



Published in final edited form as:

Psychopharmacology (Berl). 2019 June ; 236(6): 1887–1900. doi:10.1007/s00213-019-5174-y.

Lack of Successful Treatment for Concurrent Ethanol and Nicotine Consumption: Naltrexone and Varenicline Fail to Alter Concurrent Ethanol and Nicotine Consumption by female Alcohol-Preferring (P) rats

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Abstract

Rationale and Objectives: Simultaneous alcohol and nicotine consumption occurs in the majority of individuals with alcohol use disorder (AUD) and nicotine dependence. Varenicline (Var) is used to assist in the cessation of nicotine use, while naltrexone (Nal) is the standard treatment for AUD. Despite evidence that ethanol (EtOH) and nicotine (NIC) co-use produces unique neuroadaptations, preclinical research has focused on the effects of pharmacotherapeutics on a single reinforcer. The current experiments examined the effects of Var and Nal on EtOH, NIC, or EtOH+NIC intake.

Methods: Animals were randomly assigned to 1 of 4 drinking conditions of 24-hour access to a 3-bottle choice paradigm, one of which always contained water. Drinking conditions were water only, 0.07 and 0.14 mg/mL NIC (NIC only), 15% and 30% EtOH (EtOH only), or 15% and 30% EtOH with 0.14 mg/mL NIC (EtOH+NIC). The effects of Var (0, 1, or 2 mg/kg) or Nal (0, 1, or 10 mg/kg) injections on maintenance and relapse consumption were determined during 4 consecutive days.

Results: Var reduced maintenance and relapse NIC intake but had no effect on EtOH or EtOH +NIC drinking. Conversely, Nal reduced EtOH maintenance and relapse drinking, but had no effect on NIC or EtOH+NIC drinking.

Discussion: The results indicate the standard pharmacological treatments for nicotine dependence and AUD were effective at reducing consumption of the targeted reinforcer but neither reduced EtOH+NIC use/abuse. These findings suggest that co-abuse may promote unique neuroadaptations that require models of polysubstance abuse to develop pharmacotherapeutics to treat AUD and nicotine dependence.

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Conflict of interest: The authors declare that they have no conflicts of interest.

Keywords

Alcohol-preferring (P) rats; alcohol; ethanol; nicotine; co-abuse; varenicline; naltrexone; maintenance; relapse; addiction

INTRODUCTION

Alcohol use disorder (AUD) and nicotine dependence cause, contribute to, and exacerbate many serious health problems and are among the top contributors to preventable deaths occurring worldwide (Grucza and Bierut 2006). Past research has shown that more than 80% of those afflicted with AUD also exhibit co-morbid use of nicotine in comparison to 34% of non-alcoholics (John et al. 2003a, b). Likewise, individuals suffering from nicotine dependence are 10 times more likely to be diagnosed with AUD in their lifetime (DiFranza and Guerrera 1990).

The amount of nicotine use is positively correlated with the rate of AUD, and smoking enhances alcohol consumption in AUD individuals compared to non-smoking AUD individuals (Daepfen et al. Gulliver et al. 1995). Additionally, the severity of AUD has also been positively correlated to a number of other elements including urge to smoke, years smoked, number of attempts to quit smoking and age an individual began smoking (John et al. 2003a, b). The intensity of nicotine dependence has been associated with exacerbated bouts of relapse in alcoholics (DiFranza and Guerrera 1990). AUD individuals who concurrently stop smoking have a better chance of remaining abstinent than those who continue to smoke (Daepfen et al. 2000; Gulliver et al. 1995). Preclinical research has consistently reported that nicotine can potentiate EtOH-seeking, and drug-seeking is enhanced in rats simultaneously co-administering EtOH and nicotine (Lê et al. 2014; Hauser et al. 2012a, b). These preclinical findings are paralleled in the human literature that indicate individuals concurrently diagnosed with AUD and nicotine dependence have significantly worse clinical outcomes than those who are only diagnosed with AUD or nicotine dependence (Lajtha and Sershen 2010; Grant et al. 2004).

There are no reports of humans injecting nicotine. In contrast, the use of oral spitless products (e.g., snus, a moist powder tobacco packet in which the byproduct is swallowed) has increased in the USA in the last 20 years (Delnevo et al. 2012). In 2011, Americans spent over 340 million dollars on snus products (Delnevo et al. 2012). In Sweden and Norway, the average annual intake of snus approaches the annual combined budgets of NIAAA and NIDA at 1.3 billion dollars (Digard et al. 2009).

The mixing of alcohol and nicotine for oral consumption is evident throughout most of the USA and the rest of the world. In 2003, the creation of the Nicotini was considered one of the top 10 Ideas of the Year by the New York Times Magazine (NYTM, 2003). Nicotine-infused alcohols appear to have established a foothold in high-end bars throughout the US (NPR, 2014). In Europe, there are established brands of nicotine-infused alcohols as well as nicotine-infused bitters and salt for the construction of cocktails. According to European standards, there are no known biological consequences of infusing nicotine into alcoholic solutions. Therefore, recently developed animal models of concurrent alcohol and nicotine

oral consumption are ecologically valid and that validity is increasing in relevance (Hauser et al. 2012b; Kasten et al. 2016).

The United States Food and Drug Administration (FDA) approved varenicline (Var) for smoking cessation in 2006 (Jorenby et al. 2006; Hurt et al. 2018). Var is the most effective monotherapy at increasing the likelihood of smoking abstinence (Gonzales et al. 2006; Jorenby et al. 2006). Pharmacological analyses have indicated multiple sites of action by Var that may mediate the effects on smoking cessation. Var exhibits the strongest affinity for the $\alpha_4\beta_2$ nicotinic acetylcholine receptor (nAChR), where it acts as a partial agonist. Var interacting with this receptor has been postulated to be the mechanism for clinical efficacy. Var is also a less potent full agonist at α_7 and $\alpha_3\beta_4$ nAChRs (Rollema et al. 2007; Grady et al. 2010) and a potent full agonist of 5HT₃ receptors (Lummis et al. 2011). It is important to note that nicotine has a higher affinity for the 5HT₃ receptors than all cholinergic receptors (Gurley and Lanthorn 1998), suggesting maximally efficacious pharmacotherapies for nicotine dependence are likely to involve an interaction with this system as well.

Preliminary clinical data indicated that Var treatment of nicotine dependent individuals was associated with a decrease in alcohol consumption (Erwin and Slaton 2014). Research conducted with animals and humans have suggested a role for nAChRs in alcohol use disorder (Blomqvist et al. 1993; Chi and de Wit 2003). Activation of the mesocorticolimbic dopamine system by alcohol and the resulting rewarding effects have been consistently shown to involve central nAChR stimulation (Blomqvist et al. 1993; Soderpalm et al. 2000). A more complete examination of decreased alcohol consumption during Var treatment indicated that it was able to decrease alcohol craving (de Bejczy et al. 2015). Conversely, it is important to note that Var failed to decrease overall alcohol drinking compared to placebo treated individuals (de Bejczy et al. 2015).

Naltrexone (Nal), a nonselective opioid receptor antagonist, has been shown to reduce the reinforcing effects of alcohol as well as cravings associated with alcohol use (Volpicelli et al. 1992; O'Malley et al. 1996; Soyka and Muller 2017). However, these studies also demonstrate the efficacy of Nal is limited. Overall, the efficacy of Nal for the treatment of AUD is equivocal. Nal is effective at treating AUD in a subset of patients (Gueorguieva et al. 2010) while in other patient populations Nal has very limited effects (c.f., Petrakis et al. 2012). Nal efficacy for the treatment of AUD is further complicated by data reporting 1 in 10 AUD patients are actually prescribed relevant pharmacotherapy (Thomson et al. 2017). In addition, Nal suffers from low patient adherence (Thomson et al. 2017).

Although nicotine has little or no action on opioid receptors, the utility of using Nal as a treatment for nicotine dependence has been examined. Clinical trials investigating Nal and smoking cessation have demonstrated minor short-term increases in abstinence rates that diminish over time (Covey et al. 1999; King et al. 2012) or no significant difference from placebo (Wong et al. 1999).

Together, AUD and nicotine dependence are the most common co-morbid addiction diagnosis (Grant et al. 2004). It has been suggested that the prevalence of AUD and nicotine dependence comorbidity may stem from interconnected mechanisms underlying these

particular disorders (Grucza and Bierut 2006). Preclinical studies indicate that the co-abuse of EtOH+NIC can produce unique CNS changes not observed with abuse of either drug separately (Deehan et al. 2015), and combinations of EtOH and NIC can have synergistic CNS rewarding effects (Truitt et al. 2015).

A better understanding of how efficacious therapeutic drugs are during the distinct condition of concurrent consumption is necessary in order to develop better treatments and preventive measures. Research commonly focuses on the effects of pharmacotherapeutics on a single reinforcer despite mounting preclinical evidence that chronic consumption of EtOH and NIC produces unique neuroadaptations that lessen the efficacy of pharmacotherapies designed to treat AUD or nicotine dependence. Recent data examining the effects of varenicline on concurrent intravenous (i.v.) nicotine and oral alcohol has indicated no reduction in self-administration (Maggio et al., 2018a). Therefore, the current experiments examined the effects of Var or Nal on EtOH, NIC, or EtOH+NIC consumption to test the hypothesis that these compounds would be less effective in reducing NIC and EtOH intake, respectively, when co-abused compared to when abused individually.

MATERIALS AND METHODS

Animals.

Adult female Alcohol-Preferring (P) rats from the 74th generation weighing 250–300g at the start of the experiment were used. Female animals were utilized in the present study due to the long-term nature of the experiments and ability to maintain stable body weights over time. Epidemiological studies also indicate the number of females diagnosed with AUD is increasing in addition to experiencing heightened susceptibility to ethanol injury compared with males (Ceylan-Isik et al. 2010). Additionally, despite fewer females regularly using nicotine products, studies show lower quit rates than males (Wetter et al. 1999). These differences are among the growing evidence of sex dimorphism in response to EtOH and NIC as well as pharmacotherapies and highlights the importance of examining females in models of co-abuse. Previous research indicated that EtOH intake of female P rats was not significantly altered by the estrus cycle (McKinzie et al. 1998). Rats were maintained on a 12-hour reverse light-dark cycle with lights off at 0900. Food and water were available *ad libitum* throughout the experiment. The animals used in these experiments were maintained in facilities fully accredited by the Association for the Assessment and Accreditation of Laboratory Animal Care (AAALAC). All research protocols were approved by the institutional animal care and use committee and in accordance with the guidelines of the Institutional Care and Use Committee of the National Institute on Drug Abuse, National Institutes of Health, and the *Guide for the Care and Use of Laboratory Animals: Eighth Edition* (National Research Council, Institute for Laboratory Animal Research, Division on Earth and Life Sciences, 2011). The total number of rats (n = 198) used in the current experiments were as follows: Var on water consumption (n = 18, 6/group), Var on EtOH consumption (n = 26, 8–9/group), Var on nicotine (NIC) consumption (n = 23, 7–8/group), Var on EtOH+NIC (n = 27, 9/group), Nal on water consumption (n = 21, 7/group), Nal on EtOH consumption (n = 25, 7–8/group), Nal on NIC consumption (n = 29, 9–10/group), Nal on EtOH+NIC consumption (n = 29, 9–10/group).

Chemical Agents and Vehicle.

Ethyl alcohol (190 proof; McCormick Distilling Co., Weston, MO, USA) was diluted to 15% and 30% with distilled water for oral EtOH consumption. Nicotine HCl was purchased from Sigma (St. Louis, MO, USA). NIC concentrations of 0.07 or 0.14 mg/mL were calculated based on the salt and were added to a solution of 0.0125% saccharin. This was done only for the NIC alone condition. The EtOH+NIC solutions consisted of 15 or 30% EtOH and 0.14 mg/mL NIC without saccharin added to the solution. Rats will readily consume nicotine solutions at the concentrations employed (about 4.5–5 mg/kg/day). We observe equivalent levels of nicotine self-administration between rats self-administering EtOH+NIC solution and NIC, if saccharin (0.0125%) is added to the NIC solutions (Hauser et al. 2012b). This is reflected in equivalent blood NIC and cotinine levels in these two groups (Hauser et al. 2012b). Moreover, previous research from our group have found no significant differences in neurochemistry or locomotor activity between animals allowed to consume saccharin and water controls (Deehan et al. 2015; Melendez et al. 2002, 2004) and were therefore not included in the present study.

Varenicline tartrate (Sigma) was dissolved in 3% DMSO. Treatment with 2.5 – 3 mg/kg Var results in locomotor deficits, decreased food intake and other non-specific actions (Ortiz et al. 2012; O'Connor et al. 2010; Rollema et al. 2007). Concentrations of Var used in the current experiments were 0, 1, or 2 mg/kg (i.p.). Naltrexone HCl was obtained from Sigma and dissolved in saline. Nal was given in doses of 0, 1, or 10 mg/kg (s.c.). Doses for Nal were determined by previous studies carried out with adolescent and adult P rats demonstrating as much as 20 mg/kg was necessary to decrease EtOH intake while having no impact on water or food consumption (Dhaher et al. 2012; Sable et al. 2006).

Effects of Varenicline and Naltrexone on EtOH, NIC, and EtOH+NIC Maintenance and Relapse Consumption.

Rats were randomly assigned to one of four 3-bottle choice drinking conditions illustrated in Fig. 1, top panel. These consisted of (1) water only, (2) EtOH only with concurrent access to 15 and 30% EtOH and water, (3) NIC only with 0.07 and 0.14 mg/mL in 0.0125% saccharin solutions and water, or (4) EtOH+NIC with 15 and 30% EtOH, each containing 0.14 mg/mL NIC, and water. The EtOH only, NIC only, and EtOH+NIC groups were given access to the three bottles throughout the experiments of two reinforcing solutions and one water. Despite the development of effective voluntary oral NIC self-administration models (Hauser et al. 2012b; Deehan et al. 2015), i.v. NIC is commonly employed in an attempt to parallel rapid increases in blood NIC levels produced by smoking, which is not observed during the use of chewing tobacco (Benowitz et al. 1988). However, oral tobacco products, such as snus, produce the same rapid increase in blood NIC levels as observed in smokers. There are no significant differences between smokers and first time snus users in blood NIC levels during the initial 20-minute period (Digard et al., 2013). Additionally, there are a number of limitations inherent in i.v. administration that include the need for food restriction, surgery, catheter patency, and overcoming aversion during the initial test sessions. The duration of the present study therefore required the use of an oral consumption model to examine Var or Nal following chronic drug intake.

The experimental timeline of the present study is visually represented in the bottom panel of Fig. 1. Rats were given 24-hour free-choice access to their assigned solutions for 8 weeks prior to drug testing. Rats were then assigned to two overall groups of Var treated or Nal treated. Var or Nal was administered daily for four consecutive days. Four days of treatment was chosen to allow sufficient time for any additive drug effects, indication of altered efficacy, or behavioral changes to be readily apparent. After the initial Var or Nal treatment period, all rats were allowed 14–20 days of free choice access to the assigned solutions. All rats were then deprived for 2 weeks of EtOH, NIC, or EtOH+NIC with water constantly available to all rats. Two weeks of deprivation results in an increased amount of EtOH consumption during the initial period of re-exposure (Rodd et al. 2003, 2009; Rodd-Henricks et al. 2001, 2002a, b; Spanagel et al. 1996; Spanagel and Zieglgansberger 1997; Toalston et al. 2008). Similarly, a 2-week deprivation from NIC self-administration results in an increased amount of NIC consumed during re-exposure (Hauser et al. 2012b). The alcohol deprivation effect (ADE) and nicotine deprivation effect (NDE) are valid animal models of drug relapse (Rodd et al. 2003, 2009).

Prior to re-exposure to the previously assigned solution group, rats were again treated with Var or Nal. The doses of Var and Nal were counterbalanced from the initial treatment respectful of past treatment. Specifically, the same subjects used in the Var experiment during the maintenance test were also used during the relapse test for Var. Similarly, for the Nal experiments, the same rats were used for the maintenance and relapse tests. For example, rats treated with 1 mg/kg Nal during the maintenance period were segmented into three groups during the relapse period and received 0, 1, or 10 mg/kg Nal. Var or Nal treatment occurred during the initial 4 days of re-exposure. Additionally, baseline means for maintenance intake were calculated from the last three days before Var or Nal treatment and the final three drinking days prior to deprivation for the relapse data.

Solution preference was determined for each subject consuming EtOH and/or NIC daily. The preference of solution consumed has previously been used to examine the reward valence of each solution (Rodd et al. 2003, 2009). With multiple concentrations, statistical analysis of solution preference is complex. It is common to use standard parametric statistics despite preference violating the ‘independence of measure’ assumption of ANOVA/multiple regression analysis and invalidates these statistical tests. Therefore, non-parametric analysis is appropriate. The Kuiper analysis is sensitive to cyclic variations and can be modified to have collection error estimate included in the analysis. However, the general unfamiliarity with this test and the length of the presentation of the data has resulted in preference not being reported for the current study.

RESULTS

1.1 Effects of Varenicline and Naltrexone on Water Consumption

A repeated measure ANOVA was performed on the average daily water intake (g/kg) of the ‘water only’ group during ‘maintenance’ consumption in animals treated with Var (Fig. 2, top panel) or Nal (Fig. 2, bottom panel). The analysis revealed that there was a significant Day X Dose interaction term ($F_{16, 168} = 5.488$; $p < 0.001$). The interaction term was decomposed by holding ‘Day’ constant and performing individual ANOVAs for each time

point. The ANOVAs indicated that there were significant ‘Dose’ differences during the 4 injections days and the 1st day post-injection. Post-hoc comparisons (Tukey’s b) indicated that consumption of rats in the ‘water only’ group administered 2 mg/kg Var was reduced compared to saline and 1 mg/kg Var during the 4 injections days. Conversely, water consumption was enhanced in the 2 mg/kg Var group during the 1st post-injection day (Fig. 2, top panel). In contrast, Nal treatment did not alter water consumption (Fig. 3, bottom panel; Day, Day x Dose, Dose; p values > 0.05).

Water animals were never deprived but were treated identical to the other groups. Therefore, there was a water condition to parallel the other ‘relapse’ groups that received a second round of 4 injection days and received Var or Nal to identify potential nonspecific drug treatment effects (data not shown). In the Var rats, there was a similar Day X Dose interaction term ($F_{16, 168} = 3.892$; $p = 0.005$), and post-hoc comparisons indicated that 2 mg/kg Var reduced water consumption during all 4 injection days without a post-injection rebound observed. Again, there was no effect of Nal on water consumption during the ‘relapse’ period (all p values > 0.05).

1.2 Effects of Varenicline and Naltrexone on EtOH Consumption

During maintenance testing, Var had no effect on EtOH consumption (Fig. 3; top panel). Statistically, there was no effect of Day, Dose or Day x Dose interaction (p values > 0.05). In contrast, Nal reduced EtOH consumption during maintenance testing (Fig. 3, bottom panel; Day x Dose interaction, $F_{16, 288} = 8.586$, $p < 0.001$). Performing ANOVAs on individual days revealed significant effects of Dose on all 4 injection days ($F_{2,22}$ values > 11.404, p values < 0.001). Post-hoc comparisons (Tukey’s b) indicated that during the 1st and 4th injection days, rats treated with 1 or 10 mg/kg Nal consumed less EtOH than saline treated rats, and the 1 mg/kg Nal group consumed more EtOH than the 10 mg/kg Nal group. EtOH intake recovered to baseline on the first post-injection day.

During EtOH relapse test conditions, Var failed to alter EtOH consumption (Fig. 4, top panel). Statistically, there was a significant effect of Day ($F_{8, 184} = 191.65$, $p < 0.001$), but no effect of Dose or Day x Dose interaction (p values > 0.05). These findings forced the analysis to examine the one significant factor (Day), and within subject comparisons (two-tailed t-tests) indicated that EtOH consumption was elevated during the 1st and 2nd re-exposure day ($p < 0.001$). In contrast, Nal inhibited the expression of the alcohol deprivation effect (relapse drinking; Day x Dose interaction $F_{16,176} = 7.756$, $p < 0.001$). Decomposing the significant interaction term by holding Day constant (ANOVAs performed on each day) revealed significant effects of Dose ($F_{2,22}$ values > 9.493, p values < 0.01) on the first 3 re-exposure days. On the 1st and 2nd re-exposure days, post-hoc comparisons (Tukey’s b) revealed that all groups were different from each other. During the 3rd re-exposure day, saline and 1 mg/kg Nal treated rats were significantly different from the 10 mg/kg Nal group. The significant interaction term was also reduced by holding Dose constant, and within subject (Day) ANOVAs were performed for each Dose group. The analyses revealed that there was a significant ‘Day’ effect in each Dose group (p values < 0.005). In saline treated rats, within subject contrasts, two-tailed t-tests, revealed that EtOH consumption during the 1st and 2nd re-exposure day was elevated compared to each baseline intake days

(p values < 0.005). In rats treated with 1 mg/kg Nal, there was a significant increase in EtOH consumption only during the 1st re-exposure day compared to each baseline day ($p < 0.01$). Treatment with 10 mg/kg Nal not only blocked the expression of the alcohol deprivation effect, but also reduced EtOH consumption compared to baseline for the 1st and 2nd re-exposure days (p values < 0.05).

1.3 Effects of Varenicline and Naltrexone on Nicotine Consumption

Var reduced oral NIC consumption during maintenance test conditions (Fig. 5, top panel; Day x Dose interaction $F_{16,160} = 7.264$, $p < 0.001$). Decomposing the interaction term by examining the effect of Dose on each individual day revealed significant 'Dose' differences on the 4 injection days, and during the 2nd and 4th post-injection days ($F_{2,20}$ values > 3.774 , p values < 0.05). During the 4 injection days, treatment with 2 mg/kg Var significantly reduced NIC consumption compared to the saline and 1 mg/kg Var groups. Following the termination of Var treatment, NIC consumption was significantly increased during days 2 and 4 of post-injection in the 2 mg/kg Var group compared to saline treated rats. Nal treatment had no effect on oral NIC consumption (Fig. 5, bottom panel). Statistically, there was no effect of Day, Dose, or Day x Dose interaction (p values > 0.05).

A period of forced abstinence resulted in increased oral NIC consumption during the initial period of re-exposure (Fig. 6). Var did alter relapse NIC intake (Fig. 6, top panel, Day x Dose interaction $F = 7.064$, $p < 0.001$). Decomposing the interaction term by holding the factor of Day constant revealed significant effect of Dose during the first 3 re-exposure days (injection days 1–3; $F_{2,20}$ values > 3.774 , p values < 0.05). Post-hoc comparisons (Tukey's b) indicated that, during the first 3 days of NIC re-exposure, rats treated with 2 mg/kg Var consumed less NIC than the saline and 1 mg/kg Var treated rats. Alternatively, the significant interaction term was reduced by holding Dose constant and performing repeated measure ANOVAs across Day for each individual treatment group. Rats treated with saline and 1 mg/kg Var consumed significantly more NIC during the 1st and 2nd re-exposure compared to baseline (One way ANOVA, p values < 0.001 ; two-tailed t -tests, p values < 0.032). In contrast, rats treated with 2 mg/kg Var exhibited no significant alteration in NIC consumption across the test period ($p = 0.378$). In rats treated with Nal, there was no effect of Dose or a Day x Dose interaction (p values > 0.05), but there was a significant effect of Day ($F_{8,208} = 247.68$, $p < 0.0001$). Examining the amount of NIC consumed across days in all treated groups (Fig. 6) revealed that during the 1st and 2nd re-exposure period the amount of NIC consumed was significantly higher than the 3 baseline days (two-tailed t -tests, p values < 0.001).

1.4 Effects of Varenicline and Naltrexone on EtOH+Nicotine Consumption

In general, Var and Nal failed to alter the maintenance consumption of either EtOH or NIC when given concurrently. In Var treated rats, there were no effects of Day, Dose, or Day x Dose interaction term (p values > 0.05 ; data not shown) for NIC or EtOH consumption. Similarly, Nal treatment had no effect on co-administration of EtOH+NIC (p values > 0.05).

A period of forced EtOH+NIC abstinence produced a prolonged increase in EtOH+NIC consumption that was not altered by Var (Fig. 7) or Nal (Fig. 8) treatment. In Var treated

rats, there was an effect of Day for both NIC and EtOH intake ($F_{8, 192} = 239.228$ or 208.321 , p values < 0.001), but no effect of Dose, or Day x Dose interaction term (p values > 0.05). Examining the effect of Day for both EtOH and NIC consumed for all Var rats revealed that intake for NIC and EtOH was increased during the first 4 re-exposure days (two-tailed t -tests, p values < 0.001). In Nal treated rats, there was an effect of Day for both NIC and EtOH intake ($F_{8, 208} = 219.795$ or 175.024 , p values < 0.001), but no effect of Dose or Day x Dose interaction term (p values > 0.05). Defining the effect of Day was performed by contrasting the overall average intake for a re-exposure day to that observed during the 3rd baseline day with two-tailed t -tests. The analyses indicated that NIC consumption was elevated during the first 4 re-exposure days (p values < 0.001), and EtOH consumption was elevated for the first 5 re-exposure days which included the first post-injection day (p values < 0.01).

DISCUSSION

Overall, the data indicate the two prototypical pharmacotherapeutics for AUD and nicotine dependence were efficacious at reducing, to a degree, the on-going consumption of the corresponding drug of abuse (Figs. 3–6). In contrast, Var and Nal had no effect on reducing the intake of the non-indicated drug of abuse (e.g. Nal on nicotine intake; Figs. 3–6) or on any aspect of EtOH+NIC co-use (Figs. 7 and 8).

The current experiments examined relapse using the ADE model and a similar NDE model. ADE has been postulated to mimic the increase in alcohol consumption observed following periods of abstinence in humans and animals (Rodd et al. 2003). The ADE model is associated with adaptations in the posterior ventral tegmental area (pVTA) that enhance EtOH reward (Rodd et al. 2005). The NDE has not been examined extensively in the literature since standard i.v. nicotine self-administration precludes the testing of chronic nicotine self-administration due to the limited duration of catheter patency. The current data sets indicate that under 24 hour free-choice oral drinking conditions, NIC intake is enhanced following a period of deprivation (Figs. 6, 7 and 8). However, studies have not examined NIC reinforcement in the pVTA following a period of deprivation to determine if neuroadaptations have developed that would augment the reinforcing properties of NIC. The lack of basic research addressing NDE mechanisms supports the need to expand on the present study and identify such changes to understand relevant nicotine consummatory behaviors. Similar to past research, relapse EtOH+NIC consumption was significantly greater and prolonged than that in rats consuming EtOH or NIC alone (compare Figs. 7 and 8 with Figs. 4 and 6; Hauser et al. 2012b). It is likely that EtOH+NIC co-abuse may augment neuroadaptations produced by periods of drug abstinence or produce unique neuroadaptations not observed following intake of EtOH or NIC (Deehan et al. 2015).

The current data sets indicate that Var can reduce ongoing and relapse NIC intake (Figs. 5 and 6). It is important to note that rats treated with high doses of Var consumed significant amounts of NIC during the 24-hour periods of NIC re-exposure at approximately 6 mg/kg (Fig. 6). Nal had no effect on NIC relapse drinking and all treated groups displayed similar amounts of NIC intake. The data indicate that Nal, but not Var, can reduce EtOH relapse drinking (Fig. 4). The efficacy of Nal to reduce EtOH drinking is altered during relapse

conditions. The lower dose of Nal (1 mg/kg) produced a similar reduction in EtOH consumption during on-going EtOH consumption testing as the higher dose (10 mg/kg; Fig. 3). However, during relapse testing, rats administered 1 mg/kg Nal displayed a blunted ADE that was significantly more than drinking baseline and 10 mg/kg Nal (Fig. 4). Previous research indicated that the efficacy of Nal to reduce EtOH self-administration is decreased under relapse conditions (Dhaher et al. 2012) and the ADE is associated with alterations in the mesolimbic opioid system (Breese et al. 2005). In rats with a history of EtOH+NIC co-abuse, Nal and Var failed to alter relapse co-administration of the two compounds (Figs. 7 and 8), suggesting some unique CNS neuronal changes may have occurred with co-abuse that isn't found with the individual abuse of EtOH or NIC.

Previous research indicated that treatment with Var significantly reduced NIC self-administration from mean baseline levels during maintenance and relapse phases of drug intake (Funk et al. 2016; O'Connor et al. 2010; Scuppa et al. 2015). Additional reports support these results but utilized doses >2 mg/kg Var and therefore, non-specific motor inhibitory effects cannot be excluded (George et al. 2011; Maggio et al. 2018a). Although 2 mg/kg Var modestly decreased water intake in the 'water only' condition (Fig. 2), it is important to note that preference for water and overall fluid consumption in the other 3 groups was not altered and remained over 100 g/kg/day. This suggests the potential nonspecific effects of Var at this dose on water consumption was minor and counteracted in the presence of reinforcers.

EtOH drinking was found to be unaltered following treatment with Var consistent with recent reports (Funk et al. 2016; Maggio et al. 2018a,b; Randall et al. 2015; Scuppa et al. 2015). However, some studies examining Var and EtOH intake appear to conflict with the present findings. For example, treatment with 2 mg/kg Var reduced EtOH self-administration to ~1.5 g/kg/2-hour session following scheduled access and repeated cycles of deprivation (Froehlich et al. 2016, 2017; Czachowski et al., 2018). A number of factors contribute to these differences. The current experiments examined the effects of Var following more than 8 weeks of continuous access to EtOH versus 4 weeks of 2 hours/day limited access. The extended EtOH access period could have allowed time to establish lasting adaptations that occur following chronic drinking that decreased the effectiveness of Var compared to animals with less EtOH experience. The previous study notes the importance of maintaining sufficient levels of Var in the blood in order for treatment to remain effective. The current data indicate that administration of 2 mg/kg Var reduces water consumption for a 24-hour period (Fig. 2). Therefore, despite the inhibitory gustatory actions of the high dose of Var for 24 hours, there was no effect on EtOH consumption.

Two studies applying limited but free access to EtOH have also shown some effectiveness of Var in reducing EtOH self-administration (Holgate et al. 2017; Steensland et al. 2007). A significant reduction in EtOH drinking following Var treatment was found at 2 hours (but not at 4 hours) during a 4-hour drinking in the dark paradigm but was not evident at the conclusion of the session (Holgate et al. 2017). The significant decrease at 2 hours could be attributed to the small amount of EtOH being consumed under this study design (blood alcohol concentrations were relatively low with an average of 24 ± 5 mg%; Holgate et al. 2017). This level of EtOH self-administration is likely affected more by Var treatment, in

agreement with their previous work, than animals in the present study consuming approximately 6.5 g/kg/day (Steensland et al. 2007).

The current experiments support previous evidence demonstrating the ability of Nal to reduce EtOH drinking (Dhaher et al. 2012; Froehlich et al. 2016; Lê et al. 2014; Steensland et al. 2007). Additionally, results from this study indicated no significant changes to NIC intake when treated with Nal (Lê et al. 2014). This agrees with earlier work involving both animal models and clinical trials. Research examining the impact of only naltrexone on smoking cessation demonstrated very limited (Covey et al. 1999) or no effect at all (Wong et al. 1999). Opioid antagonists are known to enhance nicotine withdrawal in nicotine dependent animals (Malin et al. 1993, 1996; Biala et al. 2005). For this reason, clinical trials investigating naltrexone and smoking cessation may involve augmentation of treatment with nicotine replacement therapy (NRT) to alleviate some nicotine withdrawal symptoms (O'Malley et al. 2006; Toll et al. 2010). A meta-analysis carried out on naltrexone and smoking cessation that included eight separate trials and 1213 participants concluded that there was no positive effect of naltrexone alone or in combination with NRT on short-term or long-term smoking abstinence (David et al. 2014).

The reality of AUD is that it is not a single drug addiction disease. Most cases of AUD are a polysubstance syndrome and are thus much more complicated than those arising from the use of alcohol alone. Ignoring the polysubstance characteristic of AUD limits our knowledge of the disease and greatly reduces the ability to establish functional treatments. Preclinical studies clearly indicated that acute exposure to EtOH and NIC results in unique responses that are not observed following an acute exposure to EtOH or NIC. The pVTA is a site where pharmacologically relevant levels of both NIC and EtOH can produce reinforcing effects (Exley et al. 2011; Hauser et al. 2013; Truitt et al. 2015; Rodd-Henricks et al. 2000). The pVTA is a site that regulates oral EtOH (Hodge et al. 1993; Rodd et al. 2010) and i.v. NIC (Corrigall et al. 1994) self-administration and is a site where EtOH and NIC can interact synergistically (Truitt et al. 2015). Intra-VTA injections of NIC can enhance dopamine release in the nucleus accumbens shell (AcbSh) produced by systemic administration of EtOH (Tizabi et al. 2002). Rats will self-administer EtOH and NIC directly into the pVTA in a synergistic manner. Furthermore, equivalent microinjections of EtOH+NIC directly into the pVTA results in significant alterations to gene expression in the AcbSh, which includes *bdnf*, *gdnf*, and *homer2* than either EtOH or NIC alone (Truitt et al. 2015).

Chronic EtOH+NIC co-use/abuse also results in unique neuroadaptations throughout the brain. The oral EtOH+NIC model of self-administration results in equivalent consumption of EtOH and NIC in the solo- and poly-drug exposure groups (Hauser et al. 2012b). In the AcbSh, chronic EtOH+NIC self-administration results in enhancement of NIC reward that is not observed in rats consuming equivalent amounts of EtOH or NIC (Deehan et al. 2015). In the medial prefrontal cortex, EtOH+NIC co-use/abuse resulted in a 3-fold increase in basal glutamate extracellular levels while comparable consumption of EtOH or nicotine had no effect (Deehan et al. 2015). The unique neuroadaptations of chronic EtOH+NIC self-administration could be the biological basis for the pharmacological results reported herein.

The present study indicated there was no effect of Var or Nal treatment on EtOH+NIC maintenance or relapse self-administration. The significant reductions of EtOH consumption by Nal treatment and NIC intake by Var treatment are no longer evident when both reinforcers are presented together. This is consistent with preclinical research from our lab and others demonstrating that acute and chronic exposure to EtOH+NIC results in neuronal alterations specific to co-administration that are not observed with either drug alone (Clark and Little 2004; Deehan et al. 2015; Lé et al. 2014; Tizabi et al. 2002, 2007; Truitt et al. 2015). Similar to the current data set, in P rats concurrently self-administering nicotine (i.v.) and EtOH (oral), Var and other smoking cessation agents failed to alter EtOH or NIC consumption (Maggio et al. 2018a). The failure of Var and Nal (and the specific $\alpha 6\beta 2^*$ antagonist r-bPiDI) to alter concurrent EtOH (oral) +NIC (i.v.) self-administration has been replicated in a novel model that increases intake levels of both drugs (Maggio et al., 2018b). Therefore, consistent preclinical data has indicated that Var is not effective at reducing EtOH +NIC co-administration.

Despite the disproportionately high rate of EtOH and NIC co-morbid abuse, the prevailing pharmacotherapeutic strategy has been to develop treatments that target EtOH or NIC use as separate conditions. This approach has resulted in an inadequate number of approved pharmacological treatment options that, overall, have only demonstrated limited success. For example, a number of meta-analyses revealed that Nal does not increase abstinence rates or decrease the risk of relapse to heavy alcohol drinking. However, the delay to initiation of drinking was increased as well as the total number of days abstinent prior to relapse (Maisel et al. 2013; Jonas et al. 2014; Donoghue et al. 2015). Furthermore, despite FDA approval for Var in 2006, overall smoking cessation rates at the population level in the United States have not risen in over 2 decades (Zhu et al. 2012). This appears to contradict expected results following dispensing of more than 2.1 million Var prescriptions per year and numerous randomized controlled trials demonstrating the effectiveness of Var (Gonzales et al. 2006; Jorenby et al. 2006; Bolliger et al. 2011; Zhu et al. 2012; Baker et al. 2016; Motschman et al. 2016). These outcomes could in part be explained by the ability of Var clinical trials to recruit nicotine dependent individuals into abstinence but fail to prevent instances of relapse over placebo treated smokers (Agboola et al. 2015). Examination of AUDs and nicotine dependence separately in preclinical research and during clinical trials has resulted in approved therapeutics of Nal and Var with relapse rates at greater than one third of patients at a 6 month follow up and up to 75% at one year, respectively (Jorenby et al. 2006; Volpicelli et al. 1997).

The current study demonstrates the failure of Var or Nal to reduce EtOH+NIC consumption. However, potential limitations to the above model should be considered when evaluating these findings. First, future studies should examine the impact of Var or Nal treatment on EtOH+NIC intake when presented separately in the 3-bottle choice drinking paradigm, rather than in a combined solution. This would provide further insight into the effect of Var or Nal on their respective reinforcers. When presented together, potential reductions in just EtOH or NIC intake during treatment would be highlighted that otherwise could be obscured with the combined solution paradigm. Second, increasing the number of Var or Nal treatment days would provide additional time for the blood levels of each therapeutic to stabilize. Finally, the inclusion of measurements taken at specific time points during 24-hour

access would be beneficial. A better representation of drinking behavior during Var or Nal treatment could be determined with data collection points at 2, 4 and 6 hours post-treatment.

These results indicate that the standard pharmacological treatments for nicotine dependence or AUD were effective at reducing the consumption of a single targeted drug but neither reduced the amount of EtOH+NIC consumed. Overall, the findings suggest that failures to develop successful treatment for comorbid AUD and nicotine dependence may result from limitations in models used to develop such treatments, specifically through a failure to address the unique neuroadaptations produced by co-use of these drugs. Continued refinement of the preclinical co-abuse model described herein will be invaluable in the pursuit of assessing future pharmacotherapies for the treatment of heavy alcohol drinking smokers.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments:

This study was supported by NIAAA grants AA07611, AA07462, AA10721, AA20908 and AA019366.

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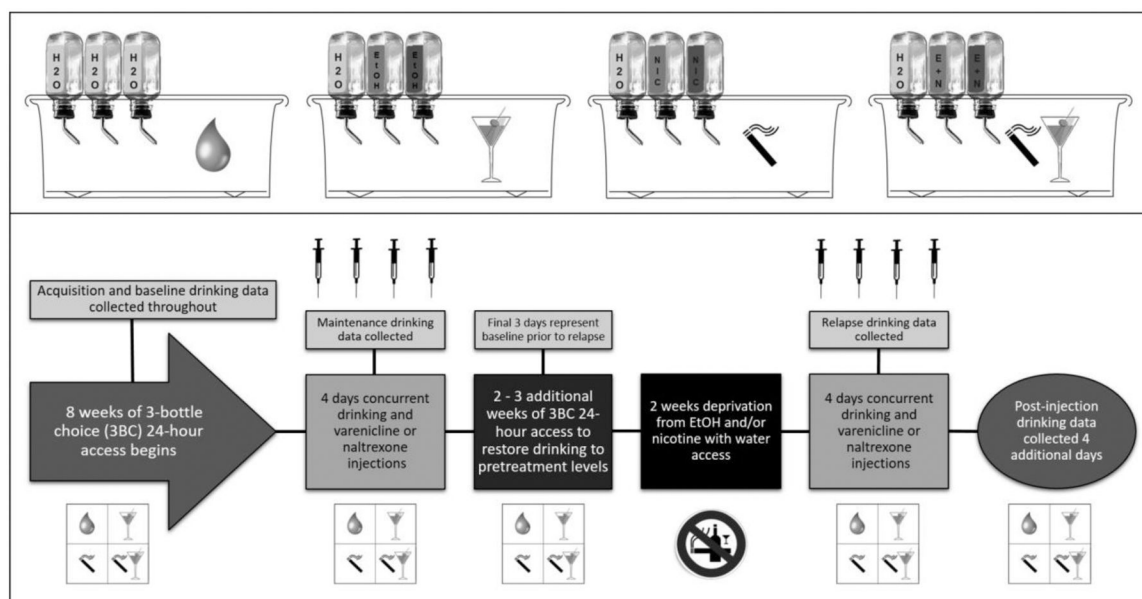
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**Fig 1.**

Top panel: Illustration of the 3-bottle choice paradigm and 4 drinking conditions examined consisting of (1) water only, (2) EtOH only with concurrent access to 15 and 30% EtOH and water, (3) NIC only with 0.07 and 0.14 mg/mL in 0.0125% saccharin solutions and water, or (4) EtOH+NIC with 15 and 30% EtOH, each containing 0.14 mg/mL NIC, and water.

Bottom panel: Depicts the experimental timeline for the present study to determine the effects of Var (0, 1, or 2 mg/kg) or Nal (0, 1, or 10 mg/kg) injections on maintenance and relapse consumption determined during 4 consecutive treatment days.

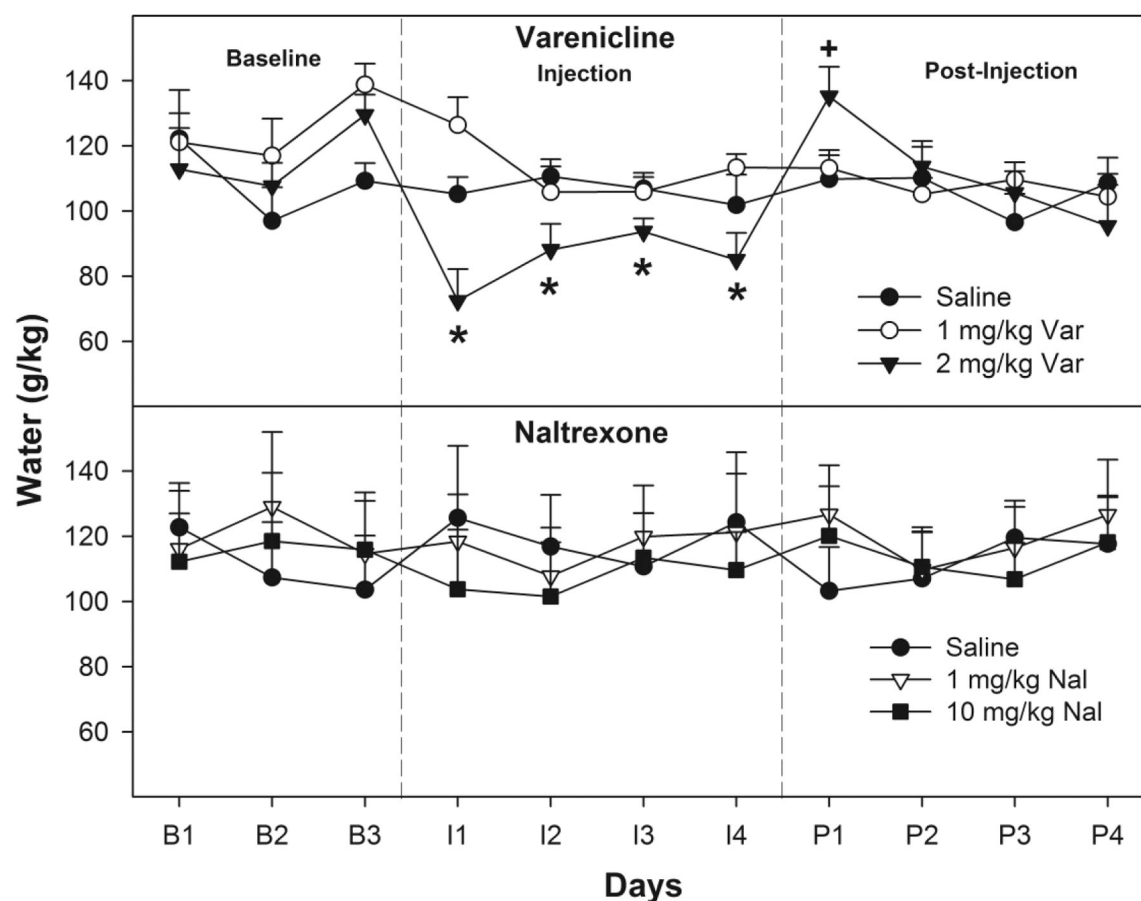
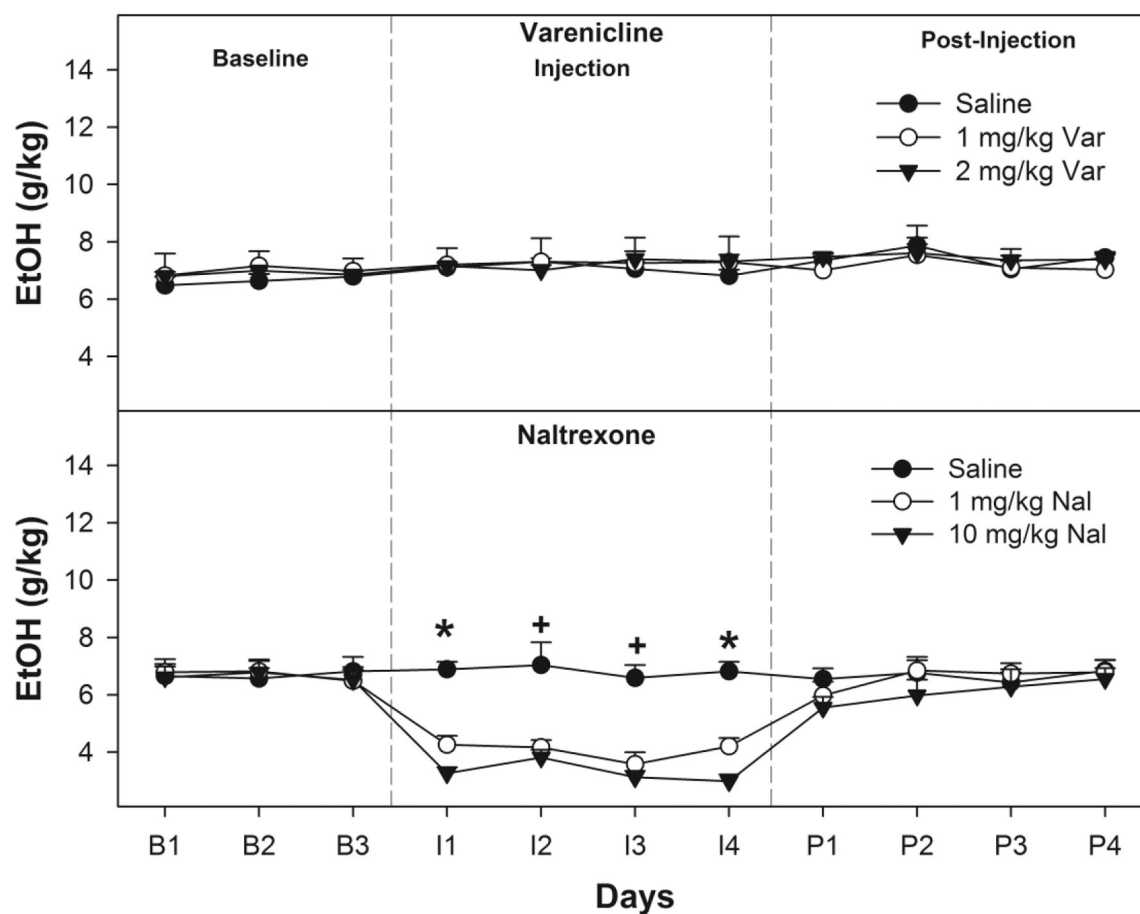
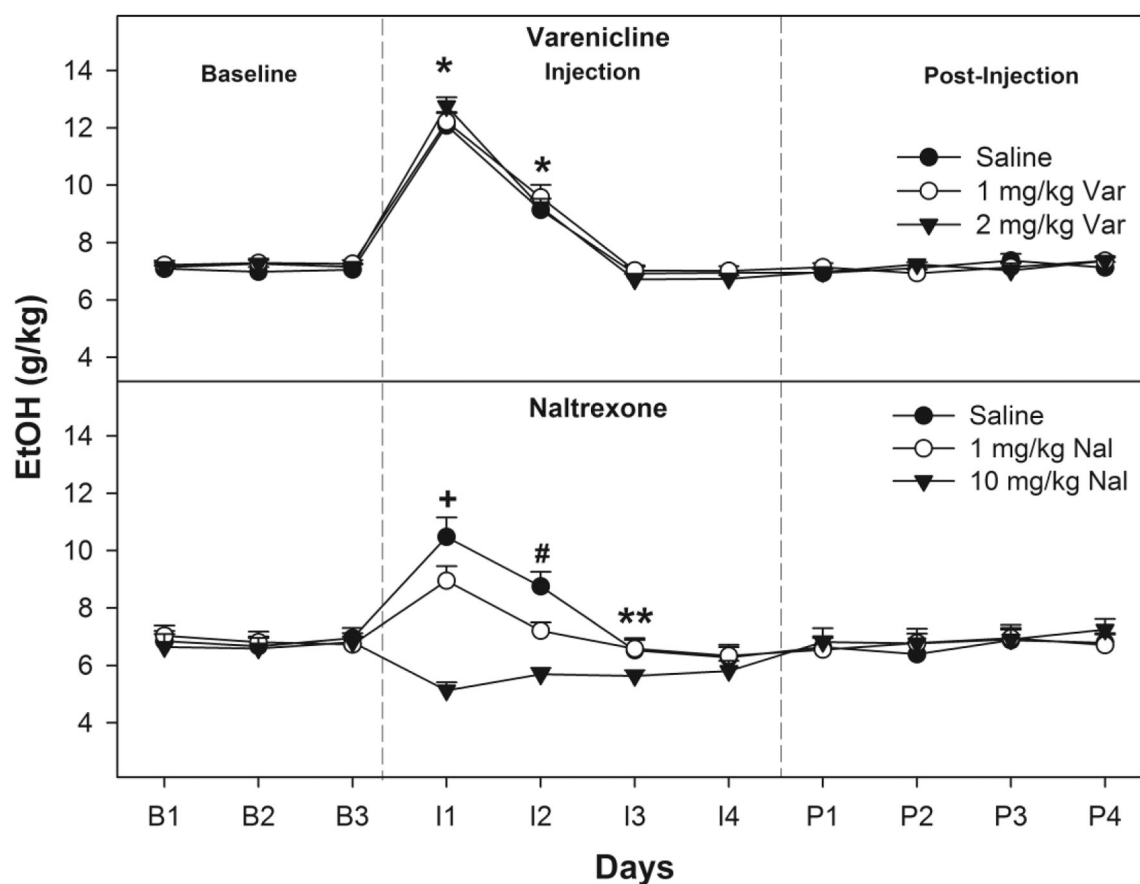


Fig. 2. Depicts the mean (+SEM) consumption of water in P rats treated with Varenicline (top panel) or Naltrexone (bottom panel) during maintenance consumption. * Indicates treatment with 2 mg/kg Varenicline reduced water consumption compared to saline and 1 mg/kg treated rats. + Indicates rats previously treated with 2 mg/kg Varenicline consumed more water than rats previously treated with saline or 1 mg/kg.

**Fig. 3.**

Depicts the mean (+SEM) consumption of EtOH in P rats treated with Varenicline (top panel) or Naltrexone (bottom panel) during maintenance consumption. * Indicates treatment with 1 or 10 mg/kg Naltrexone reduced EtOH consumption compared to saline treated rats, and 1 and 10 mg/kg groups differ from each other. + Indicates treatment with 1 or 10 mg/kg Naltrexone reduced EtOH consumption compared to saline treated rats.

**Fig. 4.**

Depicts the mean (+SEM) consumption of EtOH in P rats treated with Varenicline (top panel) or Naltrexone (bottom panel) during relapse consumption following a 2-week period of forced abstinence. Baseline means are the final three drinking days prior to the deprivation. * Indicates all Varenicline treated rats consumed more EtOH than baseline intake levels. + Indicate saline and 1 mg/kg Naltrexone rats consumed more EtOH than baseline intake levels, all treatment groups differ from each other, and rats treated with 10 mg/kg Naltrexone consumed less EtOH than baseline intake levels. # Indicate saline treated rats consumed more EtOH than baseline intake levels, and all groups are different from each other. ** Indicate EtOH consumption in the 10 mg/kg Naltrexone treated rats is less than that observed in the saline or 1 mg/kg Naltrexone treated rats.

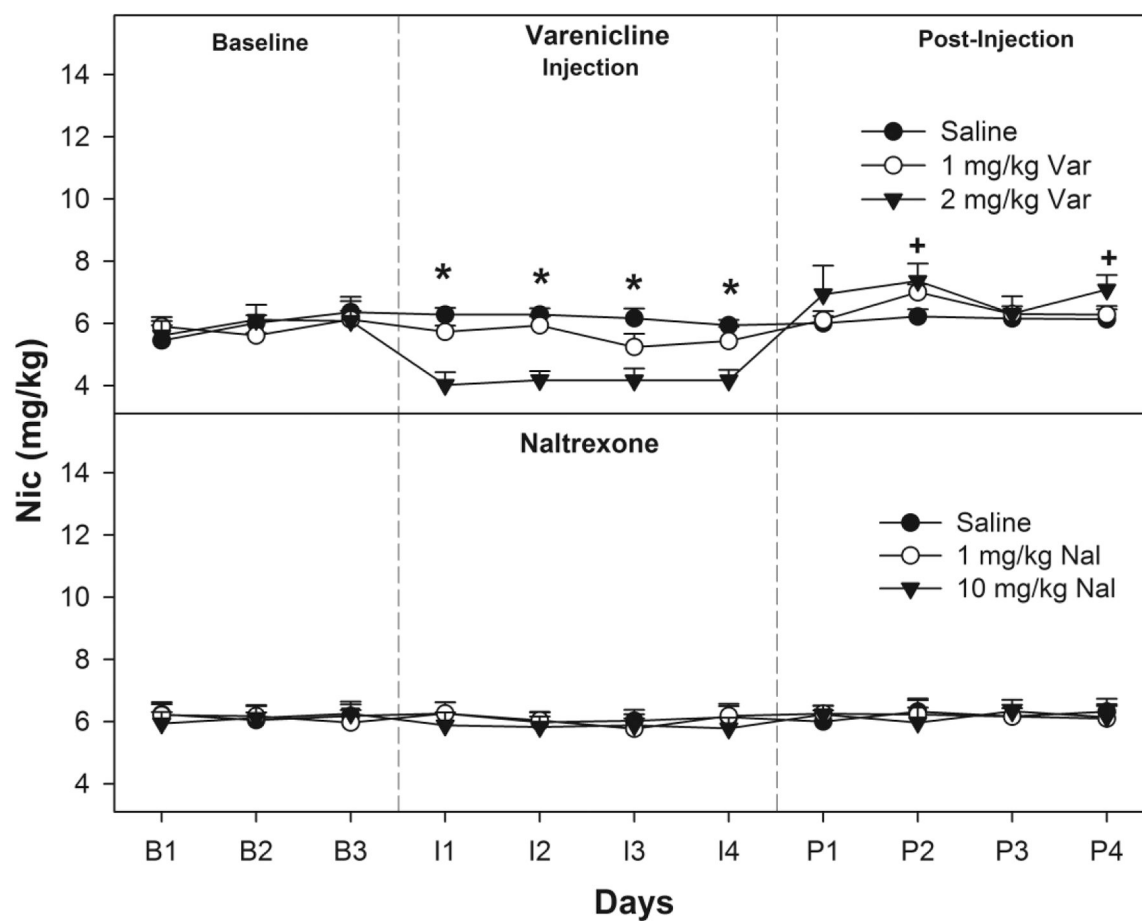
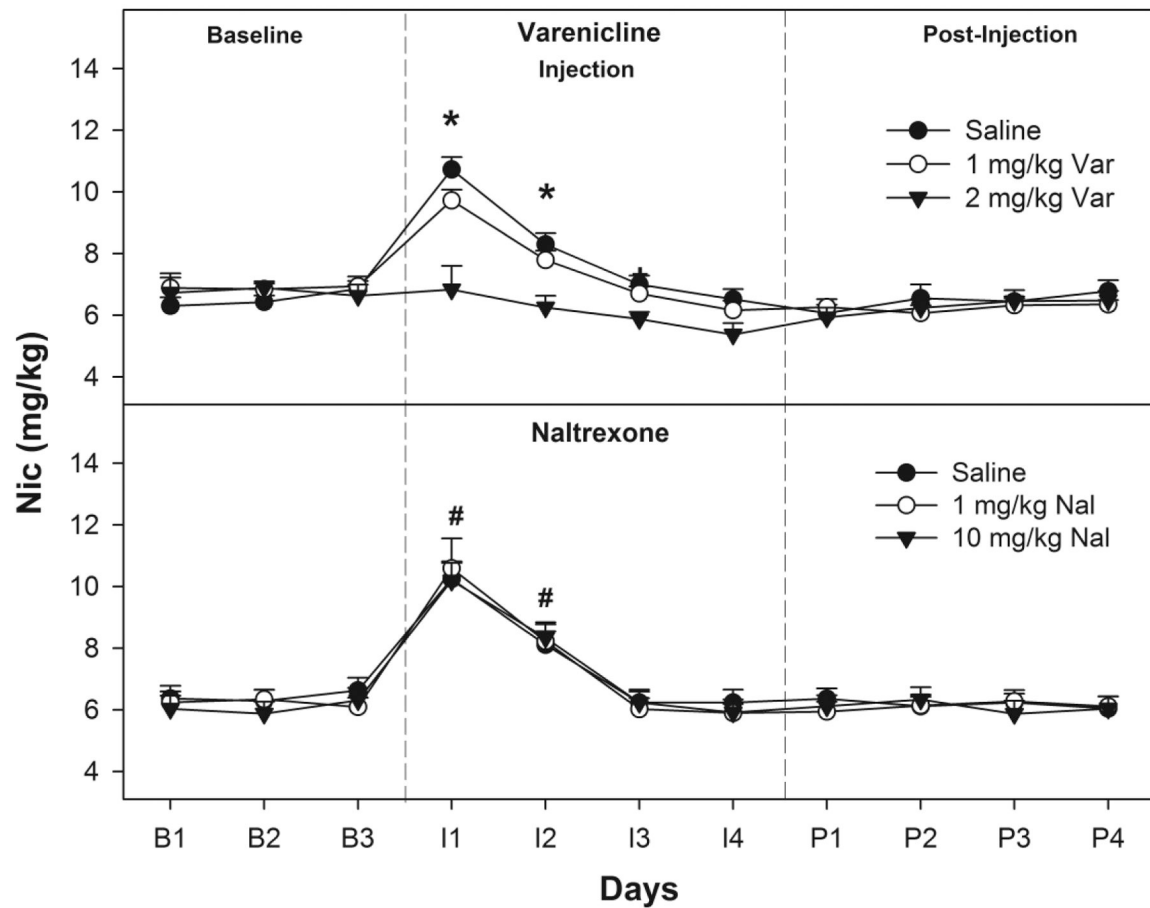
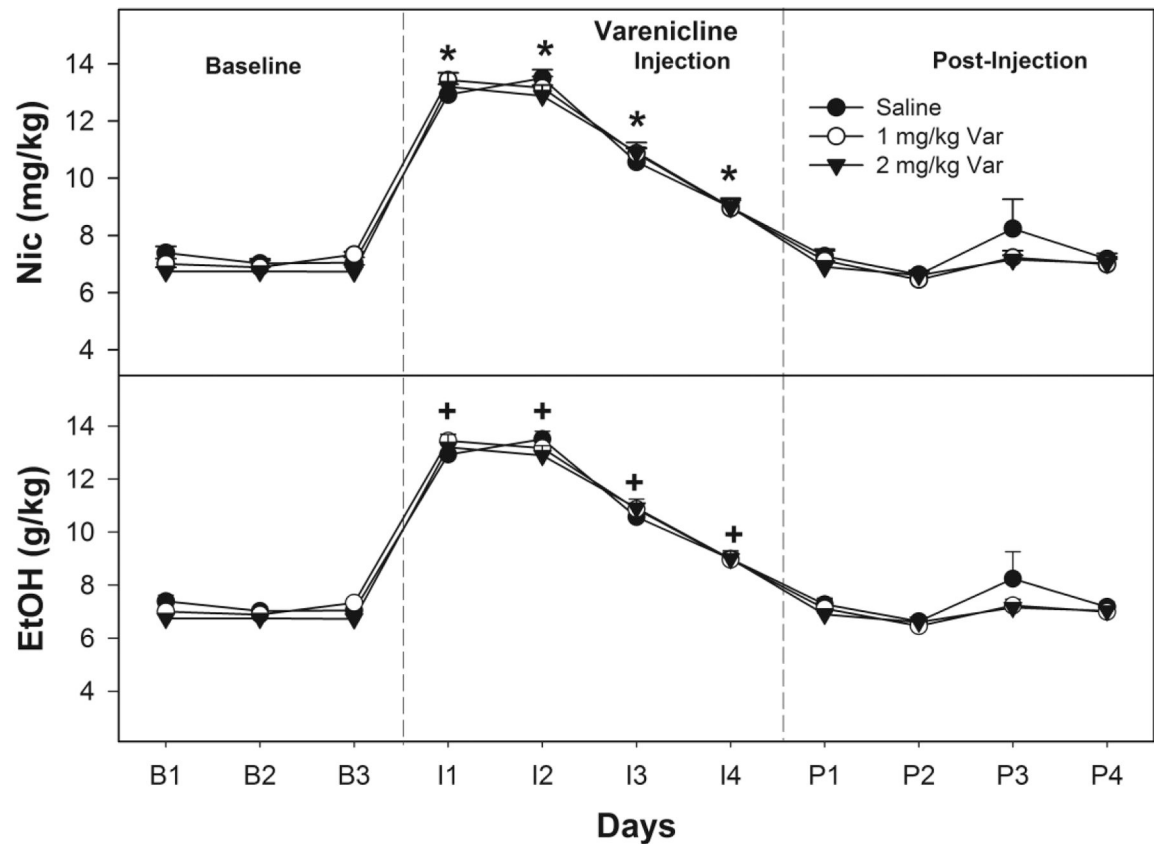


Fig. 5.

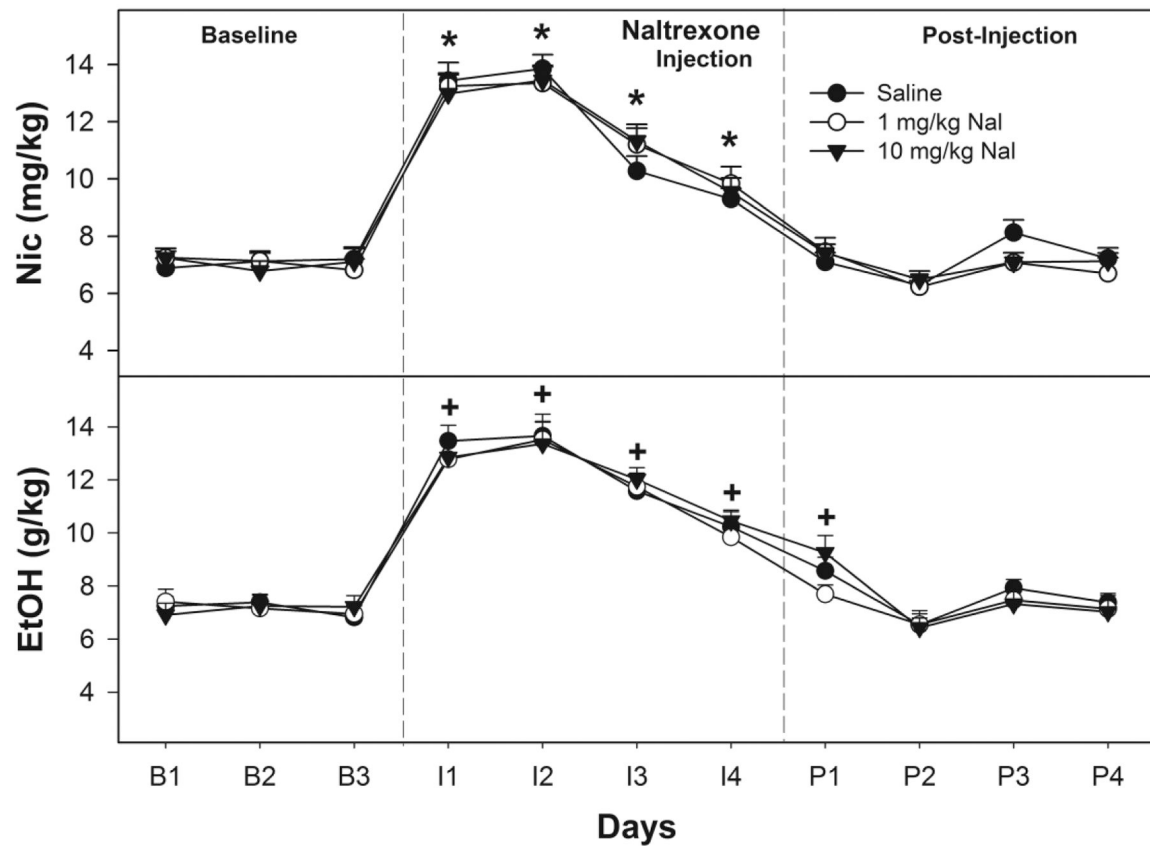
Depicts the mean (+SEM) consumption of NIC in P rats treated with Varenicline (top panel) or Naltrexone (bottom panel) during maintenance consumption. * Indicate 2 mg/kg Varenicline reduced NIC consumption compared to saline and 1 mg/kg group. + Indicates rats previously treated with 2 mg/kg Varenicline consumed more NIC than saline controls.

**Fig. 6.**

Depicts the mean (+SEM) consumption of NIC in P rats treated with Varenicline (top panel) or Naltrexone (bottom panel) during relapse consumption following a 2-week period of forced abstinence. Baseline means are the final three drinking days prior to the deprivation. * Indicate saline and 1 mg/kg Varenicline groups are significantly higher from baseline and differ from 2 mg/kg group. + Indicate saline and 1 mg/kg Varenicline groups are different from 2 mg/kg group. # Indicates all Naltrexone treated rats consumed more NIC than baseline intake levels.

**Fig. 7.**

Depicts the mean (+SEM) consumption of concurrently available NIC (top panel) and EtOH (bottom panel) in P rats treated with Varenicline following a period of 2 weeks of forced abstinence. Baseline means are the final three drinking days prior to the deprivation. Overall, the data indicate Varenicline was ineffective at reducing EtOH+NIC consumption during relapse drinking. * Indicates NIC consumption was higher in all groups compared to baseline intake. + Indicates EtOH consumption was higher in all groups compared to baseline intake.

**Fig. 8.**

Depicts the mean (+SEM) consumption of concurrently available NIC (top panel) and EtOH (bottom panel) in P rats treated with Naltrexone following a period of 2 weeks of forced abstinence. Baseline means are the final three drinking days prior to the deprivation. Overall, the data indicate Naltrexone was ineffective at reducing EtOH+NIC consumption during relapse drinking. * Indicates NIC consumption was higher in all groups compared to baseline intake. + Indicates EtOH consumption was higher in all groups compared to baseline intake.