg/kg of ketamine. On day 16, mice did not receive additional  
141 drug treatment, but received 2-hour DID access to EtOH to determine if any changes in EtOH  
142 intake due to treatment prior to day 15 continued (or emerged) two days after drug  
143 administration. Twelve hours prior to day 17, mice received higher doses of ketamine. Mice who  
144 received 3 mg/kg prior to day 15 were given 32 mg/kg of ketamine, and mice who received 10  
145 mg/kg group prior to day 15 were given 100 mg/kg of ketamine. Mice previously dosed with  
146 saline were given another dose of saline (control). The 32 and 100 mg/kg doses were selected  
147 as they are log steps above the initial doses, and separating dosing by log intervals is a  
148 common practice in pharmacology research (Lewandowski & Norman, 2015). Further, we  
149 wanted to assess response to a full anesthetic dose (100 mg/kg). Lastly, on days 18 and 19  
150 mice again received 2-hours of DID access to EtOH without additional drug treatment. Please  
151 see *Figure 1* for a description of the study timeline and *Table 1* for a breakdown of sample size  
152 within each treatment group.

### 153 *Blood EtOH Concentrations (BECs)*

154 Immediately following the end of the 2-hour drinking session on day 12, 50  $\mu$ l of  
155 periorbital sinus blood was drawn from all mice. As periorbital sinus blood collection can  
156 interfere with drinking patterns, we chose day 12 to allow mice to restabilize their binge intake  
157 prior to ketamine or control treatment on day 15. Samples were centrifuged, and plasma was  
158 withdrawn and stored at -20°C. BECs were determined using an Analox EtOH Analyzer (Analox  
159 Instruments, Lunenburg, MA).

160 *Home Cage Locomotion*

161 Home cage locomotor activity was monitored using ANY-maze software (Stoelting Co.,  
162 Wood Dale, IL) and Logitech C920 cameras. Distance traveled was recorded for each mouse in  
163 centimeters for the duration of the 2-hour DID session. Locomotor activity was primarily used to  
164 assess if there was sedation in mice receiving ketamine treatment.

165 *Statistics: 2-Week Drinking History*

166 Mean total (2-hour) EtOH intake, percentage of intake within the first 15 minutes  
167 (frontloading), and home cage locomotor activity on days 1 to 14 were analyzed using 2 (sex) x  
168 14 (day) mixed-methods 2-way analysis of variance (ANOVA)s. Greenhouse–Geisser  
169 corrections were applied to these analyses when normality tests indicated non-normal  
170 distributions of intake or movement (see results). For analysis of frontloading during the 2-week  
171 drinking history, we note that 15-minutes accounts for 12.5% of the total 2-hour DID session,  
172 with mice needing to consume significantly more than 12.5% (dotted line, *Figure 2C*) of their  
173 total intake within the first 15 minutes of the DID session to be considered as having frontloaded  
174 on a given day. This was assessed using 1-sample *t*-tests where percentage of intake within the  
175 first 15 minutes was compared to the 12.5% threshold to determine whether front-loading was  
176 statistically significant, as we have done in our previous work assessing intake patterns in  
177 several HAP lines (Ardinger et al., 2020). Lastly, day 12 BEC was assessed using a simple  
178 linear regression wherein EtOH intake was the independent variable and BEC was the  
179 dependent variable.

180 *Statistics: Post-Ketamine Treatment*

181 EtOH intake, percentage of intake within the first 15 minutes (frontloading), and home  
182 cage locomotor activity following ketamine treatment were analyzed using 2 (sex) x 3 (dose) 2-  
183 way ANOVAs calculated separately for each day post-treatment. To better characterize  
184 prominent within-session pattern alterations (of both EtOH intake and distance traveled), a  
185 central moving average was calculated on 15-minute bin increments as described previously  
186 (Ardinger et al., 2020). Briefly, data were binned into 15-minute averages and “moved” forward  
187 in time in 1-minute increments such that each subsequent bin included 1 additional minute into  
188 the future and excluded 1 minute furthest in time. Fifteen-minute increments were chosen  
189 because observations of intake data suggest that substantial changes occurred over DID  
190 sessions within the first 15 minutes of EtOH access (frontloading) and to allow for direct  
191 comparisons to previously published data assessing intake patterns during DID (Ardinger et al.,  
192 2020; Linsenbardt & Boehm, 2014, 2015).

193 **Results**

194 Days 1-14: Drinking history.

195 *Total EtOH intake over days.* Analyses identified a main effect of day,  $F(6.612, 168.4) =$   
196  $3.59, p < 0.05$ , where EtOH intake increased slightly over days, but there was no significant  
197 main effect of sex or interaction between sex and day,  $ps > 0.05$ ; *Figure 2A*. Analysis of BECs  
198 from day 12 indicated a correlation between total EtOH intake and BEC, where regression lines  
199 for females vs. males did not differ,  $p > 0.05$ . Thus, we collapsed the regression line across sex  
200 and report  $R^2 = 0.65, p < 0.05$ , *Figure 2B*.

201 *Percent of intake in the first 15 minutes.* Like total intake, analyses revealed a main  
202 effect of day,  $F(13, 332) = 4.235$ ,  $p < 0.05$ , where frontloading shifted over days, declining from  
203 its high levels on the first two days. However, significant frontloading was seen in 3 of the last 4  
204 days of DID drinking. There was no significant main effect of sex or interaction between sex and  
205 day,  $ps > 0.05$ , *Figure 2C*.

206 *Locomotor activity.* Analyses identified a main effect of day,  $F(2.099, 51.52) = 5.62$ ,  $p <$   
207  $0.05$ , where total distance travelled in 2-hours generally increased over DID testing days, and a  
208 sex x day interaction,  $F(13, 319) = 2.038$ ,  $p < 0.05$ , where male mice surpassed female mice in  
209 total movement over the two-week drinking history period. There was no main effect of sex,  $p >$   
210  $0.05$ , *Figure 2D*.

211 Days 15-16: Treatment with subanesthetic doses of ketamine.

212 *Total EtOH intake following first ketamine treatment.* On day 15, there was a significant  
213 main effect of sex,  $F(1, 22) = 7.07$ ,  $p < 0.05$ , where females drank more EtOH than males  
214 regardless of ketamine dose administered 12-hours prior to DID. However, there was no  
215 significant effect of dose,  $p > 0.05$ , or interaction between dose and sex,  $p > 0.05$ , *Figure 3A*. On  
216 day 16, when no additional ketamine treatment was administered prior to DID, there were no  
217 main effects of sex or dose,  $ps > 0.05$ , or interaction between sex and dose,  $p > 0.05$ , *Figure*  
218 *3D*.

219 *Percent of intake in the first 15 minutes following first ketamine treatment.* On day 15,  
220 there was no main effects of sex,  $p > 0.05$ , or of dose,  $p > 0.05$ . However, there was a  
221 significant interaction of sex and dose,  $F(2, 22) = 7.61$ ,  $p < 0.05$ , where Tukey's multiple  
222 comparison post-hoc tests indicated that males in the 10 mg/kg group had lower EtOH intake in  
223 the first 15-minutes than male mice in the 3 mg/kg and saline groups,  $p < 0.05$ , *Figure 3B*. This  
224 alteration in drinking pattern is further visualized in *Figure 4*. This decrease in frontloading in  
225 males who received 10 mg/kg of ketamine did not last. On day 16, when there was no additional  
226 ketamine treatment administered, there were no effects of sex, dose, or interaction of sex and  
227 dose,  $ps > 0.05$ , *Figure 3E*.

228 *Locomotor activity following first ketamine treatment.* On day 15 and 16, there were no effects  
229 of sex, dose, or interaction between sex and dose,  $ps > 0.05$ , *Figures 3C and F*, suggesting that  
230 alterations in drinking patterns are not caused by sedation from ketamine.

231 Days 17-19: Treatment with higher doses of ketamine.

232 *Total EtOH intake following second ketamine treatment.* On day 17, there was no main  
233 effect of sex,  $p > 0.05$ , but there was a main effect of dose,  $F(2, 21) = 5.35$ ,  $p < 0.05$ , where  
234 mice receiving 100 mg/kg ketamine had significantly lower intake than mice who received  
235 saline. There was no interaction between dose and sex,  $p > 0.05$ , *Figure 5A*. On days 18 and  
236 19, we observed a main effect of sex:  $F(1, 21) = 5.61$ , and  $F(1, 21) = 5.66$ ,  $ps < 0.05$ ,  
237 respectively, where female mice, regardless of treatment group, outdrank males, but no effect of  
238 dose or interaction between dose and sex,  $ps > 0.05$ , *Figures 5D, 5G*.

239 *Percent of intake in the first 15 minutes following second ketamine treatment.* On day 17, there  
240 was no significant main effect of sex, main effect of dose,  $ps > 0.05$ , or interaction between  
241 dose and sex (although this finding was 'trending towards significance'),  $F(2, 21) = 3.33$ ,  $p =$   
242  $0.0557$ , *Figure 5D*. To assess if any changes in frontloading persisted following a higher dose of



243 ketamine treatment, percent of intake within the first 15 minutes on days 18 and 19 was also  
244 assessed. Day 18: no main effect of sex or main effect of dose,  $ps > 0.05$ . However, there was  
245 an interaction of sex and dose,  $F(2, 21) = 3.64$ ,  $p < 0.05$ , *Figure 5E*. Like day 18, on day 19  
246 there was no main effect of sex or main effect of dose,  $ps > 0.05$ . There was again an  
247 interaction of sex and dose,  $F(2, 21) = 3.88$ ,  $p < 0.05$ , where post-hoc comparisons indicate  
248 that females in the 100 mg/kg group frontloaded significantly more than females in the saline  
249 and 32 mg/kg groups,  $p < 0.05$ , *Figure 5F*.

250 *Locomotor activity following second ketamine treatment.* On day 17, there was no main  
251 effect of sex,  $p > 0.05$ . However, there was a main effect of dose,  $F(2, 21) = 3.53$ ,  $p < 0.05$ ,  
252 where mice in the 100 mg/kg group moved less than mice in the 32 mg/kg group. There was no  
253 interaction between dose and sex,  $p > 0.05$ , *Figure 5G*. To assess if any changes in locomotion  
254 persisted following a higher dose of ketamine treatment, total distance travelled on days 18 and  
255 19 was also assessed. On both days, there were no effects of sex, dose, or their interaction,  $ps$   
256  $> 0.05$ , *Figures 5H, 5I*, respectively.

257 *Intake and locomotor patterns following second ketamine treatment.* To further  
258 investigate the results described above, we calculated moving averages (please see *Statistics:*  
259 *Post-Ketamine Treatment*) for EtOH intake and distance travelled. Intake patterns (*Figure 6A,*  
260 *C*) further display the nearly significant differences in frontloading between treatment groups  
261 discussed above. Specifically, female mice in the 100 mg/kg group displayed high intake during  
262 the early portion of the 2-hr DID session, with no to low intake past the first hour. These data,  
263 coupled with further characterization of locomotor activity across the session (*Figure 6B, D*),  
264 suggest that the decrease in total distance travelled in the 100 mg/kg groups may not be  
265 indicative of a sedative effect of ketamine, but rather may be driven by alcohol sedation  
266 because of high frontloading.

## 267 **Discussion**

268 Our primary hypothesis, that a subanesthetic dose of ketamine would result in lower  
269 subsequent binge EtOH intake without impacting locomotion, was not supported. Locomotion  
270 was not impacted at these lower doses (*Figure 3C*); however, mice did not decrease binge  
271 EtOH intake following a dose of 3 or 10 mg/kg ketamine (*Figure 3A*). Previous studies using  
272 Wistar rats (Ruda-Kucerova et al., 2018) and C57BL/6J mice (Crowley et al., 2019), which are  
273 both strains who consume EtOH but do not represent a model of FH+, have demonstrated a  
274 decrease in binge EtOH intake following subanesthetic ketamine treatment. FH+ rodent models  
275 (alcohol-preferring rats) have shown similar results of a decrease in two-bottle choice EtOH  
276 intake (Rezvani et al., 2017) and operant self-administration (Sabino et al., 2013) following a  
277 subanesthetic dose of ketamine. The previous research utilizing FH+ rodents have administered  
278 treatment 15 (Rezvani et al., 2017) and 30 minutes (Sabino et al., 2013) prior to EtOH access.  
279 In the current study, we treated cHAP mice with ketamine 12 hours prior to DID EtOH access, a  
280 treatment timepoint which has shown efficacy in reducing binge intake in C57BL/6J mice  
281 (Crowley et al., 2019), and in turn more analogous to the human study (Dakwar et al., 2019) in  
282 that ketamine is no longer present when its effects on alcohol intake are observed. This earlier  
283 administration timepoint was appealing to both allow comparison to previous work in mice and  
284 ensure that alterations in EtOH intake are not due to acute ketamine intoxication. While the time  
285 and dose of ketamine administration relative to DID alcohol access was the same in both  
286 Crowley et al. (2019) and our study, the efficacy of ketamine seen previously in the Crowley  
287 lab's C57BL/6J mice did not generalize to our selectively-bred high EtOH preference population.

288 BECs that we observed during DID were at least double those observed in the Crowley study in  
289 C57BL/6J mice (averaging 130 mg/dl for cHAP and about 60 mg/dl for C57BL/6J). So, while  
290 subanesthetic ketamine was effective in female C57BL/6J mice but not cHAP mice, this may be  
291 driven by important differences in these drinking models, or may merely indicate that the  
292 findings in the inbred C57BL/6J mice may have limited generality to other high-drinking  
293 populations. Numerous authors have noted that extrapolating findings from a single inbred  
294 strain may be problematic (e.g., Voelkl et al., 2020). We would note that any development of a  
295 successful pharmacotherapy for human alcoholism should include multiple animal models to  
296 increase confidence in any preclinical findings.

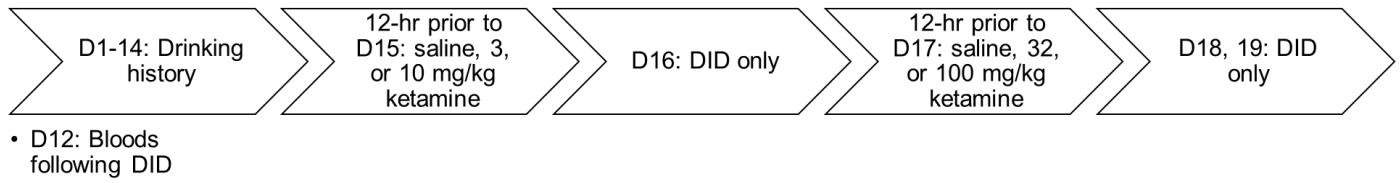
297 Although we did not observe a decrease in total EtOH intake following administration of  
298 these lower ketamine doses (*Figure 3A*), 10 mg/kg of ketamine decreased frontloading in male  
299 cHAPs only (*Figures 3B and 4B*). However, we note that this decrease in male frontloading was  
300 not sustained, as determined by assessing EtOH intake and intake pattern one day later (day  
301 16) when no additional treatment was administered (*Figure 3E*).

302 As we did not observe a decrease in total EtOH intake following 3 or 10 mg/kg of ketamine,  
303 we opted to increase the dose of treatment where mice in the 3 mg/kg group subsequently  
304 received 32 mg/kg and mice in the 10 mg/kg group subsequently received 100 mg/kg (control  
305 mice received another dose of saline) 12-hours prior to DID EtOH access on day 17, venturing  
306 into anesthetic doses of ketamine. Mice of both sexes in the 100 mg/kg group drank significantly  
307 less EtOH than saline control mice 12-hours post-treatment (*Figure 5A*). We recognize that  
308 there may be concern regarding the small *n* within treatment groups. However, we do not  
309 believe that the failure to detect an effect at subanesthetic doses is due to insufficient power.  
310 We note that 12-hours after ketamine administration, we observed a 37% reduction in binge  
311 intake in the 100 mg/kg group as compared to the saline group. Previous research has  
312 observed a ~50% reduction in binge intake in female C57BL/6J mice administered 3 mg/kg of  
313 ketamine 12-hours prior to DID (Crowley et al., 2019). Therefore, the sample size in the current  
314 study provides the sensitivity to detect a similar reduction in alcohol intake as previous work, but  
315 we find that a higher dose is required in cHAP mice. We note that we would expect that an  
316 effective pharmacotherapy for AUD would decrease both total intake and avidity for alcohol  
317 consumption, as potentially measured by frontloading. This idea has been demonstrated in  
318 previous work assessing naltrexone's efficacy in humans, where naltrexone has been  
319 demonstrated to not only decrease total intake, but also slow the progression of intake (Anton,  
320 Drobos, Voronin, Durazo-Avizu, & Moak, 2004). Thus, there is concern about the efficacy of  
321 ketamine as a treatment for AUD as an anesthetic dose decreased total intake but increased  
322 (although not significantly) frontloading in females. Further, we note that the decrease in alcohol  
323 intake in the 100 mg/kg group did not persist into days 18 or 19, where no additional drug was  
324 administered (*Figures 5D and 5G*, respectively). Further research using FH+ rodents should  
325 investigate if multiple treatments and/or earlier timepoints is more effective in reducing binge  
326 EtOH intake. However, this lack of persistence of ketamine's effects is inconsistent with earlier  
327 findings in C57BL/6J mice (Crowley et al., 2019).

328 Previous research has highlighted robust sex differences wherein female mice have been  
329 observed to decrease EtOH intake more than males following ketamine administration (Crowley  
330 et al., 2019; Rezvani et al., 2017). EtOH inhibits glutamatergic action at NMDA receptors, with  
331 repeated EtOH drinking creating an upregulation in NMDA receptor-related binding (Hoffman et  
332 al., 1990). It is generally accepted that there is a consistent and replicable main effect of sex in

333 EtOH intake when conducting drinking-in-the-dark (DID) studies, where females outdrink males  
334 (Sneddon, White, & Radke, 2019; M. N. Strong et al., 2010); and we have seen this same  
335 pattern in our laboratory's two-bottle choice cHAP line selection data (Oberlin et al., 2011). A  
336 working theory is that the larger total amount of EtOH consumed by female mice over a drinking  
337 history period may lead to greater receptor sensitization in females over time. It has been  
338 proposed that administration of NMDAR antagonists may be working to reduce expression of  
339 EtOH tolerance (Krystal et al., 2003). This mechanism of action may work particularly well in  
340 rodents and individuals with problematic EtOH use which has led to impaired negative feedback  
341 signals to stop drinking (Krystal et al., 2003). This may explain why ketamine's effect on alcohol  
342 intake has previously been more robust in female rodents as we note here that in the current  
343 study, we did not observe a main effect of sex during our DID drinking history (*Figure 2A*).  
344 Therefore, a lack of sex differences observed following ketamine treatment in the current study  
345 may not contradict the theory that higher female EtOH intake prior to ketamine treatment is  
346 necessary to facilitate a reduction in subsequent EtOH intake.

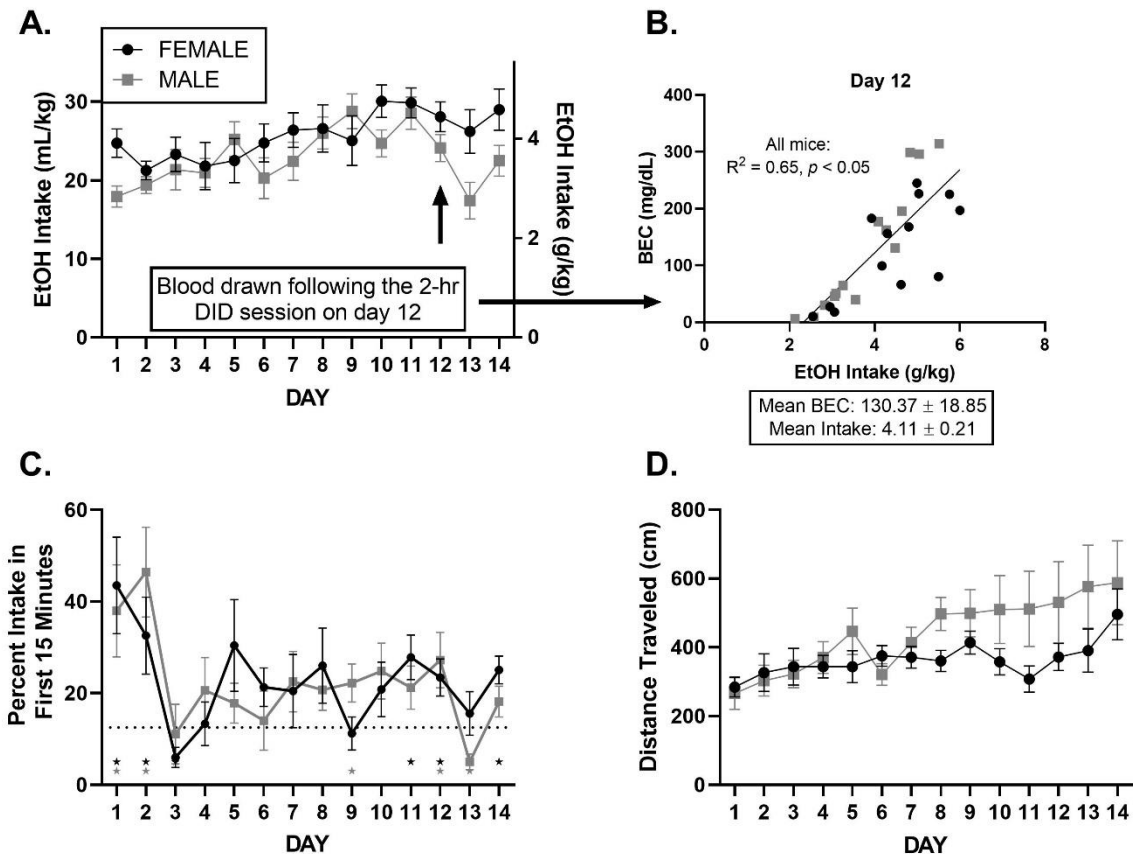
347           In conclusion, the current study suggests that although acute ketamine has previously  
348 been shown to decrease EtOH intake in FH+ rodents in two-bottle choice (Rezvani et al., 2017)  
349 and operant EtOH administration paradigms (Sabino et al., 2013), 12 hour pretreatment led to a  
350 similar and transient decrease in EtOH intake only at a high (100 mg/kg) dose in the current  
351 binge drinking paradigm. Future research should further consider the efficacy of ketamine  
352 treatment in various strains of rodents using a variety of alcohol intake paradigms to further  
353 assess the impact of ketamine on drinking patterns, total EtOH intake, and locomotor activity.  
354 This work will be crucial in determining if this pharmacological intervention shows promise for  
355 FH+ individuals who frequently engage in binge drinking, which represent one of the most  
356 vulnerable populations for the development of AUD.



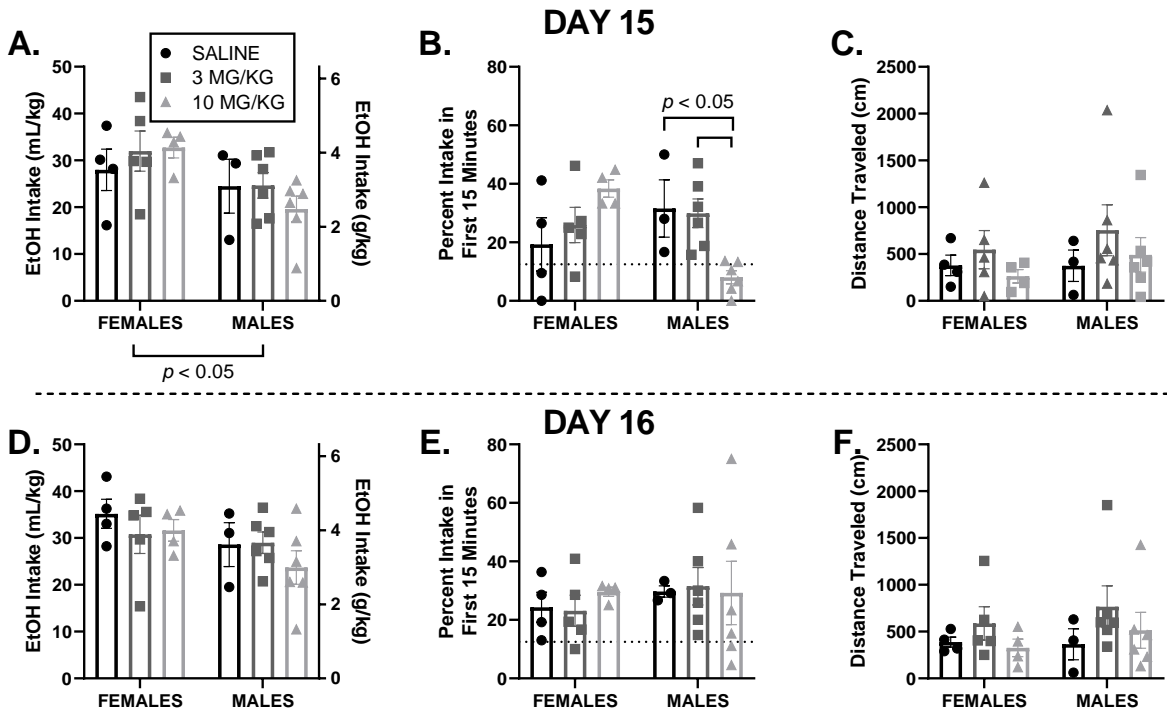
*Figure 1.* Timeline of experiment.

Day	15			17		
	Saline	3 mg/kg ketamine	10 mg/kg ketamine	Saline	32 mg/kg ketamine	100 mg/kg ketamine
Female	<i>n</i> = 4	<i>n</i> = 5	<i>n</i> = 4	<i>n</i> = 4	<i>n</i> = 5	<i>n</i> = 4
	Total <i>n</i> = 13			Total <i>n</i> = 13		
Male	<i>n</i> = 3	<i>n</i> = 6	<i>n</i> = 6	<i>n</i> = 3	<i>n</i> = 5*	<i>n</i> = 6
	Total <i>n</i> = 15			Total <i>n</i> = 14		

*Table 1.* Sample sizes for treatment groups. \*Note that one male mouse who was designated for the 32 mg/kg group accidentally received a dose of 100 mg/kg. This mouse's data are not included in analyses for days 17, 18, and 19 to allow for the same treatment history (i.e. 3 mg/kg to a subsequent 32 mg/kg two days later) within this group.



*Figure 2.* All graphs are displayed as mean  $\pm$  SEM over days of 2-hr DID prior to ketamine or saline treatment. *A:* EtOH Drinking History. Total 20% EtOH intake varies over days. *B:* BEC. There is a relationship between EtOH intake and BEC during the 2-week drinking history. Intake (g/kg) and BEC (mg/dL) mean  $\pm$  SEM are presented. *C:* Frontloading: Percent of EtOH intake within the first 15 minutes. Stars indicate that mice consumed significantly higher than 12.5% (dashed line) of their total intake within the first 15 minutes of the DID session on a given day (12.5% represents the frontloading threshold, please see further description in Methods: *Statistics*). *D:* Locomotion varies over days.



*Figure 3.* All graphs are displayed as mean  $\pm$  SEM for day 15 (top) where drinking occurred 12-hours after an injection of saline, 3 or 10 mg/kg ketamine, and day 16 (bottom) one day following assessment of intake post-treatment. *A, D:* EtOH intake. Total 20% EtOH intake did not differ between sexes or dose groups. *B, E:* Frontloading: An interaction of sex and dose reveals that males in the 10 mg/kg ketamine group frontloaded significantly less than males in the saline or 3 mg/kg ketamine group on day 15, indicating that 10 mg/kg of ketamine transiently alters drinking patterns in a sex-dependent manner. *C, F:* Locomotor activity does not significantly differ between sexes or dose groups, indicating that differences observed in frontloading are not caused by sedation.

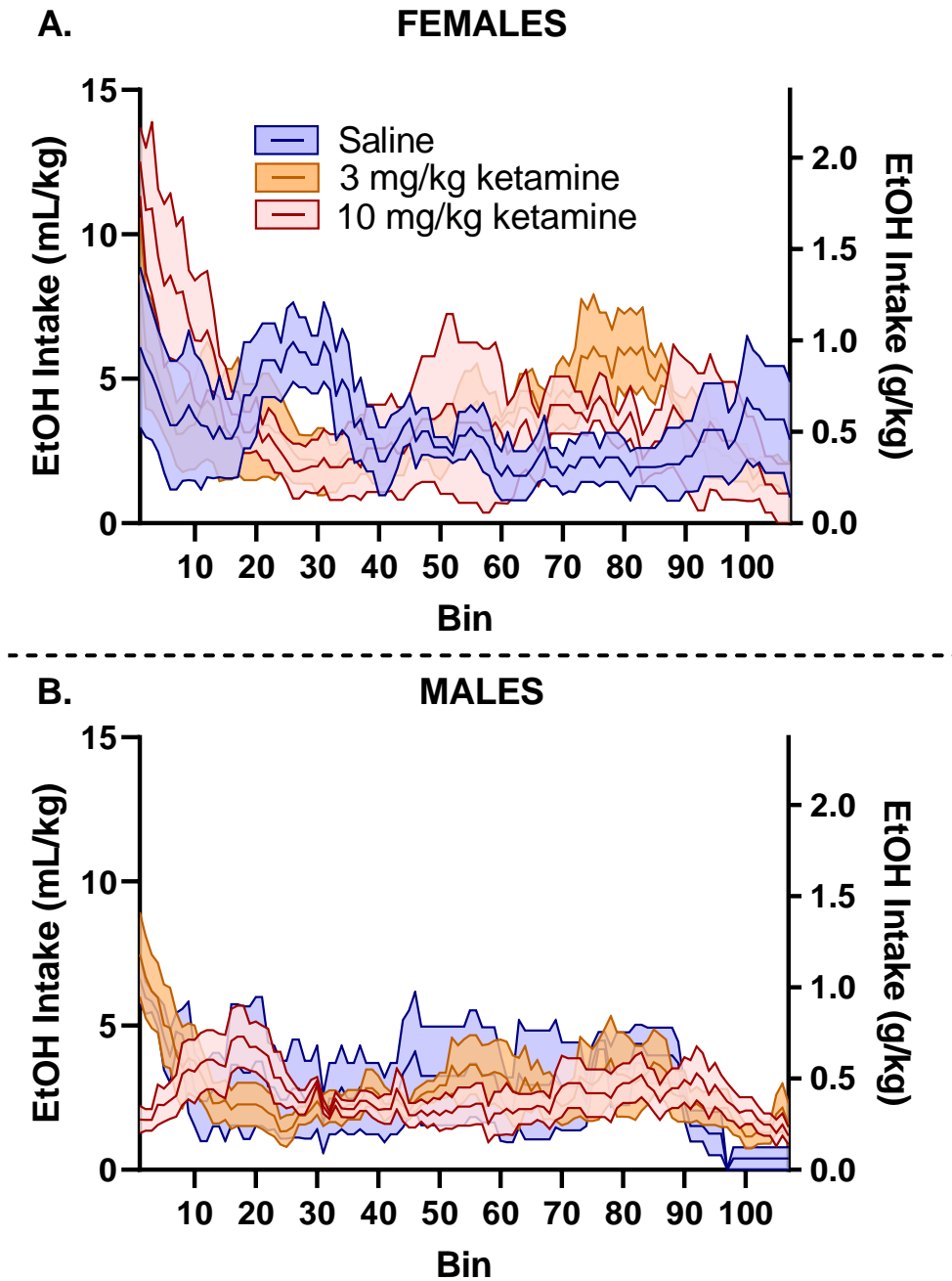
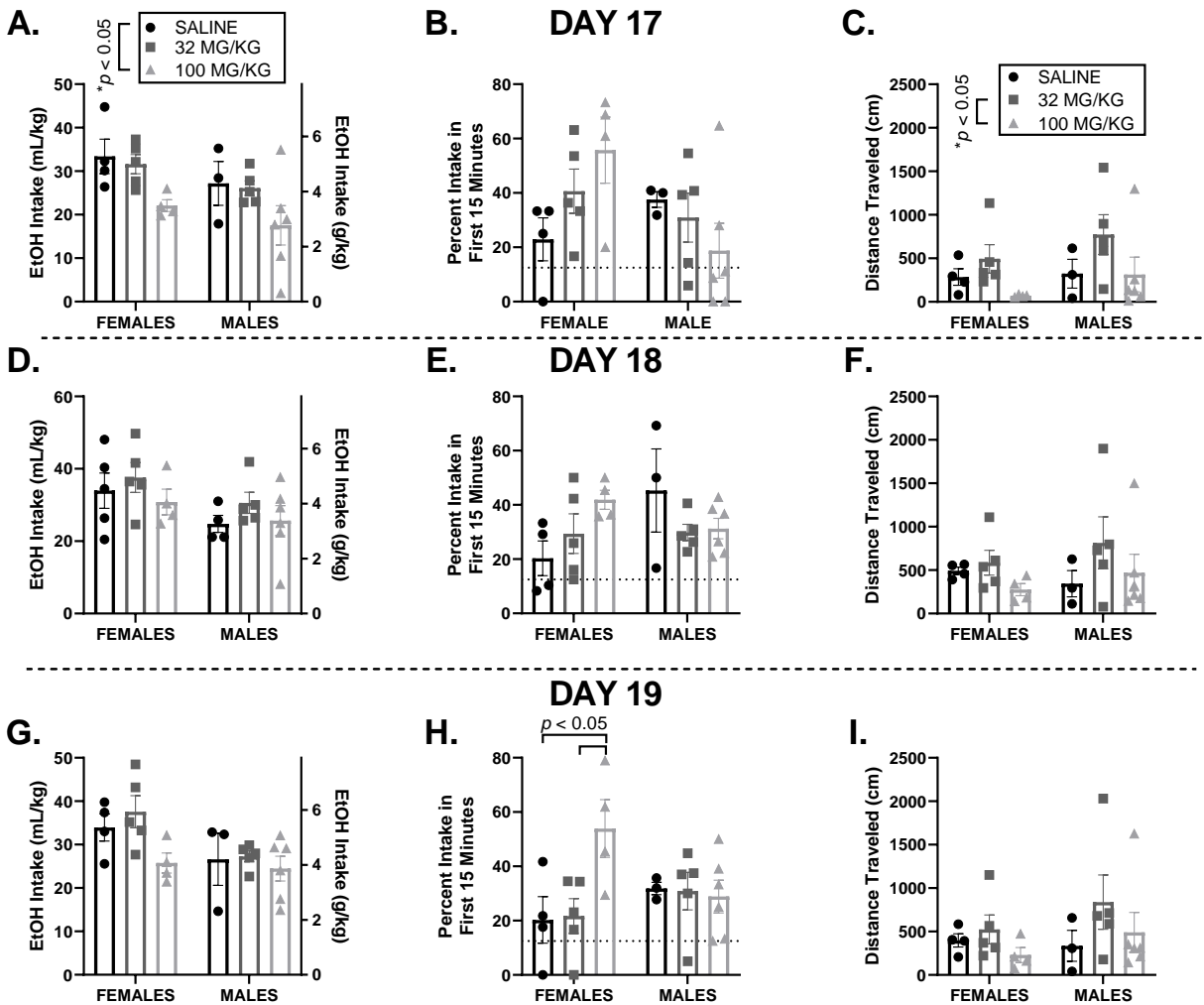
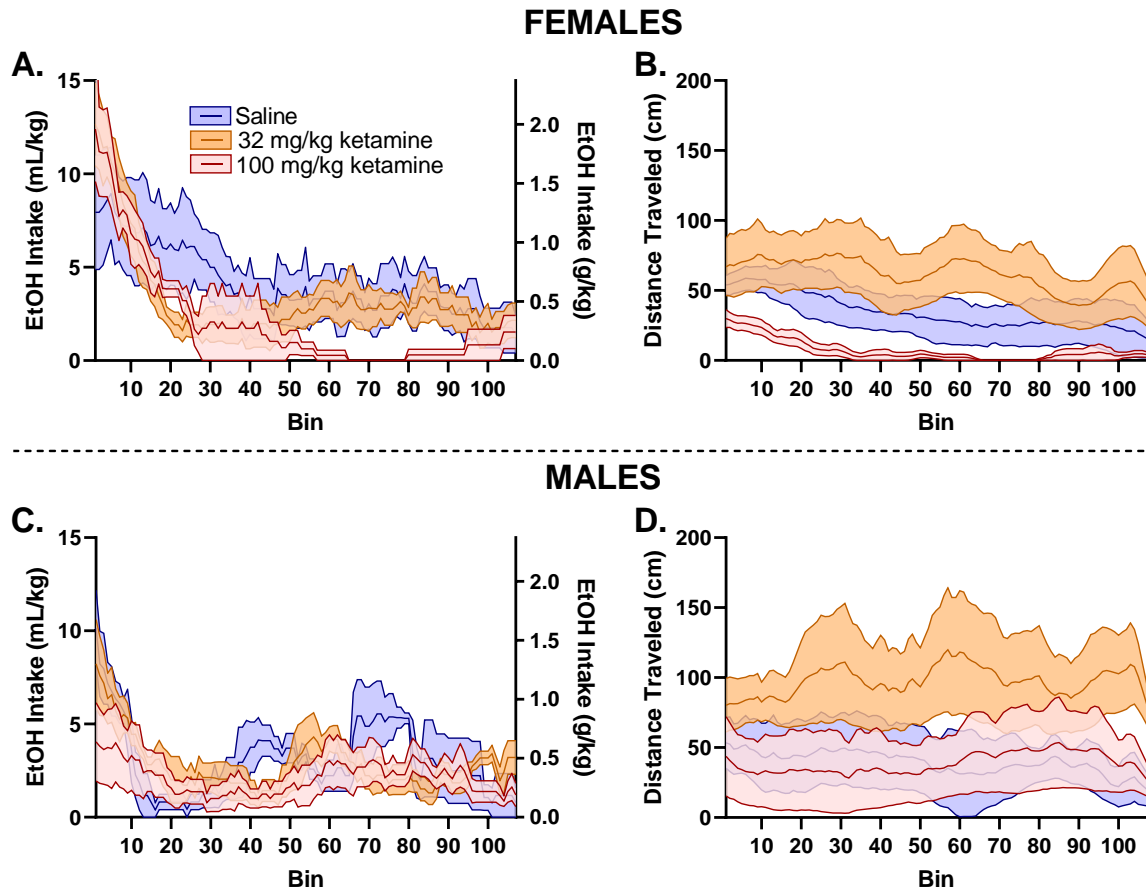


Figure 4. Day 15 intake patterns further demonstrate a decrease in frontloading in male mice who received 10 mg/kg of ketamine (B). No significant changes are observed in females (A).





**Figure 5.** All graphs are displayed as mean  $\pm$  SEM. Day 17 (top) where drinking occurred 12-hours after an injection of saline, 32 or 100 mg/kg ketamine, and day 18 (middle) one day post-treatment, and day 19 (bottom) two days following treatment. *EtOH intake*: Total 20% EtOH intake differed between dose groups 12-hours following treatment (Day 17; A), however this decrease in EtOH intake did not last into the next two days where no additional drug was given (days 18 and 19, D and G, respectively). *Frontloading*: there is no difference in frontloading between sex and dose groups on days 17 (B) and 18 (E), however an interaction of sex and dose on day 19 reveals that females in the 100 mg/kg ketamine group frontloaded significantly more than females in the saline or 32 mg/kg ketamine group (H). *Locomotion*: Mice in the 100 mg/kg group moved significantly less than mice in the 32 mg/kg group 12-hours following injection (day 17, C). Differences in movement between treatment groups did not persist one (day 18, F) or two (day 19, I) days later.



*Figure 6.* All figures are presented as mean (solid middle line)  $\pm$  SEM (shaded area). To further investigate our finding that 100 mg/kg of ketamine decreased total EtOH intake and distance travelled, we calculated intake and movement patterns across the DID session on day 17 by sex: females (top), males (bottom). Intake patterns further demonstrate that female mice in the 100 mg/kg group (A) consume a disproportionately high amount in the early part of the 2-hr DID session, potentially resulting in sedation toward the middle of the session (B). Males shown for comparison (C, D). These results indicate that female mice were not sedated from the 100 mg/kg ketamine injection 12-hours prior, but rather the decrease in total distance travelled is driven by the mouse's frontloading behavior.

## References

- Abraham, K. P., Salinas, A. G., & Lovinger, D. M. (2017). Alcohol and the Brain: Neuronal Molecular Targets, Synapses, and Circuits. *Neuron*, *96*(6), 1223-1238. doi:10.1016/j.neuron.2017.10.032
- Anton, R. F., Drobos, D. J., Voronin, K., Durazo-Avizu, R., & Moak, D. (2004). Naltrexone effects on alcohol consumption in a clinical laboratory paradigm: temporal effects of drinking. *Psychopharmacology*, *173*(1), 32-40. doi:10.1007/s00213-003-1720-7
- Ardinger, C. E., Grahame, N. J., Lapish, C. C., & Linsenbardt, D. N. (2020). High Alcohol–Preferring Mice Show Reaction to Loss of Ethanol Reward Following Repeated Binge Drinking. *Alcoholism: Clinical and Experimental Research*, *44*(9), 1717-1727. doi:10.1111/acer.14419
- Bell, R. L., Rodd, Z. A., Lumeng, L., Murphy, J. M., & McBride, W. J. (2006). REVIEW: The alcohol-preferring P rat and animal models of excessive alcohol drinking. *Addiction Biology*, *11*(3-4), 270-288. doi:10.1111/j.1369-1600.2005.00029.x
- Chandrasekar, R. (2013). Alcohol and NMDA receptor: current research and future direction. *Front Mol Neurosci*, *6*, 14. doi:10.3389/fnmol.2013.00014
- Chassin, L., Pitts, S. C., & Prost, J. (2002). Binge drinking trajectories from adolescence to emerging adulthood in a high-risk sample: predictors and substance abuse outcomes. *J Consult Clin Psychol*, *70*(1), 67-78. Retrieved from <https://www.ncbi.nlm.nih.gov/pubmed/11860058>
- Comstock, S. M., Vaidya, J. G., & Niciu, M. J. (2019). Neurophysiological Correlates and Differential Drug Response in Subjects With a Family History of an Alcohol Use Disorder. *Chronic Stress (Thousand Oaks)*, *3*. doi:10.1177/2470547019865267
- Crowley, N. A., Magee, S. N., Feng, M., Jefferson, S. J., Morris, C. J., Dao, N. C., . . . Luscher, B. (2019). Ketamine normalizes binge drinking-induced defects in glutamatergic synaptic transmission and ethanol drinking behavior in female but not male mice. *Neuropharmacology*, *149*, 35-44. doi:10.1016/j.neuropharm.2019.02.003
- Dakwar, E., Levin, F., Hart, C. L., Basaraba, C., Choi, J., Pavlicova, M., & Nunes, E. V. (2019). A Single Ketamine Infusion Combined With Motivational Enhancement Therapy for Alcohol Use Disorder: A Randomized Midazolam-Controlled Pilot Trial. *Am J Psychiatry*, appiajp201919070684. doi:10.1176/appi.ajp.2019.19070684
- Darevsky, D., Gill, T. M., Vitale, K. R., Hu, B., Wegner, S. A., & Hopf, F. W. (2019). Drinking despite adversity: behavioral evidence for a head down and push strategy of conflict-resistant alcohol drinking in rats. *Addict Biol*, *24*(3), 426-437. doi:10.1111/adb.12608
- Das, R. K., Gale, G., Walsh, K., Hennessy, V. E., Iskandar, G., Mordecai, L. A., . . . Kamboj, S. K. (2019). Ketamine can reduce harmful drinking by pharmacologically rewriting drinking memories. *Nat Commun*, *10*(1), 5187. doi:10.1038/s41467-019-13162-w
- Dawson, D. A., Li, T. K., & Grant, B. F. (2008). A prospective study of risk drinking: at risk for what? *Drug Alcohol Depend*, *95*(1-2), 62-72. doi:10.1016/j.drugalcdep.2007.12.007
- Gill, K., Shatz, K., Amit, Z., & Ogren, S. O. (1986). Conditioned taste aversion to ethanol induced by zimeldine. *Pharmacol Biochem Behav*, *24*(3), 463-468. doi:10.1016/0091-3057(86)90542-3
- Hoffman, P. L., Rabe, C. S., Grant, K. A., Valverius, P., Hudspith, M., & Tabakoff, B. (1990). Ethanol and the NMDA receptor. *Alcohol*, *7*(3), 229-231. Retrieved from <https://www.ncbi.nlm.nih.gov/pubmed/2158789>
- Holmes, A., Spanagel, R., & Krystal, J. H. (2013). Glutamatergic targets for new alcohol medications. *Psychopharmacology (Berl)*, *229*(3), 539-554. doi:10.1007/s00213-013-3226-2
- Hopf, F. W. (2017). Do specific NMDA receptor subunits act as gateways for addictive behaviors? *Genes Brain Behav*, *16*(1), 118-138. doi:10.1111/gbb.12348

- Ivan Ezquerra-Romano, I., Lawn, W., Krupitsky, E., & Morgan, C. J. A. (2018). Ketamine for the treatment of addiction: Evidence and potential mechanisms. *Neuropharmacology*, *142*, 72-82. doi:10.1016/j.neuropharm.2018.01.017
- Krystal, J. H., Petrakis, I. L., Krupitsky, E., Schutz, C., Trevisan, L., & D'Souza, D. C. (2003). NMDA receptor antagonism and the ethanol intoxication signal: from alcoholism risk to pharmacotherapy. *Ann N Y Acad Sci*, *1003*, 176-184. doi:10.1196/annals.1300.010
- Lewandowski, T. A., & Norman, J. (2015). Dose-Response Assessment. In J. A. Torres & S. Bobst (Eds.), *Toxicological Risk Assessment for Beginners* (pp. 43-66). Cham: Springer International Publishing.
- Linsenbardt, D. N., & Boehm, S. L., 2nd. (2014). Alterations in the rate of binge ethanol consumption: implications for preclinical studies in mice. *Addict Biol*, *19*(5), 812-825. doi:10.1111/adb.12052
- Linsenbardt, D. N., & Boehm, S. L., 2nd. (2015). Relative fluid novelty differentially alters the time course of limited-access ethanol and water intake in selectively bred high-alcohol-preferring mice. *Alcohol Clin Exp Res*, *39*(4), 621-630. doi:10.1111/acer.12679
- Lovinger, D. M. (1996). Interactions between ethanol and agents that act on the NMDA-type glutamate receptor. *Alcohol Clin Exp Res*, *20*(8 Suppl), 187A-191A. doi:10.1111/j.1530-0277.1996.tb01773.x
- Maxwell, C. R., Ehrlichman, R. S., Liang, Y., Trief, D., Kanen, S. J., Karp, J., & Siegel, S. J. (2006). Ketamine produces lasting disruptions in encoding of sensory stimuli. *J Pharmacol Exp Ther*, *316*(1), 315-324. doi:10.1124/jpet.105.091199
- NIAAA. (2004). NIAAA Council Approves Definition of Binge Drinking. *NIAAA Newsletter*.
- Oberlin, B., Best, C., Matson, L., Henderson, A., & Grahame, N. (2011). Derivation and characterization of replicate high- and low-alcohol preferring lines of mice and a high-drinking crossed HAP line. *Behav Genet*, *41*(2), 288-302. doi:10.1007/s10519-010-9394-5
- Peacock, A., Leung, J., Larney, S., Colledge, S., Hickman, M., Rehm, J., . . . Degenhardt, L. (2018). Global statistics on alcohol, tobacco and illicit drug use: 2017 status report. *Addiction*, *113*, 1905-1926. doi:10.1111/add.14234
- Petrakis, I. L., Limoncelli, D., Gueorguieva, R., Jatlow, P., Boutros, N. N., Trevisan, L., . . . Krystal, J. H. (2004). Altered NMDA glutamate receptor antagonist response in individuals with a family vulnerability to alcoholism. *Am J Psychiatry*, *161*(10), 1776-1782. doi:10.1176/ajp.161.10.1776
- Rezvani, A. H., Levin, E. D., Cauley, M., Getachew, B., & Tizabi, Y. (2017). Ketamine Differentially Attenuates Alcohol Intake in Male Versus Female Alcohol Preferring (P) Rats. *J Drug Alcohol Res*, *6*. doi:10.4303/jdar/236030
- Rhodes, J. S., Ford, M. M., Yu, C. H., Brown, L. L., Finn, D. A., Garland, T., Jr., & Crabbe, J. C. (2007). Mouse inbred strain differences in ethanol drinking to intoxication. *Genes Brain Behav*, *6*(1), 1-18. doi:10.1111/j.1601-183X.2006.00210.x
- Ruda-Kucerova, J., Babinska, Z., Luptak, M., Getachew, B., & Tizabi, Y. (2018). Both ketamine and NBQX attenuate alcohol drinking in male Wistar rats. *Neurosci Lett*, *666*, 175-180. doi:10.1016/j.neulet.2017.12.055
- Sabino, V., Narayan, A. R., Zeric, T., Steardo, L., & Cottone, P. (2013). mTOR activation is required for the anti-alcohol effect of ketamine, but not memantine, in alcohol-preferring rats. *Behav Brain Res*, *247*, 9-16. doi:10.1016/j.bbr.2013.02.030
- Salling, M. C., Skelly, M. J., Avegno, E., Regan, S., Zeric, T., Nichols, E., & Harrison, N. L. (2018). Alcohol Consumption during Adolescence in a Mouse Model of Binge Drinking Alters the Intrinsic Excitability and Function of the Prefrontal Cortex through a Reduction in the Hyperpolarization-Activated Cation Current. *J Neurosci*, *38*(27), 6207-6222. doi:10.1523/JNEUROSCI.0550-18.2018
- Sneddon, E. A., White, R. D., & Radke, A. K. (2019). Sex Differences in Binge-Like and Aversion-Resistant Alcohol Drinking in C57BL/6J Mice. *Alcohol Clin Exp Res*, *43*(2), 243-249. doi:10.1111/acer.13923

- Strong, C. E., & Kabbaj, M. (2020). Neural Mechanisms Underlying the Rewarding and Therapeutic Effects of Ketamine as a Treatment for Alcohol Use Disorder. *Front Behav Neurosci*, *14*, 593860. doi:10.3389/fnbeh.2020.593860
- Strong, M. N., Yoneyama, N., Fretwell, A. M., Snelling, C., Tanchuck, M. A., & Finn, D. A. (2010). "Binge" drinking experience in adolescent mice shows sex differences and elevated ethanol intake in adulthood. *Horm Behav*, *58*(1), 82-90. doi:10.1016/j.yhbeh.2009.10.008
- Voelkl, B., Altman, N. S., Forsman, A., Forstmeier, W., Gurevitch, J., Jaric, I., . . . Wurbel, H. (2020). Reproducibility of animal research in light of biological variation. *Nat Rev Neurosci*, *21*(7), 384-393. doi:10.1038/s41583-020-0313-3
- Wilcox, C. E., Dekonenko, C. J., Mayer, A. R., Bogenschutz, M. P., & Turner, J. A. (2014). Cognitive control in alcohol use disorder: deficits and clinical relevance. *Rev Neurosci*, *25*(1), 1-24. doi:10.1515/revneuro-2013-0054
- Zucker, R. A., Wong, M. M., Clark, D. B., Leonard, K. E., Schulenberg, J. E., Cornelius, J. R., . . . Puttler, L. I. (2006). Predicting risky drinking outcomes longitudinally: what kind of advance notice can we get? *Alcohol Clin Exp Res*, *30*(2), 243-252. doi:10.1111/j.1530-0277.2006.00033.x